

UNITED STATES
NUCLEAR WASTE TECHNICAL REVIEW BOARD

FALL 2011 BOARD MEETING

Wednesday
September 14, 2011

Little America Hotel
500 South Main Street
Salt Lake City, Utah

**OFFICIALS OF THE NUCLEAR WASTE TECHNICAL REVIEW
BOARD PRESENT**

BOARD MEMBERS

Dr. B. John Garrick, Chairman, NWTRB
Dr. William Howard Arnold
Dr. Sue Clark
Dr. Rod Ewing
Dr. George M. Hornberger
Dr. Ronald M. Latanision
Dr. Andrew C. Kadak
Dr. Ali Mosleh
Dr. Linda Nozick
Dr. Henry Petroski

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William Harrison, Systems Administrator

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8:00 a.m.

GARRICK: Good morning. I want to welcome everybody back to the second day of our meeting of the Nuclear Waste Technical Review Board. Some of you are new here today, so we will have to repeat some of the things we said yesterday in the opening remarks, but they're going to be much more brief than they were yesterday.

My name is John Garrick. I'm the current Chairman of the NWTRB, and I trust all of you had a pleasant evening last night.

Yesterday, I introduced the new members, Sue Clark, Rod Ewing, and Linda Nozick, who were appointed on July 28th by President Obama. I also recognized the outgoing members, Mark Abkowitz, Thure Cerling, and David Duquette. And, as I mentioned, the entire eleven member roster is available at the back of the room, so we'll pass on introducing all the members.

For those of you who were not here yesterday and here today, the Nuclear Waste Technical Review Board is kind of a unique Board among federal agencies dealing with radioactive waste management. It's the only entity that performs an integrated technical evaluation of the entire U.S. high-level waste management program, including waste acceptance, transportation, packaging and handling, facility

1 design and operation, and storage and disposal.

2 Congress created the Board in the 1987 Nuclear
3 Waste Policy Amendment Act. In doing so, they concluded that
4 there was a need for independent and ongoing peer review--
5 something that Congress deemed essential for increasing the
6 confidence of the public and the scientific community in the
7 validity of the technical and scientific work that was being
8 performed by the Department of Energy.

9 The Act spells out the Board's duties very clearly.
10 It's charged with evaluating the technical and scientific
11 validity of all activities undertaken by the Secretary
12 related to the Department of Energy's obligations to manage
13 and dispose of spent nuclear fuel and high-level radioactive
14 waste. So, simply stated, our job is to advise Congress and
15 the Secretary of our findings, conclusions, and
16 recommendations as a result of our technical reviews.

17 Now, yesterday, we heard six presentations from
18 DOE's Office of Used Fuel Disposition, which is housed within
19 the Office of Nuclear Energy. Today's meeting picks up from
20 the final presentation and continues to explore DOE's
21 research and development work dealing with spent fuel storage
22 and transportation. Paul McConnell from Sandia will consider
23 one of the impacts of the possible termination of the Yucca
24 Mountain Project: transportation of spent nuclear fuel.

25 For the mid-term, or for the medium-term, the issue

1 is whether the infrastructure for a transportation campaign,
2 whether it can be sustained. For the long-term, the issue is
3 the possible degradation of spent nuclear fuel during
4 storage.

5 After Paul, we will hear from John Wagner, a group
6 leader at Oak Ridge. He will review recently undertaken
7 engineering analyses to assess the safety impact of spent
8 fuel reconfiguration on criticality safety as well as
9 evaluations of strategies to ensure criticality safety under
10 such conditions. And, he will discuss activities DOE is
11 working on with respect to burnup credit, another subject
12 very close to the Board's heart.

13 Now, these two talks will conclude the
14 presentations from the Office of used Fuel Disposition.

15 The remainder of today's meeting will be devoted to
16 three invited panels. The Board is pleased that John Kotek,
17 we hope John makes it, the Executive Director of the Blue
18 Ribbon Commission on America's Nuclear Future, was able to
19 juggle a very busy schedule, and somehow get here from
20 Denver, where he was yesterday. John will present the BRC's
21 eagerly awaited draft report.

22 The board also invited Ward Sproat, who was the
23 last Senate-confirmed director of the now-abolished Office of
24 Civilian Radioactive Waste Management. Again, we are pleased
25 that ward was able to juggle his schedule to meet us, and we

1 know he's here. His experience as OCRWM director gives him
2 some unusual insights into what it takes to implement a
3 controversial and technically challenging program. We expect
4 that Ward will comment on the BRC draft report in light of
5 these insights.

6 The second panel brings together three participants
7 in the Extended Storage Collaboration Program, otherwise
8 known as ESCP, or escape. This effort organized by the
9 Electric Power Research Institute brings together
10 governmental bodies, including DOE, the Nuclear Regulatory
11 Commission, and the Board. It brings together private
12 entities, private utilities, nuclear vendors, and industry
13 associations along with representatives from nearly a dozen
14 foreign countries to explore issues associated with the very
15 long-term storage of spent fuel.

16 We have asked three of the participants in ESCP,
17 John Kessler from EPRI, Adam Levin from Exelon, and Jim
18 Rubenstone from the Nuclear Regulatory Commission to discuss
19 their organization's views on what the critical technical
20 issues are, and how they might be explored and addressed
21 through structured research and development programs.

22 Today's presentations conclude with the third panel
23 devoted to considering the waste management implications of
24 using mixed uranium and plutonium oxide fuel, MOX, in light
25 water reactors. Among other things, the Board is interested

1 in learning how differences in decayed heat between uranium
2 oxide fuel and MOX might affect spent fuel packaging
3 densities in both wet and dry storage. How cask loading
4 operations, including occupational doses might be impacted,
5 and how security and accountancy requirements might have to
6 be changed if MOX is used in reactors.

7 To educate us on these issues, the Board has
8 invited three experts Wolfgang Faber from the German utility
9 E.ON, that has been burning MOX for some time; Patrice
10 Fortier from Trans Nuclear, a division of AREVA, which
11 supplies casks to nuclear utilities for storage of MOX spent
12 fuel; and Dan Stout from TVA, which is exploring the
13 possibility of burning surplus weapons plutonium in one or
14 more of its reactors.

15 Now, at the end of our meeting, members of the
16 public, as we did yesterday, will have time to comment and
17 ask questions of the Board and the presenters. This is an
18 important segment of the Board's proceedings. And, if you
19 would like to make a comment, please sign up at the sheet at
20 the back of the room on the table. If you prefer, remarks
21 and other material can be presented and submitted to us in
22 writing, and we will make sure that it gets into the meeting
23 record and is presented on our website.

24 Now, I would like to note, as we always have to,
25 that the way in which we conduct our meetings is that the

1 Board members want to be able to speak openly and freely
2 about their views and ideas, but we need to distinguish from
3 the individual Board's views and ideas, and Board positions.
4 We'll try our best to make that distinction for you. I would
5 also like to indicate that it is very important for you to,
6 when you are speaking or when you are questioning, and that
7 goes for the Board as well, to speak into the microphone.
8 They don't always pick up as well as we would like. And, to
9 give your name and affiliation, and any relevant information
10 that would identify your remarks.

11 So, without further ado, these preliminaries are
12 out of the way, I'd like to ask you to switch off your cell
13 phones, and for Paul McConnell to take the podium.

14 McCONNELL: Thank you. I'm Paul McConnell, and the
15 Transportation Team Manager for the Used Fuel Disposition
16 Campaign under the FCTP, and I'm at Sandia National
17 Laboratories, and thanks for adding Transportation to the
18 agenda.

19 The Transportation activities are new in FY11. The
20 program started in FY10. There are six national laboratories
21 on the Transportation Team, and I will identify those later.
22 And, the program was, Transportation activity, was set up in
23 late FY10. There were the high-level objectives that you see
24 here on this slide, that included high burnup issues with
25 Transportation, and those have not been specifically

1 addressed in the Transportation activity yet. And, also,
2 Transportation, after extended storage, including the use of
3 dual purpose casks that are being used for storing some fuel.
4 Those were the high-level objectives.

5 Extended storage implies that transportation
6 requirements were pushed off to the long-term, hence, there
7 was no FY10 funding. But, recently, with the BRC
8 recommendations for consolidated interim storage facilities,
9 and also the need to transport fuel for T&D activities that
10 Brady described yesterday, and, hence, thinking about
11 emergency planning, you know, think East Coast earthquake,
12 that sort of things, transportation has risen to a higher
13 level of priority in the program than it initially had.

14 Now, I want to show just a couple of quick slides
15 just to reorient you on dry storage, pools, and ISFSIs.
16 These are from NRC websites. And, the types of casks that we
17 use for transportation, truck and rail. Quite a bit
18 different in size. So, this is the basis of what we're
19 addressing.

20 Now, early in this fiscal year, the Transportation
21 Team got together and identified that there were a range of
22 Transportation Campaign time frames, and I will discuss the
23 aspects of these in more detail with respect to what we're
24 doing. What we're doing all falls within what I would call a
25 near-term transportation need, and a transport after extended

1 storage need.

2 Near or medium-term transportation campaigns
3 include transport of fuel to a DOE facility for
4 characterization. That's the gray box to the left there.
5 That's basically what Brady Hanson was talking about
6 yesterday. So, there will be some transportation issues that
7 DOE will have to address just to get fuel from utility to the
8 site, possibly a national laboratory where Brady will conduct
9 the R&D on cladding and fuel.

10 The red dots, by the way, that you see here
11 indicate that the transportation task is investigating those
12 issues either in this FY, or FY12.

13 Now, a more comprehensive transportation campaign
14 in the near or medium-term would be, for example, transport
15 of fuel currently in dry storage to a consolidated interim
16 storage facility, the BRC recommendation.

17 The first fuel, this highlights the BRC
18 recommendation. You've got fuel at commercial reactor,
19 ISFSIs, that's the Connecticut Yankee ISFSI right there, and
20 then you have fuel at decommissioned reactors, Trojan, for
21 example. And, the BRC has recommended, they use the term
22 "facilities" in their report, indicating that there may be
23 more than one. That's the NRC regional map.

24 Now, the first fuel that may go to one of the
25 interim storage facilities may be fuel from the

1 decommissioned reactors. All the fuel that's at
2 decommissioned reactors is contained within canisters. There
3 is some fuel at commercial sites that is bare, not within a
4 canister, and all of those canisters that are at the
5 decommissioned reactor sites are designed for transport.
6 There are canisters on commercial sites that are not designed
7 for transport.

8 So, for the BRC type recommendation, logistical and
9 infrastructure issues dominate transportation. The fuel
10 would be relatively new fuel, or not stored for extended
11 periods.

12 Well, for post-extended storage transportation, the
13 condition of the fuel dominates the transportation scenarios,
14 similar to the description of the fuel in storage that Brady
15 Hanson described yesterday. We see that there are three
16 options for safely transporting fuel after extended storage.
17 And, again, the transportation activities have elements that
18 address two of these three options for post-extended storage
19 of transport of fuel. Those are--the technical basis for
20 transportation after extended storage can be that the
21 cladding is intact, and there's canister integrity, basically
22 how fuel is transported today.

23 You can repackage. That's an option in red that we
24 would like not to have to pursue, even after extended
25 storage, but it could happen. Or, you can canister call the

1 fuel and rely on the canisters to provide the integrity and
2 the confinement barrier for transportation. And, even if the
3 fuel is degraded, as John Wagner will talk about, there may
4 be ways to provide criticality mitigation within the canister
5 to ensure the safety of the transport.

6 Well, at the outset of the transportation activity,
7 issues related to these three options were identified, and
8 those issues were paired with expertise at the participating
9 six national laboratories. And, due to funding, the activity
10 just starting up, and manpower levels, not all the technical
11 issues that we identified were addressed or are being
12 addressed. But, those issues that we considered a high
13 priority were selected for the work in FY11 and FY12.

14 KADAK: Excuse me. Could I ask a question on Bullet
15 Number 1? These is Kadak, Board.

16 Develop technical bases for the assertion that UNF
17 cladding and canisters shall be intact after storage. I'm
18 puzzled by the cladding. Is this for transportation, or just
19 storage?

20 McCONNELL: Both. But, in terms of transportation, if
21 you can assert, can verify that both the cladding and the
22 canister are intact and suitable for transport, you have a
23 situation that is similar to the one that we have today. If
24 we wanted to take some fuel out of an ISFSI, the assumption
25 would be we would not--that the canister would be intact and

1 that we would not have to open the canister to ensure that
2 the cladding--

3 KADAK: I guess that's what I'm trying to address, is
4 for transportation. Does the requirement call for intact
5 cladding for transportation? I don't think it does.
6 Clearly, for storage, when you initially load it, you also
7 have fuel that is not completely intact. So, the requirement
8 for intact cladding, even for freshly stored fuel, is not a
9 requirement per se. So, I would take another look at the
10 cladding requirement. Clearly, canisters have to be intact
11 for both storage and transport. But, I question the cladding
12 requirement.

13 McCONNELL: Well, that is true for transportation. On
14 the other hand, when you get to whatever place that the fuel
15 is going to be transported to, a disposal site or
16 reprocessing or recovery site, you'd like to have some idea
17 what kind of shape the cladding is in. But, strictly
18 speaking, you're correct that you don't have to rely on it.

19 Now, the other thing, though, is that there is fuel
20 stored bare at ISFSIs that is not in canisters.

21 KADAK: Right.

22 McCONNELL: And, there's also fuel that's stored in
23 canisters that are not considered transportable canisters.
24 And, finally, there is the issue of the canisters that are
25 considered transportable, which is the vast majority of fuel

1 that's at commercial sites, are they transportable.

2 So, one is what I would call kind of the status quo
3 of where we are today, and if we had a transportation
4 campaign, and three is where we may end up, depending on
5 results from the R&D.

6 Now, I'm going to show a series of slides here that
7 describe things that the various laboratories did this year,
8 and in most cases, will continue on in the following year.
9 And, one of the first questions we asked ourselves is what
10 fuel is in dry storage, what might need to be transported?
11 How is it stored, whether it's bare or in a canister? How
12 would the system transfer the fuel from the storage systems
13 to a transport cask? Are the canisters in which the fuel is
14 stored truly transportable? Can dual purpose casks that are
15 storing bare fuel, like the CASTOR casks, can they be used
16 for transport? They were designed to be transport casks, but
17 they don't have Part 71 licenses. And, can they after long-
18 term storage?

19 Fuel databases exist. RW had extensive databases,
20 but the details that we felt we needed for transportation
21 campaign logistics, had not been collated in the way that
22 Savannah River National Laboratory has recently done. We now
23 have a database that is, we feel, is transportation specific,
24 and we're going to continue to add to it, and there are going
25 to be meetings later in the week with DOE teams to discuss

1 these types of databases.

2 A very different activity, this is one that I lead
3 at Sandia, is a plan to obtain energy input to cask internals
4 during normal transport. During extended storage or with
5 high burnup fuel, the cladding may degrade or become
6 embrittled. And, work is planned to measure the material
7 properties of high burnup fuel and to try to get a feel for
8 the properties of aged fuel, Brady Hanson's presentation
9 yesterday.

10 And, up until now, it has always been assumed that
11 based on limited data and analyses, that fuel cladding can
12 withstand normal transportation, and experience confirms
13 this. It's routine. Low burnup fuel has always been
14 transported. The NRC tests that we assume that fuel at
15 existing burnup levels can be transported after current
16 regulatory storage periods, including those for which they're
17 giving license extensions.

18 However, we do not actually know what the loads are
19 on the cladding during normal transport. And, that cladding,
20 as I say, may have, in the future, diminished mechanical
21 properties, high burnup, aged. And, these loads that the
22 cladding experiences are due to the, you know, just the
23 bumpy bump of the truck or the rail car going down the road
24 or the track. There are vibrations, there are some peak
25 loads when you go over a bump, or whatever, and no tests have

1 ever been performed, to our knowledge, which directly measure
2 the loads on the cladding while it is in one of these
3 transport casks.

4 KADAK: Excuse me again. Are you saying that when NRC
5 issues a license for transport of spent fuel, they do not
6 analyze the fuel itself in the canister or cask?

7 McCONNELL: They have analyzed it, and the vendors do
8 analyze it, but there has never been any actual measurement
9 of what the actual loads are on the cladding.

10 KADAK: I just want to make sure that the impression is
11 not left that there is no analysis of the spent fuel in
12 transit during transportation.

13 McCONNELL: You're correct.

14 KADAK: Okay, so they do the analysis, but they do not
15 have actual measurements of the strain and stresses on the
16 cladding?

17 McCONNELL: That's right. It's all been done by
18 analysis, and the limited data that we have on what the
19 material properties are of cladding at the burnup levels that
20 we currently have, but both the NRC and BAM in Germany, in
21 particular, which is the German NRC, they have expressed a
22 concern that gee, with this aged high burnup fuel, the margin
23 of safety may be a bit different than what we now are
24 assuming. We have cladding properties for fuel today, but we
25 don't know what the cladding properties are going to be for

1 aged, high burnup.

2 KADAK: That's true.

3 McCONNELL: What Brady was talking about. So, we have a
4 large program to generate mechanical property data on
5 cladding that is aged and of high burnup, but we can't relate
6 that to what the loads are within the cask. So, the plan is
7 to take an assembly with fuel pins, instrument that assembly,
8 and do, in the U.S. at Sandia, we would get a truck cask and
9 we would measure those loads on the cladding. And, the
10 current plan is that the Germans would use the rail casks,
11 same assembly, and then we could pair the loads with the
12 actual mechanical properties.

13 Again, the NRC and BAM endorse this plan to measure
14 loads on the cladding, and the EPRI ESCP program is
15 supportive of it as well.

16 Brady Hanson talked a lot yesterday about the
17 report, the gaps analysis report that they prepared
18 specifically for storage, and the other folks at Pacific
19 Northwest National Laboratory have looked at those technical
20 gaps for transportation. In most cases, Brady Hanson had
21 shown some tables yesterday that listed the components, the
22 cladding, the fuel assembly, the canister, so on, and had a
23 column in those tables, called importance of R&D.

24 Now, for transportation, we did the same thing, and
25 in most cases, those importance to R&D ratings, high, low or

1 medium, were the same. But, there were some differences. In
2 some cases, for transportation, we felt the importance for
3 R&D was lower. In other cases, we felt that the importance
4 was higher.

5 Next year, as Brady Hanson said, there will be a
6 revision to his gaps analysis report, and it's going to
7 include transportation in 2012, the work that we did in FY11.

8 These next two slides, I'm going to go over very
9 quickly because John Wagner is going to discuss the next two
10 slides right after me. It's I think perhaps the most
11 important and relevant work to the issue of post-extended
12 storage and transportation, of aged, high burnup, possibly
13 degraded fuel. And, as John will show, the potential exists
14 for concerns about fuel degradation to be rendered
15 inconsequential. In other words, the degradation of the UNF
16 during extended storage may not preclude transportation.
17 That was Option 3 on that slide that I showed earlier, where
18 you rely on the canister and criticality mitigations to allow
19 your--or to ensure the safety of the transportation. So,
20 there's two slides that address John's work, and he will show
21 these in much more detail in a few moments.

22 Now, it's assumed during transportation that a cask
23 could be flooded. And, this leads to very conservative
24 assumptions regarding the potential for criticality.
25 Transport casks are designed to withstand water emersion, and

1 yet this water ingress assumption is required in evaluating
2 the potential for criticality events during transportation.
3 So, the casks are designed to not let water in, but we have
4 to assume that water is in.

5 Now, this study is a longstanding bugaboo with cask
6 designers and the NRC, but it promotes the argument that no
7 water will be present within the transport cask. That's
8 called the moderator exclusion principle. And, it also--
9 there was a means to exist to further enhance the cask
10 configuration to ensure that no water will be present.
11 That's called a double containment principle, a canister that
12 gee, if the canisters are degraded after extended storage,
13 maybe you need a canister to put the canisters in. Idaho
14 National Laboratory has been working on this argument, and
15 they have a report, it's coming out the end of the money, and
16 they plan to, next year, to engage the NRC in this
17 discussion.

18 That leads to activities for FY12. We're going to
19 proceed with the test program to measure the response of UNF
20 cladding to actual loads imposed during normal transport.
21 That will be done at Sandia. John Wagner plans to identify
22 the criticality mitigation measures for degraded fuel that
23 may be in canisters. Idaho is going to engage the NRC in the
24 moderator exclusion concept. John Wagner is also going to
25 discuss thermal analyses of degraded used nuclear fuel. When

1 I use the term "degraded," I mean possibly degraded.

2 We want to also identify issues related to dry
3 repackaging of bare fuel at ISPSIs into canisters or
4 transportation containers. There's about 13 percent of the
5 fuel is bare at ISPSIs. How is that going to be loaded?
6 We're going to enhance the database so the fuel that's in dry
7 storage, and then next year, we want to include--want to try
8 to identify what the dry transfer concepts or process would
9 be for the canistered fuel at the ISPSIs, and emphasis on how
10 to do the dry transfer of the canisters from the
11 decommissioned sites, based on the possibility that that may
12 be the first fuel to be shipped to a possible interim storage
13 facility.

14 And, also, we're going to continue or
15 organizational and international interactions. The
16 transportation program is very tied into the EPRI ESCP
17 program. My boss, and the guy who is the lead of Storage
18 Transportation within the Used Fuel Disposition Campaign is
19 Ken Sorenson, and he is head of the international sub-
20 committee on John Kessler's EPRI ESCP program. I work with
21 Ken on that. Brady Hanson and Ken Sorenson just returned
22 from NEI meetings. We're involved with ASME. ASME has what
23 they call Section 3, Division 3, the buzz word is NUPAC that
24 writes the rules for construction of casks, canisters,
25 containment systems, and so on, and there's a couple, me and

1 Keith Morton from Idaho National Lab are members of ASME
2 NUPAC. And, when we do interactions like the one that we're
3 doing today, and then within IAEA, we participate on the IAEA
4 dual purpose cask working group that's looking at the issues
5 related to transport of a dual purpose cask after a period
6 of--a licensing period, which in IAEA terms, is 120 years.
7 IAEA also has a working group looking at aging issues, very
8 very similar to what Brady Hanson described yesterday, and in
9 IAEA terms, that's some period beyond 120 years.

10 So, those are our collaborations. And, with that,
11 unless there's questions for me, I will ask John Wagner to
12 come up.

13 LATANISION: Latanision, Board.

14 I'm interested in your discussion of the plans to
15 measure the loading on cladding. Is the plan to instrument
16 the cladding on a bundle and then transport it by rail across
17 country, or something, and while instrumented, to determine
18 the cyclic loads and other loads that might be imposed on it;
19 is that the plan?

20 McCONNELL: Yes, that is the plan. We would use a fresh
21 assembly. Our current plan is to get a Westinghouse 17 by 17
22 assembly. We will use an actual truck cask and we'll use
23 surrogate rods, we're not going to have the UO2 in them, and
24 we will put string gauges and accelerometers on selected
25 rods. And, at Sandia, we actually have a road course, it's a

1 30 mile course that goes over dirt roads, bumps, rail tracks,
2 city streets, gets on the interstate highway, it's a loop,
3 and they actually use that for weapons components, doing a
4 very similar thing in a package, and instrumenting
5 components. And, so, we would use that for our--we wouldn't
6 drive across country, in other words, and then we'd buffer
7 the data and then collect data and maybe do three, four, five
8 30 mile loops.

9 The plan for the rail cask, because we don't really
10 have any rail cask to work with here and BAM does with the
11 German CASTORs, and BAM is very interested in participating
12 in this, is that they would then get the assembly and do the
13 tests. They have a place in Germany where they can do the
14 rail tests.

15 LATANISION: So, if the cladding is brittle, hydrided,
16 do we know much about what sort of stress fields would be
17 required to actually fracture that brittle cladding? I mean,
18 at this point, what sort of database do we have to indicate
19 how much hydriding will cause fracture at what sort of load
20 distribution? Do we have that correlation at this point?

21 McCONNELL: Well, we don't have data on heavily
22 hydrided, if that's the correct term, or highly burned fuel.
23 We don't know what the mechanical properties of that cladding
24 are. Brady Hanson's program is going to try to get that
25 data, and then in international collaborations, we're going

1 to try to get that data, so when we have that data, it would
2 be nice to know what the loads are.

3 LATANISION: No, I agree. So, that's the point that--

4 McCONNELL: And, you know, we have data for irradiated
5 cladding, and a few data points on relatively low burnup
6 cladding, you know, the mechanical properties. And, through
7 the analyses that you pointed out, they have an idea of what
8 the stresses and strains are on the cladding. But, no actual
9 measurements.

10 LATANISION: Okay, thank you.

11 KADAK: Just to clarify, Ron, they do not do aging
12 analysis of cladding. If they have the properties of
13 irradiated clad, that's what they use for the transportation
14 analysis. So, when we were doing the interim storage report,
15 I tried to confirm that that is true. There is no aging
16 analysis done on degradation of cladding over time, which is
17 what Brady's program is attempting to try data on.

18 LATANISION: Yes, that seems a very important point.

19 KADAK: But, if you guys are going to instrument a fresh
20 fuel assembly, that's not really going to be that helpful in
21 terms of understanding, you know, how an aged or an
22 irradiated clad would actually work in transportation. You
23 might get the dynamic motions, but again, I would rethink the
24 value of that program in terms of the cost.

25 McCONNELL: Well, we would get the accelerations, we'd

1 get those vibrational--those vibrations I showed were taken
2 from what you would see on a truck trailer, or a rail car.
3 They tend to be about 1G, and in peak loads, you can get
4 above 1G. Now, we would measure those vibrations and those
5 accelerations, those load on the cladding. One of the things
6 that we need to do, and it's really the trickiest part of the
7 program, is we need to use as our surrogate rod, one that has
8 about the same stiffness, and harmonic frequency that an
9 actual fuel rod or an actual irradiated fuel rod may have.

10 Now, we are going to do some analysis first to try
11 to optimize what material we use for that surrogate fuel rod,
12 and we think that through analysis, if there's any
13 differences between what we use and what an actual irradiated
14 fuel rod might show in terms of its vibrational frequencies,
15 that we can back that out through analysis. But, in any
16 event, we would have raw data for rods, setting in in an
17 assembly, on saddles within a cask. And, the data that we
18 use right now is just the data on the trailer. What's going
19 on inside the assembly, we don't know.

20 KADAK: This gets back to the basic question of what
21 your expectations are for the cladding in terms of being
22 intact during transportation. You didn't mention any of the
23 drop tests that the transportation cask must meet, and no one
24 really talks about well, once you drop this thing from 30
25 feet, you know, is anybody asking the question what's the

1 clad going to be doing. I think we're worrying about--we
2 should rethink what we really want out of this work.

3 ARNOLD: You'd have to get a huge overpack and do a
4 special campaign. This is not a normal--

5 McCONNELL: Right, that's the assumption if you drop it,
6 the cladding may fracture. I mean, we already operate under
7 that assumption.

8 KADAK: So, back up a little bit and you say all right,
9 why do we need to know the vibrational frequency of a fuel
10 assembly if in the design basis of a shipping container, you
11 tolerate failed fuel cladding from both who knows what
12 condition it would be in. So, the question is what is it
13 that we're trying to--what question is it that we're trying
14 to answer here with this research? And, if the answer is
15 basically we don't really need to have integral cladding for
16 transportation, and ultimately for storage. We'd like to
17 have it. It would be nice to have, but if it is not a
18 requirement, then you change the whole premise of your
19 research work.

20 McCONNELL: I agree with that comment that you just
21 made. But, the NRC would like to know, after extended
22 storage periods, and they're going to approve the transport
23 of the fuel, they would like to have some reassurance that,
24 yeah, that cladding might be degraded, but it's--there's a
25 margin of safety there because we know what kind of loads

1 it's going to experience, and we now have data on it. It's--
2 Brady, do you want to weigh in?

3 HANSON: Yes, this is Brady Hanson.

4 Andy, do you just want to address that, you know,
5 what the real purpose is, you know, again under the DOE
6 program, we're making the assumption we want to maintain
7 things intact. So, what we're doing with Paul's test is
8 working backwards saying, okay, once we understand what the
9 loads are during normal transportation, accidents and cask
10 drop are something completely different, when we understand
11 the loads during normal transportation, we can then work
12 backwards and say how much degradation can the cladding have
13 and still survive intact? That way, we can inform the policy
14 makers, and you can begin to say, you know, and I'm just
15 making this up, you know, if after 100 years, you had enough
16 degradation of cladding that it can't survive, suddenly now
17 the Department and other people know we need to make a
18 decision before that 100 year period, or we're going to have
19 to address how to handle failed cladding on the back end.
20 So, you know, there is a real need, in my opinion, for this
21 to integrate the whole program together.

22 LATANISION: My comment would be that this is a very
23 straightforward material, it's a mechanics problem, it may
24 not be a straightforward policy problem, and that may be
25 where the conversation is getting hung up here a bit, the

1 question of what the NRC expects or what the DOE expects.
2 But, in terms of accomplishing what Brady suggests, I think
3 that make imminently good sense.

4 KADAK: I mean, it's a nice science project.

5 LATANISION: Yes.

6 KADAK: But, is it needed?

7 LATANISION: The material is fragile. The question is
8 how fragile is it? What loads will it be able to withstand?
9 And, that's an imminently answerable question, I think.

10 KADAK: No doubt. But, the question is do we need to
11 answer that question?

12 LATANISION: Well, that's a policy issue.

13 KADAK: To assure public health and safety. If the
14 public health and safety standard is release during transport
15 and/or storage, that's a very different question than is the
16 fragility of the clad sufficient to withstand a drop of 30
17 feet or a ride over a bumpy road.

18 LATANISION: Yes.

19 WAGNER: This is John Wagner from Oak Ridge.

20 Maybe this is a really good segue to change out,
21 because I will deal with some of the issues that are being
22 brought up here. So, perhaps I can give my presentation, and
23 then we can revisit some of these discussions.

24 KADAK: I'm sure we won't make much progress. I mean,
25 all this is in the context of a limited budget, okay? We've

1 heard we can't do anything because we don't have enough
2 money. The question is what can they do that's important
3 with the money that they have.

4 GARRICK: I see this in an entirely different view.
5 You're seeing it from the regulatory standpoint. I see it
6 from the standpoint of what assumptions can I make about the
7 long-term disposal problem relative to the history of the
8 condition of the fuel. I want to take credit for as many
9 barriers as I possibly can, and this is certainly critical to
10 understanding what we can say about the likelihood of clad
11 failure and not being a robust barrier in the engineered
12 barrier system. So--

13 LATANISION: And, here it is.

14 WAGNER: Yes, so hopefully, I can help with this and not
15 make it any worse.

16 GARRICK: Well, don't count on that.

17 WAGNER: Let me do the preliminary intro here. So, I'm
18 John Wagner from the Oak Ridge National Laboratory. I'm a
19 part of the Storage and Transportation Team in the Used Fuel
20 Disposition Campaign. The Storage and Transportation Team is
21 led by Ken Sorenson, as Paul mentioned.

22 Today, I would like to talk to you a little bit
23 about engineering analysis and its role in the campaign,
24 particularly in the Storage and Transportation side of it.

25 So, I'm going to talk about three topics, and

1 they're all related. The first is engineering analysis.
2 Under Paul's leadership in the Transportation Control Account
3 in Fiscal Year '11, this fiscal year, we initiated the
4 activity that he briefly mentioned, and that was related to
5 fuel degradation and the assessment of the impact. And, I'll
6 go through a little bit, it may be a little bit redundant
7 with what Paul said, but to try to lay out the rationale and
8 the thinking behind what we're doing there.

9 I'm going to talk just a little bit about burnup
10 credit, because I know it's been an interest of the Board,
11 and I'll say a few words about that. It will be pretty
12 brief. And, then, in Fiscal Year '12, we are actually
13 standing up a formal what we call control account area in the
14 UFD Storage and Transportation Team. And, although we
15 haven't started it yet, I'll say a few words about our plans
16 for Fiscal Year '12 there. And, I have the honor of leading
17 that control account.

18 So, here's some preliminary remarks, and I'm not
19 going to read them, but the idea here is that we have a lot
20 of spent fuel to deal with. Okay? And, a lot of different
21 aspects to it, different fuel types, different reactor
22 histories, different storage periods, and so on and so forth.
23 There is a significant activity being initiated, being
24 executed in terms of understanding the behaviors of different
25 components, and trying to be able to say that, for example,

1 cladding will remain intact over a long period of time, over
2 an extended period of time. And, if we can do that, then we
3 certainly can insure the geometric configuration of the as
4 loaded fuel, which is part of a regulatory requirement, and
5 we can have different things, and I'll get into some of those
6 details.

7 A corollary to that is can we really assure over
8 long periods of time that certain things will function, for
9 example, cladding, and can we do that with the certainty to
10 convince the regulator and convince the public that actually,
11 for example, you know, can I convince you today that cladding
12 will remain in pristine condition over several hundred years.
13 You know, you would say show me, prove that to me. Okay, and
14 of course that's what the experimental programs are about.
15 But, proving almost a negative, proving that it won't happen
16 is not an easy thing to do, especially given the multiple
17 cladding materials, and the broad space that's involved.

18 So, the basis of what we're doing here is so what
19 if it does fail? Okay, do we care? And, of course, then we
20 have to go back to what are the safety requirements for the
21 system, and can we meet them? So, that's kind of in a
22 nutshell what we're talking about here. So, there will be
23 some redundancy now in what I'm saying, and I apologize for
24 that.

25 But, the potential for a fuel clad degradation

1 reconfiguration is really a key issue here with extended
2 storage. And, then, primarily what I'm talking about, so I
3 hope I don't confuse you, is this transportation after
4 extended storage periods. That is the focus.

5 So, will the used fuel remain in configuration that
6 it's analyzed in a current SAR? If fuel is loaded for a SAR
7 and it's licensed that way, and, it's not licensed for
8 damaged fuel, it is assuming that the fuel is in its intact,
9 as manufactured form. So, that's what the licensing basis
10 is. If it deviates from that, it deviated from the licensing
11 basis.

12 And, fuel reconfiguration can affect a variety of
13 different safety related aspects, and I just list them here.
14 And, so, it really does have perhaps maybe the most important
15 issue for consideration and extended storage.

16 So, in order to assess this, we have to address the
17 issue of potential or potential for fuel reconfiguration. We
18 need to understand what is the likelihood that the fuel will
19 reconfigure. Okay? What is the potential extent of that
20 fuel reconfiguration that may occur? And, these things have
21 a variety of dependencies. I have listed some of them in
22 each of the first two columns here. But, that's not even
23 all. You know, the thermal history, as research has been
24 done at the national laboratories, looking at how hydrogen
25 reorients over periods of time, over different temperature

1 histories. It depends on how much hydrogen is in the fuel at
2 discharge. That's where the hydrogen actually initially
3 comes in. This reconfiguration for those of you who aren't
4 very familiar with this, from a circumferential to a radial
5 configuration is kind of one of the key issues.

6 Stresses throughout this process are going to have
7 an affect in that. And, so, there's a large number of
8 parameters that will affect cladding integrity, and, so,
9 experimental efforts are planned to address both the
10 likelihood as well as the potential fuel reconfiguration--
11 configurations that may result in that.

12 And, I want to note here that there are many
13 interdependencies to consider. Maybe I've already noted
14 that, but I guess I want to keep emphasizing that. So, the
15 first two columns are related to the data gaps that Brady
16 Hanson's team has identified, or this is one of the data gaps
17 within that. And, then, in FY12, the idea is okay, well, how
18 do we address those data gaps? How do we get this
19 information to assure that we will have cladding integrity?

20 Kind of a third prong in this approach, which I
21 think Brady referred to as an off-ramp, is kind of what if we
22 can't do that, then what's the safety impact? You could
23 think about this maybe as a risk mitigation strategy. And,
24 if the fuel does fail and we don't know anything about it, do
25 we care? And, so, then we have to go back to the safety

1 regulations and the safety parameters that we're trying to
2 insure.

3 Now, when you reconfigure fuel, certainly you have
4 the potential to put it into a more reactive condition, and
5 criticality safety is one of the things that rises to the
6 top, not that the other issues aren't important, but
7 certainly over extended periods of time, shielding is less of
8 a concern as the source decays down. Thermal is less of an
9 issue as it cools. So, I'm not saying that those other
10 things on there aren't issues, but criticality safety kind of
11 rises to the top. The other one that rises to the top is
12 retrievability. And, I'll speak to that.

13 So, just some preliminary here, some relevant
14 observations. Currently, it's stored, spent fuel, or used
15 fuel, as you know, is stored in multiple assembly canisters,
16 and we expect that that will be the case for the foreseeable
17 future.

18 Right now, something that I think Andy was
19 referring to, or maybe was referring to, is if we can show
20 that damaged fuel is safe, that it meets the various
21 requirements, you can put damaged fuel in what we call
22 damaged fuel canisters right now, and license them. Okay?
23 And, what these are, for those of you who aren't familiar
24 with this, is you can think about your normal cask with its
25 basket cell, and another box that assembly goes into, and

1 then is sealed and then put into that canister. And, so,
2 that's an option. This approach would insure future
3 retrievability of the assemblies, but at a cost. Okay?

4 That requires a slightly enlarge storage cell. It
5 also requires a damaged fuel canister itself. So, there's
6 operational and direct cost issues there. And, to my
7 knowledge, the industry is not terribly interested in that
8 approach, but I'll let the industry speak for themselves.

9 And, right now, there is currently no assurance
10 that the cladding will remain intact. So, this lack of
11 assurance exists, regardless of even near-term aging that we
12 do, because any data that we collect in the near-term is
13 going to be for the near-term. It's not going to be over
14 hundreds of years. So, we will have to, I think, use some
15 combination of modeling and analysis and experimental data,
16 understanding the uncertainties, to extrapolate out to make
17 predictions about the integrity of fuel cladding.

18 And, I apologize if some of this is redundant, but
19 I do want to make sure that these points are clear. So, the
20 thought here is that if we can insure used fuel and multiple
21 assembly canisters will remain subcritical, and really
22 maintain that they're safe, under all credible conditions,
23 then the potential to monitor fuel in extended storage can be
24 reduced, or maybe even eliminated. There would be no need to
25 open up the canisters and repackage, although there's other

1 needs related to that that I'll just sort of park over here
2 somewhere, in terms of what would need to be put into a
3 disposal environment. So, there's a different repackaging
4 issue from the one I'm referring to here.

5 The safety significance related to extrapolation,
6 experimental data and modeling, to predict used nuclear fuel
7 conditions, would be reduced, eliminated, you know, something
8 along those lines. And, so, you could shift the focus of the
9 experiments from qualifying the data for the safety case to
10 basically understanding its importance in the safety case.

11 And, so, if safety is assured and retrievability of
12 the fuel after extended storage is deemed of secondary
13 importance--if retrievability is deemed of secondary
14 importance, then canning assemblies in damaged fuel canisters
15 may not be essential. I think one of the things, I won't
16 speak for the Nuclear Regulatory Commission, but one of the
17 things they are looking at is their current definition of
18 fuel retrievability, and whether or not they--well, they are
19 looking at whether or not they should--they are revisiting
20 that in the context of their current definition is it
21 appropriate in an extended storage environment?

22 And, I think Brady mentioned what their current
23 definition is, but I'll repeat that, and that is that the
24 fuel assemblies can be retrieved via normal means. So, that
25 is an issue because again, what I'm talking about here is if

1 the fuel degrades, it's okay from a safety perspective.
2 Certainly, it would cause trouble in terms of retrievability
3 perspective on an assembly level basis.

4 I invite you to interrupt me at any point. I may
5 regret that.

6 LATANISION: We usually don't have to be asked that.

7 When you say degrade, it's conceivable that the
8 fuel could be brittle, but it's not necessarily degraded
9 unless it's exposed to some mechanical stress that causes it
10 to fracture; isn't that correct? Or, are you looking at it
11 differently? What does degraded mean?

12 WAGNER: Degraded means, to me, it means that it is no
13 longer in an intact condition, that something has happened to
14 it, whether it's becoming brittle and maybe you had an
15 earthquake later, and that it has reconfigured as a result of
16 that. So, really, maybe I should just stick with
17 reconfigured, is really what I'm talking about.

18 LATANISION: I think I would be happier with that. I
19 mean, if the cladding is brittle, just the glass is brittle,
20 but it's not fractured unless you slam it with a hammer, or
21 throw something at it. So, it may be intact, but brittle, as
22 opposed to degraded along a release.

23 WAGNER: I agree. I agree.

24 ARNOLD: Arnold. To me, it's the release. I'm with
25 you, yes.

1 GARRICK: Is there reasonable data, maybe Brady answered
2 this, is there reasonable data on the frequency of occurrence
3 of so-called damaged fuel, some metric, some--

4 WAGNER: Frequency of occurred?

5 GARRICK: Yeah, how big a problem is, this is what I'm
6 getting at?

7 WAGNER: So, in reactors, certainly there is data. But,
8 we're really talking about over extended storage periods, and
9 we really haven't experienced extended storage periods. And,
10 so, to my knowledge, there is no data. Now, I saw John
11 Kessler nodding his head around. So, if he would like to
12 comment on that, I would certainly invite him to do so.

13 GARRICK: But, it would seem to me if we had good data
14 on--from a safety standpoint and from a storage and disposal
15 standpoint, the only thing that's important is how it
16 performs from a waste form standpoint. So, you have to keep
17 asking yourself what are we going to learn about that from
18 this exercise? And, there surely must be information
19 available that tells us how frequently a fuel assembly is
20 damaged as a function of burnup.

21 WAGNER: Well, we have not experienced extended storage
22 periods. We don't have experience with--

23 GARRICK: But, I don't care about storage periods. What
24 I'm trying to get a handle on is what information do you have
25 currently that tells you how much of a problem this is, and

1 that is to say how much of a problem of failed cladding do we
2 really have? Is it one out of a thousand fuel assemblies
3 that you might be able to have something that meets your
4 definition of a damaged fuel assembly?

5 WAGNER: We're mixing things up here, I'm afraid, and
6 that is damaged--

7 GARRICK: Well, I don't think so. I'm interested in the
8 integration or the tying together of the whole thing into
9 what we're interested in, and that is the performance of
10 either long-term storage or the performance of disposal.

11 WAGNER: Let me clarify. You have damaged fuel from in
12 reactor or maybe things that would happen in a pool. Okay?
13 And, those are different than reconfiguration due to extended
14 storage periods.

15 GARRICK: Yeah.

16 WAGNER: It is the reconfiguration due to extended
17 storage periods that we are targeting. If it's already
18 damaged going into a cask, we already know that and we will
19 treat it as such.

20 GARRICK: But, isn't reconfiguration a function of the
21 condition of the cladding when it goes into storage?

22 WAGNER: It is. It is. And, so, from that standpoint,
23 it would affect that. But, if it already has some damage,
24 whether it's a pinhole leak, or whatever, then it's already
25 treated as damaged fuel when it's loaded.

1 GARRICK: You don't have any way of knowing what the
2 margin here is of damage, how close it is to a
3 reconfiguration condition, or what have you?

4 WAGNER: Well, again, if it has some damage at the time
5 of loading, current practice is to put it in a damaged fuel
6 canister, and to do the safety analysis with the view that it
7 is damaged and it is reconfigured.

8 GARRICK: Okay.

9 WAGNER: The issue that we're really after here is that
10 fuel that's loaded as if it's--that's loaded without any
11 damage, that's assumed to be not damaged, and to assume to
12 remain in that intact configuration. That's the part we're
13 really after here.

14 KESSLER: John Kessler, Electric Power Research
15 Institute. My head is about to explode back here.

16 John is describing very well the difference in
17 terms of what we mean by damage. We do have an excellent
18 database on what fuel is damaged, not intact, pinhole leaks,
19 hairline cracks. We have excellent information on the
20 frequency of that kind of information. That's the initial
21 damage that John is talking about. Now, let me finish, John.

22 We do have a lot of work, and this is the other
23 part, there is a lot of work that we have on mechanical
24 properties of high burnup cladding with various amounts of
25 hydrogen. Brady Hanson has done some. Albert Machiels, who

1 is here from our group, we've funded a lot of work in this
2 area. We funded modeling in terms of how we would expect
3 that the mechanical properties of high burnup cladding to
4 proceed. We looked to see how much cladding there would be
5 that would rupture during the required drop test, in terms of
6 how much would survive. We have looked at reconfiguration in
7 terms of split the cladding, you've got UO2 pellets here and
8 there, how does that affect criticality? We looked at all
9 those different issues. We would certainly like more data,
10 but there's a lot out there.

11 Another one that my head is exploding over, the
12 idea of accelerations and what do we know about it? We know
13 some. There has been a lot of acceleration measurements
14 done. Are they particularly relevant? Was the accelerometer
15 placed in the right place? Those are certainly issues.

16 Anecdotal evidence. We have a letter from AREVA
17 saying that of all of the fuel that left intact headed for
18 LaHague, it arrived at LaHague intact. Okay? Now, whatever
19 the accelerations were, whatever the full range of
20 brittleness of the fuel was, at least under normal
21 transportation conditions, everything that's gone to LaHague
22 that left the plant, wherever it was, Japan to France, that's
23 arrived at LaHague, has arrived intact. So, we have
24 anecdotal and actual real data on high burnup fuels, and it
25 is complicated in terms of the amount of hydrogen that's

1 picked up, the orientation, what is that an affect of. We're
2 trying to do unirradiated samples because they're easier to
3 deal with, because you don't need a hot cell. Active area of
4 research, and I fully agree with John that the issue is
5 what's damaged initially doesn't have as much relevance to
6 what might get damaged during some sort of transportation
7 acceleration. That is an effect, a strong effect of burnup,
8 and may get worse with extended periods, and I'll mention
9 that in my talk this afternoon.

10 GARRICK: Okay.

11 WAGNER: Okay, let me try to get back on track here.
12 So, this has been an ongoing interest, actually as John just
13 mentioned. There's been work looking at what happens if the
14 fuel does degrade, because it's already an issue in current
15 transportation when you know you're loading damaged fuel, you
16 have to show that it's going to be safe.

17 And, so, actually some work was done back in the
18 early 2000's for the NRC, looking at the effect of fuel
19 failure on both criticality as well as radiation dose. You
20 know, if the fuel all dropped to the bottom, your source
21 term--that kind of thing, not as big of an issue, but it was
22 looked at. And, the focus of this, though, was really--you
23 know, this was done in the 2002 time period, where burnup
24 credit was not permitted to the extent that it is now. In
25 fact, I think it's safe to say in 2002, burnup credit was

1 not, even though the ISG was out, burnup credit had not been
2 approved in transportation. So, the focus of this research
3 was really more on fresh fuel, which is not terribly
4 realistic.

5 And, then, also the idea at the initiation of that
6 piece of work, it's the same idea at the initiation of this
7 piece of work, I will tell you, is that we would get
8 materials expertise to inform what are credible
9 reconfiguration scenarios, and what are not, because
10 certainly you can dream up reconfiguration scenarios that are
11 significantly more reactive and more of a criticality
12 concern. But, if they're not credible and they are not
13 realistic, they should not drive what we do. And, that's an
14 important point that we have to deal with moving forward.

15 So, the idea was to basically revisit this kind of
16 work, but with burned fuel because that is what we're dealing
17 with. The NRC has become--they have approved burnup credit,
18 and they have shown a willingness to approve more burnup
19 credit than they currently have, even in the current ISGA.
20 And, so, this, basically we're redoing this assessment based
21 on high capacity casks with burnup, looking at long cooling
22 times, and so forth, to assess the effect of reconfiguration
23 on criticality safety.

24 Then, the idea, the longer idea is that if we
25 understand what the impact on k-effective is, then we can

1 look at mitigation strategies for addressing it. For
2 example, currently if you load spent fuel and you know it's
3 damaged, you have to do these types of analyses to show what
4 impact on k-effective it is, and demonstrate that you are
5 below the upper sub-critical limit allowed by the NRC. And,
6 so, there's already mitigation strategies.

7 And, I mention some here because I'd be interested
8 in reactions. I'm not saying any one of these is the right
9 thing, but we have a variety of, say, tools in our toolbox
10 that we could utilize. We could have a delta-k due to
11 reconfiguration that we put into the analyses to account for
12 this once we have quantified it. We can do package design
13 modifications, more absorber, absorber in different places,
14 things like that. You can use rod inserts. That's not a
15 very good option operationally, but it's on the table. We
16 can go back to our inherent margins and try to extract more
17 credit out of them. That's another possibility.

18 And, then, the NRC has at times allowed greater
19 than .95 for their k-effective limit for certain unlikely
20 conditions. And, so, that's a potential option. And, I
21 think they have even shown some willingness on this here, but
22 I won't quote anybody on that. And, then, of course,
23 moderator exclusion, although the NRC has not been very
24 receptive to that, there is a clause in 71.55(c) that is a
25 wedge for that, but they have, to date, said that it is only

1 on a case by case basis, and not permitted that. But, it
2 could be something to talk about.

3 So, the idea here is that our results of this
4 analysis will inform and focus our testing and experimental
5 data needs relative to what is credible for fuel
6 reconfiguration. What are the ones that we have to actually
7 deal with?

8 So, this is maybe a complicated diagram that is an
9 attempt to explain how our current and future constraints and
10 our scientific and technical understanding contribute to the
11 likelihood and potential for--they help inform the likelihood
12 and potential for a situation that then has to go into a
13 regulatory environment in terms of understanding the safety
14 impacts and potential mitigation strategies for such
15 situations. It's an attempt to show an integrated approach
16 on how these things can be brought together to form a
17 licensing basis.

18 So, what are we doing exactly? I've talked a lot
19 about the sort of philosophy behind it and the strategy
20 behind it. What we're looking at specifically are a number
21 of degradation scenarios, and I won't argue that they're all
22 credible. What we want to do initially is just quantify the
23 impact on safety, and then deal with the credibility of them
24 through experimental data.

25 So, gross rod failures. Okay? Something that

1 actually is considered when you load damaged fuel now. Gross
2 cladding failure. Rod bowing, something that actually does
3 occur to a certain extent right now. Poison degradation over
4 long periods of time. We need to understand poison
5 degradation issues and whether or not it's a safety impact.
6 Degradation or failure of the cask in total in terms of
7 maintaining the geometry of the fuel. And, then, gross
8 assembly failure, the whole thing just rubblizes in the
9 bottom and what happens. Okay? So, these are the kinds of
10 scenarios we were looking at.

11 PETROSKI: Why do you have six? Why don't you have
12 eight?

13 WAGNER: I must have deleted a couple off. Good catch.
14 There are a couple others. I didn't put there actually for
15 maybe controversial nature of them. We have looked at some
16 kind of ridiculous scenarios as well in terms of the fuel all
17 rubblizing, dropping below the absorber area, and so forth.
18 And, actually, I did take those off because while it's
19 interesting to know what that increasing k is, there's no
20 basis for that, and so I don't like to talk about that. It's
21 okay to calculate it to understand what the k is. But, to
22 put it up here and give credibility to it, even though I'm
23 not even giving credibility--basically, I've removed some
24 that I thought were rather outlandish.

25 KADAK: I guess do you postulate any kind of gross

1 assembly failure where the whole thing was just crumpled down
2 into a pile; is that credible in say a hundred years of
3 storage?

4 WAGNER: So, we are not dealing with credible. We are
5 dealing with what is the k impact. Okay? So, the idea is
6 that when you know the impact, that's where you want to focus
7 on what's credible. Okay? If, for example, it all
8 rubblizes and the k doesn't increase at all, let's just say
9 for example, then we really don't care whether it's credible
10 or not. We're covered. Okay? The more important issue
11 comes into if it does rubblize or reach a situation and k ,
12 and I'll show some results in a moment, and k increases
13 dramatically, then we really need to understand is that
14 credible. Because if it's credible, then we've got to deal
15 with it. Whereas, if we can do some experimental testing and
16 demonstrate that it's not credible, we can dispense with that
17 in that manner.

18 So, we looked at some--three representative casks,
19 both high capacity BWR casks, a high capacity PWR cask as
20 well as what I'll call a lower capacity PWR cask. These are
21 based on industry casks--names mentioned there. And, we
22 looked at a variety of initial enrichments and burnups and
23 cooling times to look at the effects and how these effects
24 would vary with burnups and cooling times to try to cover our
25 space. Again, we're trying to quantify the impact on k -

1 effective.

2 GARRICK: John, I know it's been our fault, but just to
3 avoid a catastrophe at the end here, we don't have too much
4 more time.

5 WAGNER: Okay.

6 GARRICK: Maybe 10 or 15 minutes.

7 WAGNER: That's actually a fair bit of time. But, I'll
8 tell you what, I will go fairly quickly from here, and then
9 questions can be asked on anything I go too quickly over.

10 GARRICK: Well, we've been asking questions.

11 WAGNER: So, here is an example of maximum increases in
12 k-effective due to the different scenarios that I mentioned.
13 And, so, this gives you an idea, and just to put things in
14 perspective, currently, we design to k-effective below .95.
15 That's a limit. And, so, anything that pushes us 5 percent,
16 actually pushes us up into a critical point, which, of
17 course, has to be avoided. And, so, the ones that are small,
18 you know, we can actually deal with those with mitigation
19 strategies that I mentioned that are actually not too
20 problematic. It's the larger ones that we'll hone in on and
21 assess credibility and how to basically try to either show
22 that they are not credible, or then we have a problem to deal
23 with in terms of mitigation strategies.

24 So, the next steps here are to complete and
25 finalize the reactivity impacts that Dave just mentioned,

1 which is pretty close to complete and final, but that's going
2 forward into FY12. Yes?

3 ARNOLD: Arnold.

4 The--actual displacement, tell me what that is.

5 WAGNER: Okay. So, this would be a case, and it's
6 actually looked at in terms of--well, this is a case where an
7 assembly would slip above or below the poison panel. Okay?
8 So, when you have spent fuel, the most reactive regions are
9 on the end regions. And, so, if you move those outside--if
10 you move that active fuel length outside of the absorber
11 panel, then k goes up very quickly because basically you end
12 up with assemblies that are not separated by poison in their
13 most reactive region. So, this is an example--I'm glad you
14 brought that up. This is an example, we're just simply
15 engineering such that that can't happen, is a way to get rid
16 of that. Okay?

17 KADAK: Is this a flooded cask?

18 WAGNER: Yes. Everything that I'm looking at is flooded
19 per 71.55(b) and (e), which requires it.

20 KADAK: Why don't we put them back in the reactor?

21 WAGNER: Pardon me?

22 KADAK: Why don't we put the spent fuel back in the
23 reactor?

24 WAGNER: Economically, it doesn't make sense, or they
25 wouldn't be discharging them.

1 KADAK: Axially displaced, of course.

2 WAGNER: Pardon me?

3 KADAK: Axially displaced, of course.

4 WAGNER: So, let's see, back to--okay, so, the big thing
5 here is on these bigger ones, are they credible? Can we
6 engineer them such that they're not credible, or can we
7 inform and communicate with experimental programs to try to
8 form a basis for excluding them as credible. So, that's the
9 next step. Evaluate, for those that are credible, or that we
10 cannot dismiss as being incredible, we would need to look at
11 mitigation strategies. And, if they are deemed too costly,
12 again, move back to the experimental program.

13 So, what we're looking at is a combination of
14 analysis and experimental programs to try to deal with this
15 issue. If and when we can deal with--complete the addressing
16 of this issue, which again this is not an issue that can't be
17 addressed, it's more a matter of at what cost, then we will
18 move onto the next step, actually, although I've listed all
19 these, is really thermal. We would like to look at what are
20 the thermal implications, and then assess the safety impact
21 of those.

22 So, I'll move in topics, now, so if you have a
23 question--okay.

24 I'm going to talk a little bit about burnup credit.
25 We didn't do a lot of work on burnup credit in the past year,

1 but we did do some, and I would like to review that. So, one
2 of the tasks, though, we did burnup credit work under the
3 repository criticality activity that was led by John
4 Scaglione, also at Oak Ridge. And, I'd list here some of
5 our accomplishments in Fiscal Year '11. The first one refers
6 to an activity that we led and completed, and what it is is
7 it's an international benchmark program. We actually
8 proposed and again led a benchmark exercise with the OECD,
9 NEA's expert group on on burnup credit to look at inter-code,
10 intercountry comparisons for isotopic predictions and
11 subsequent k-effective predictions over very long time
12 periods.

13 And, the crux of this issue is really that we don't
14 have data over thousands of years. This was actually
15 initiated towards the end of the Yucca Mountain time, and so
16 there were questions how good are our predictions out to a
17 thousand years when we can't validate them. And, so, when
18 you can't validate things, one way to provide some additional
19 confidence is to do inter-code, interdata comparisons and see
20 what kind of spread that we see.

21 And, so, 15 different contributors from ten
22 different countries participated in this exercise, and we
23 completed the report just recently. It will be published as
24 an NEA report, and it actually showed very minor
25 discrepancies between all these different countries in k-

1 effective predictions, out to 1 million years. So, while
2 it's not a validation, it helps provide some confidence in
3 our ability to predict such things. And, again, that's being
4 published.

5 Then, another thing that we did along the lines of
6 sort of Lessons Learned, was to prepare a paper on the post-
7 license application submittal work that was done related to
8 burnup credit. It's published in DOE reports, but sometimes
9 people don't see those, so, it's a review of what we did for
10 the license application, and also what we did afterwards in
11 burnup credit, so that information will not get lost.

12 And, in a sense, it's not only a discussion, but a
13 bibliography pointing back to the reports that were prepared,
14 so again, that information doesn't get lost.

15 Then, we actually continued some experimental
16 validation data work that we had ongoing. What this is is
17 it's destructive measurements of spent fuel samples. Okay?
18 And, we use those for validating our isotopic predictions,
19 which is important to burnup credit. There's two main
20 components to burnup credit validation. How well do we
21 predict the isotopic compositions of the used fuel, and then
22 how well do we predict k-effective of that used fuel?

23 And, so, we continued on with that. We had an
24 opportunity to gain access to some MOX, the MOX rods that
25 were irradiated at the Catawba Reactor. Another program paid

1 for the radiochemical analysis of that, and so we are doing
2 computational analyses to see how well we predict that as MOX
3 may be a used fuel that we have to deal with in the future.
4 So, that would provide validation data for that.

5 And, then, also we had some, under the previous
6 OCRWM work, we had a program where we purchased access to
7 some Spanish data on destructive assays, and we completed
8 that analysis actually under a different sponsor, but kind of
9 within the same vein here.

10 The burnup credit work kind of looking forward is
11 really related to the reconfiguration assessment that I
12 mentioned.

13 Now, where are we at? I thought you might be
14 interested to know where we're at on the status relative to
15 Yucca Mountain. We are not continuing Yucca Mountain work,
16 or anything like that, so let me be very clear about that.
17 But, it is relevant in terms of where we go forward, in terms
18 of what the NRC has recently said about the burnup credit
19 methodology that was part of the Yucca Mountain license
20 application. And, while I've got a lot of words here, I
21 wouldn't mind kind of just reading them here.

22 This technical evaluation report was issued at the
23 end of July of this year, and a few quotes out of here. "DOE
24 developed an adequate technical basis for screening out the
25 criticality event class on the basis of low probability."

1 That is a direct quote, a rather strong quote that I thought
2 you may all be interested in. They found that it's
3 reasonable to take burnup credit for PWR and BWR fuel. I
4 thought their assessment was very good, for whatever that
5 matters what my opinion is, and I thought that they did a
6 very nice job of a balanced review. And, by that, I mean
7 things like this where they have an exception, they're saying
8 here, for those of you who can't read this, "Taking full
9 credit for the neutron absorptive properties of molybdenum,
10 technetium, ruthenium, rhodium, and silver were technically
11 unjustified due to insufficient and inadequate radiochemical
12 assay data." Okay, so, they're saying that's inadequate.
13 "However, DOE showed that the isotopic bias and uncertainty
14 incorporated into the critical limit should make up for the
15 errors and uncertainties in those." So, it's a balance
16 approach. They're saying look, we don't like this, but on
17 balance, we're okay with what was done.

18 And, for those of you--it's a well-written, very
19 worthwhile reading if you're interested in criticality and
20 burnup credit.

21 Now, moving again, kind of related to moving
22 forward, the DOE disposal roadmap, which was talked about by
23 Mark Nutt yesterday, identifies criticality to be low
24 priority at this time, and so we're not going to be
25 continuing work in the disposal side on burnup credit. And,

1 there's a rationale for this, and that is that burnup credit
2 work is not deemed to be important and necessary for what
3 they're doing now, which is site screening and selection, and
4 keep in mind the disposal roadmap does not deal with, which
5 is obvious, does not deal with Storage and Transportation.

6 So, focus going forward, I'll--I really do need to
7 kind of go faster here--is to focus on Transportation.

8 GARRICK: You have about four minutes.

9 WAGNER: Okay. As I've already mentioned, and, so, we
10 will do that. We've got a few activities under the analyses.
11 We will leverage our contacts with NRC and our contacts
12 internationally to support the campaign in this area.

13 And, also, an important point is that as Brady's
14 team and the experimental teams are looking to do analysis of
15 actual spent fuel rods, that's a great opportunity for us to
16 try to get isotopic measurements to support burnup credit
17 validation. So, we will leverage those activities.

18 So, the engineering analyses plans for Fiscal Year
19 '12, this is--again, this has not really started. We have
20 just been in initial planning phases, but I welcome your
21 feedback on what we're intending to do, and that is to
22 basically apply and develop analysis capabilities to address
23 these technical issues and data gaps that Brady Hanson and
24 others have mentioned. So, we want to use analysis as well
25 as experiments to try to address these data gaps.

1 We have a broad scope of areas that we want to deal
2 with, ranging from things like criticality safety to material
3 degradation and everything in between. We will prioritize
4 our efforts based kind of in two ways. On the priority of
5 the data gaps that were identified in that report that Brady
6 talked about, as well as what analysis capabilities do we
7 actually have. Some of these things we have capabilities to
8 analyze now. Some of these phenomenon, we do not, and so
9 that will affect our prioritization. And, so, as that one
10 bullet there says we'll focus on immediate needs and existing
11 codes.

12 We are also already linking up with the DOE NEAMS
13 program. NEAMS is Nuclear Energy Advanced Modeling and
14 Simulation. For what capabilities, analysis capabilities
15 that they can bring to the table, as well as other DOE
16 program, the Light Water Reactor Sustainability Program and
17 the NEUP program, and, of course, our international
18 partnerships, which have been quite active.

19 So, here's how this sort of, in terms of how this
20 fits, we talk about theory, experiments and here, we're
21 talking about modeling. And, I think I've said largely what
22 these top few bullets says already. Our focus will be on
23 revising storage and R&D gap analysis report, and the
24 priorities, basically, we have gaps, we have to figure out
25 how to fill them. And, that's where we'll be contributing.

1 And, we will be preparing a report identifying the
2 application that we'll be performing analyses on initially,
3 and also our plans for moving forward in terms of adjusting
4 those issues.

5 And, with that kind of quickly, I will also mention
6 that we have six laboratories involved in this team, and all
7 will be playing an important role in the engineering analysis
8 of these phenomenon.

9 So, I apologize for going so long.

10 GARRICK: That's not your fault. It's our fault.

11 Henry, I cut you off before, and I know you had a
12 question. And, that's about the only question we have time
13 for.

14 PETROSKI: Okay. Well, I had a question about the
15 testing program, but that was talked out, I think. I just
16 would make one other comment. You talked about--it doesn't
17 seem that the FY12 program is fixed. It sounds like you're
18 still prioritizing and thinking about it, and yet, what,
19 that's a couple weeks away.

20 WAGNER: The FY12 planning, so forth, is not fixed, but
21 it's very close to being finalized. I mean, there's still
22 some approvals, but what we have to get our arms around is
23 what capabilities right now do we have to analyze these
24 phenomenon, and respect to what the priorities are in the
25 phenomenon that we have to address via the data gaps. And,

1 so, we have already connected with the different national
2 laboratories and expertise, for example, in hydrogen
3 reorientation, in cladding creep, in criticality safety. So,
4 in effect, there have been--scope areas have been defined.

5 But, one of the things that--I mean, we will be
6 looking at is a longer term plan in terms of where do
7 analysis capabilities need to be developed, and how will we
8 use those to fill those gaps. So, there is still some
9 planning involved.

10 GARRICK: Okay, thanks, John, and I'm sorry we
11 interrupted you so much.

12 WAGNER: Not at all.

13 GARRICK: We'll take about a ten minute break now, and
14 try to get back on schedule.

15 (Whereupon, a brief recess was taken.)

16 GARRICK: Could we take our seats, please?

17 KOTEK: I guess I'll dive in. Am I controlling the--is
18 it better is I stand up there? Okay.

19 All right, so, I wanted to give you a little bit of
20 a brief overview about the Commission and its origins before
21 diving into the details of the draft report.

22 As I'm sure most of the folks in the room know, the
23 Commission was formed at the direction of the President by
24 the Secretary of Energy back in January of last year. The
25 purpose of the commission is to conduct a comprehensive

1 review of policies for managing the back end of the nuclear
2 fuel cycle and to recommend a new strategy for the United
3 States. The Commission is to deliver its final report to the
4 Secretary the end of January of next year. The report that's
5 just been issued is a draft report, which is called for in
6 the Commission charter.

7 The Commission members, I won't go through all of
8 them, many of them I know are familiar to you all. Our Co-
9 Chairman, former Congressman, Lee Hamilton, who, among a lot
10 of other things, was vice-chair in the 911 Commission. And,
11 General Brent Scowcroft, who was National Security Advisor to
12 Presidents Ford and Bush, Senior. And, there's the rest of
13 our Commissioners.

14 Of course, nothing new to you all, but of course
15 what the Commission has been asked to look at is the back end
16 of the fuel cycle. So, whereas the front end, where we talk
17 about the fuel cycle typically, you know, comprises of steps
18 to get uranium ready for use as fuel in the nuclear reactor,
19 what the Commission is looking at is the back end. And, the
20 questions about interim storage, should the fuel be
21 reprocessed in some way, shape or form, as is done in a few
22 other countries, and plutonium and uranium, maybe other
23 elements, separated for reuse, and then what do we do about
24 final disposition of the fuel.

25 Again, very familiar to you all, this is a

1 presentation I'm using with audiences across the country, so
2 there's some more explanatory material in here than you would
3 typically get for a Board presentation, I would imagine. You
4 all are familiar with what commercial nuclear fuel looks
5 like. The fuel goes into pools after being removed from the
6 reactor, where it's cooled for some period of time, in some
7 cases, a long period of time, and then some of which has been
8 moved into dry storage. I think the numbers I've seen tells
9 me about three-quarters of the U.S. commercial fuel inventory
10 is in wet storage and about a quarter in dry, if that sounds
11 about right to you all.

12 Where the fuel is located, primarily at the 104
13 operating commercial reactor sites in the United States,
14 shown here. There are also I think it's nine sites with ten
15 reactors shut down, commercial reactors where there is still
16 fuel on site. In many, but not all of the cases, the sites
17 have otherwise been completely dismantled and the reactors,
18 the spent fuel pools and other infrastructure are gone.

19 The other main piece of what the Commission is
20 looking at is high-level waste, again, primarily left over
21 from the reprocessing of spent nuclear fuel in support of the
22 weapons program, although we do have some commercial origin
23 high-level waste in the U.S. as well.

24 Typically, the high-level waste is put into a glass
25 pipe form like this picture here on the left, and then put

1 into storage. It kind of looks something like the picture
2 here on the right. Those are actually pictures from the UK,
3 but we've got, of course, similar facilities here in the U.S.

4 The locations of that high-level waste, primarily
5 at former Department of Energy or current Department of
6 Energy sites at Hanford, at the Idaho National Lab, the
7 Savannah River site. We do have some fuel there at the West
8 Valley site as well, the former commercial reprocessing
9 plant.

10 So, what has the Commission done? The Commission
11 has really made a concerted effort to hear from a wide
12 variety of viewpoints and to learn from the experiences in
13 the U.S. with the Yucca Mountain Project, with WIPP, other
14 siting of other controversial facilities, as well as
15 experiences in other nations. And, so, the Commissioners
16 have paid visits to commercial nuclear reactor facilities,
17 DOE facilities in the U.S., and at least some of the
18 Commissioners went on visits that included Russia, France,
19 Japan, the UK, Sweden, Finland. I think I got them all. It
20 started last year, the first meeting was in March, and those
21 activities have continued on into this year, with the
22 issuance of the Commission's draft report again in July for
23 public comment.

24 So, an overview of the key recommendations of the
25 report, there are seven of them, and the first recommendation

1 of the Commission regards the need for a new approach to
2 siting and development of nuclear waste management
3 facilities, be they for storage or disposal. The Commission
4 believes such an approach needs to be adaptive, staged,
5 consent-based, transparent, and standards and science-based.
6 The communities involved, the hosts, tribal, local and state
7 governments, need to be on board with it. And, one of the
8 lessons that the Commissioners really learned from the Yucca
9 Mountain experience was that trying to force a facility on an
10 unwilling host state is just a recipe for taking a lot of
11 time and costing a lot of money.

12 The Commission learned a fair bit from its visit
13 internationally. For example, I put this picture in here. I
14 thought it was kind of neat. This is from our visit to
15 Sweden, and many of you know Claus, the man on the right
16 there, the head of SKB, the Swedish nuclear waste management
17 program. The gentleman on the left, and in the center are
18 mayors of municipalities, call them mayors, municipalities
19 there in Sweden that were part of the competition to host the
20 repository site in Sweden. The gentleman on the left who
21 looks grumpy just found out he's not getting the nuclear
22 waste. And, so, the Commission has seen at least, you know,
23 it's possible that working in a transparent, open and a
24 consent-based manner, at least in this context, it was able
25 to work.

1 The Commission was careful to note you've got a
2 very different political system and a different culture over
3 there, different demographics, what have you. So, you'd be
4 careful not to try and draw too much from that experience,
5 but in any event, that's an example of a place where they
6 enjoy the type of support that I think a facility in the U.S.
7 could really benefit from.

8 The second key recommendation regards the need to
9 establish a new single purpose organization focused on
10 nuclear waste in the United States. The Commission suggests
11 that a federally chartered corporation seems to be the best
12 way to go, although I think if you read the report, you will
13 see that the Commission realizes there are other ways you
14 could skin that cat too. And, I know the Commissioners are
15 interested in hearing feedback on that question of the
16 governant structure.

17 The organization would be responsible for the
18 transportation, storage, and disposal of fuel. The
19 Commission didn't think, for example, R&D on or conduct of
20 reprocessing operations was something that, even if it was
21 recommended, it was something that this new organization
22 should be responsible for. The idea would be to establish a
23 corporation with a board of directors nominated by the
24 President and confirmed by the Senate, and which would then
25 select a CEO to head the organization. So, that's the type

1 of structure that they have suggested in the draft report.

2 The third recommendation is provide the nuclear
3 waste management organization assured access to funding.
4 And, I'm sure the gentleman sitting to my right knows a
5 little bit about funding battles, and trying to get money for
6 the nuclear waste program. And, the Commission believes that
7 providing the access to the fund that was envisioned when the
8 original Nuclear Waste Policy Act was established back in
9 1982 is essential to maximizing the chances of the
10 programmatic success.

11 What the draft report includes is a recommendation
12 for some near-term changes on the handling of the annual
13 nuclear waste fee payments to try and make it easier for the
14 organization to get access to the money and also make it
15 easier for the Congress and the Administration to ultimately
16 make the long-term changes that are going to be required to
17 provide the secured access.

18 If you've got questions about the details of the
19 near-term changes, I can go into them later. But, I'm not
20 sure it's of any great interest. But, let me know.

21 Recommendation Number 4. This recommendation
22 really grew out of the original tasking of the Commission and
23 the first meeting that the Commission had where the Secretary
24 of Energy came and explicitly said look, you know, 30 years
25 have past since the Nuclear Waste Policy Act was enacted. He

1 asked have we learned something, or have we developed
2 technologies, or what have you, that really could
3 fundamentally change the nature of the waste problems, so
4 that maybe you don't need a disposal facility. So, we asked
5 the Commissioners to take a look at that, and the
6 Commissioners really concluded no, deep geological disposal
7 is going to be required. Even if you engage in some sort of
8 reprocessing, you know, based on the technologies that are
9 either available today or reasonably foreseeable, you're
10 going to have those types of waste streams to require that
11 long-term isolation from people and the environment.

12 And, so, the Commission really felt like we need to
13 get started again with a deep geologic disposal program in
14 the U.S. Now, the Commission wasn't asked to, and hasn't
15 passed judgment on the Yucca Mountain Project or on the
16 merits of the decision to request withdrawal of the license
17 application. The Commission simply said regardless of what
18 happens with Yucca Mountain, one, they're trying to recommend
19 a strategy that works, whether Yucca is part of it or not,
20 and, two, they do believe you're going to need to site new
21 nuclear waste facilities in the United States, and we're
22 probably going to need, you know, if you look at the law the
23 way it is written right now, Yucca Mountain is essentially
24 full, so you would need to get started under the law with
25 siting the next repository. So, in any event, you're going

1 to need to develop repository facilities, and get started
2 with the process.

3 The next piece, or the next key recommendation has
4 to do with consolidated interim storage. And, the Commission
5 felt like there was real benefit to be derived from
6 establishing one or more consolidated interim storage
7 facilities, particularly as a way of doing something about
8 the stranded fuel that's at shutdown plants, the shutdown
9 plants I mentioned earlier. And, the Commission really felt
10 like that should be first in line. That's the fuel you ought
11 to move, once you have the capability in place and not just
12 the physical capability of a site, but also the ability, the
13 transportation system that you're going to need in place to
14 move the fuel around. Then, you know, the presence of that
15 capability, the existence of that capability provides you
16 options for managing the back end of the fuel cycle going
17 forward. But, the near-term priority was to move the fuel
18 from the shutdown plants.

19 Recommendation Number 6 has to do with R&D, and the
20 Commission really felt like it was important to provide
21 stable long-term support for research, development and
22 demonstration for advanced reactor and fuel cycle
23 technologies, and for related workforce needs and skills
24 development.

25 The Commission took a look at the R&D roadmap and

1 the other planning efforts underway in the Department of
2 Energy, and generally thought that that was headed in the
3 right direction, but made a few suggestions in that regard.

4 And, then, finally, the Commission really felt like
5 the U.S. needs to continue to maintain some international
6 leadership on things nuclear, especially when it comes to
7 addressing global non-proliferation concerns and also
8 improving the safety and security of nuclear facilities and
9 materials worldwide. And, so it made a few recommendations
10 in that area as well.

11 Some other things that didn't quite rise to the
12 level of key recommendations, but other things that I think
13 are noteworthy in their report. One, the Commission looked
14 at the question of the current division of responsibility to
15 the NRC, between the NRC and the EPA as it regards repository
16 development. And, felt like that division responsibility was
17 appropriate, but that the working relationship between the
18 agencies can and should be improved, and made some
19 suggestions in that regard. And, that the agencies need to
20 get started developing new site-independent safety standards,
21 and as they do that, they really need to solicit input from
22 all relevant constituencies.

23 One of the things that the Commission heard a lot
24 about was frustration amongst stakeholders, and especially
25 trying to work through the NRC process.

1 Moving on to the next recommendation. The
2 Commissioners really felt like the jurisdictions of relevant
3 safety and health agencies need to be clarified and aligned,
4 that we need to have new site-independent safety standards
5 for protecting nuclear workers.

6 Next item, and this was of particular interest
7 yesterday, and I think some of you at least have seen the
8 article in this morning's Salt Lake Tribune regarding the
9 Commission public comment meeting that was held yesterday in
10 Denver. This recommendation here was, of course, a big topic
11 of conversation there because one of the things the
12 Commission is trying to do with these outreach meetings is to
13 hear from affected or potentially affected state, tribal, and
14 local governments to get their feedback on the draft report.
15 And, do they think the Commission is provided the right type
16 of guidance and enough guidance with respect to how the new
17 waste management organization ought to work with affected
18 units of government.

19 And, so, the Commissioners really felt like the
20 roles and responsibilities of those sub-federal government
21 agencies really need to be part of negotiation, and that the
22 Commissioners didn't try to provide too much specificity up
23 front because what they heard as they went from place to
24 place was it's hard, really hard to predict at the outset
25 what a state or a community is going to think is going to be

1 in its own best interests. And, whereas, one state may want,
2 you know, a certain regulatory function, and another state
3 may not want it at all. And, so, the Commission kept the
4 recommendations in this area relatively broad and are now
5 hearing comment, feedback from, again, these sub-federal
6 units of government, and the Commission is going to sort
7 through that and decide is there more detail that they can
8 and should provide in the final report that would be helpful
9 to move this along.

10 But, you know, a few principles. One was that all
11 affected governments need meaningful participation in the
12 process; that states and tribes should have authority over
13 aspects of regulation of a storage or disposal facility; and
14 that also, that the local, state, and tribal governments have
15 a responsibility along with the federal government to work
16 productively to try and advance the national interest and get
17 a solution in place and a new strategy in place for nuclear
18 waste management.

19 Regarding spent fuel storage, interim storage on
20 sites, as we all know, interim storage of spent fuel at
21 existing sites is going to continue for some time. The
22 Commission didn't see any unmanageable safety or security
23 risks associated with current storage practices, but really
24 feels like active research is needed, drawing on a report
25 that you all prepared I think earlier in the year, I wanted

1 to emphasize the need for that research agenda.

2 Speaking about the tsunami, earthquake and accident
3 there at the Fukushima plant in Japan, of course when the
4 Commission was preparing its draft report, things were
5 really--there still wasn't a great deal of clarity regarding
6 what had happened over there. The Commission believes that
7 the best thing to do in this case is ask the National Academy
8 of Sciences to assess Lessons Learned from Fukushima and to
9 look at what the implications are, for example, for I think
10 the report released in 2006, looking at the safety of
11 commercial spent fuel storage, go back and take a fresh look
12 at those recommendations in the context of what happened in
13 Japan, and decide whether any changes need to be made.

14 Regarding the new organization, as I think I
15 mentioned earlier, the principals that apply for decision-
16 making for disposal facilities should also apply for siting,
17 consolidating storage facilities and other facilities that
18 are part of the nuclear waste management system. The
19 Commission really felt like the siting process for developing
20 these future facilities need to include flexible and
21 substantial incentives for host communities that are willing
22 to help solve this national challenge that we've got about
23 spent fuel. So, the Commissioners really wanted to highlight
24 that aspect.

25 Regarding transportation, this is an area, frankly,

1 that we heard a lot about in yesterday's meeting from states
2 who would like to see more detail, more specificity and more
3 guidance to a new nuclear waste management organization
4 regarding transportation. So, I'd be interested to know if
5 you all have any thoughts on that subject as well.

6 The current system of standards and regulations
7 governing transport works well in the view of the
8 Commissioners, and there is an excellent safety record
9 associated with transporting nuclear material waste in the
10 United States. But, these transportation campaigns take a
11 long time to do the planning necessary, and so the Commission
12 really feels like that's one of the things that needs to get
13 started soon is planning for the transport at the start of a
14 project for consolidated storage capacity.

15 While we may not know where fuel from shutdown
16 plants is going to go, for example, we do know where it is,
17 and you can start working, at a minimum, with those states to
18 start getting a transportation system and set of agreements
19 in place.

20 Next recommendation had to do with the ongoing
21 litigation between DOE and the utilities. The Commission
22 just urges that that be expeditiously resolved. I've cribbed
23 a chart here from the Commission draft report that talks
24 about some of the liabilities and expenses that the
25 government has incurred as a result of its inability to take

1 fuel starting in 1998. You will see, for example, there's
2 been \$168 million in litigation costs through 2010, and
3 that's just for outside experts and support. That doesn't
4 count the money that's been spent on DOJ or DOE staff
5 litigating these cases. So, the Commission urges that the
6 utilities and the government work together and get that taken
7 care of.

8 Regarding R&D, again, to emphasize something
9 pointed out earlier, the U.S. really needs to retain its
10 global leadership position in nuclear technology. Some of
11 the details of where the Commission thought effort could be
12 applied was in the safety and performance of existing light
13 water reactor technology, storing and disposing of spent fuel
14 and high-level waste, and, then, in what the Commission sort
15 of felt game-changing nuclear technologies and systems,
16 things that seemed to offer fairly significant improvements
17 over what we've got now.

18 Let's have the next slide, if I could. All right,
19 thank you.

20 One of the things that the Commission thought was
21 important was to assign some of those RD&D resources for use
22 by the NRC, especially as they look at novel nuclear
23 technologies, developing the necessary regulatory framework
24 is going to be obviously important, supporting anticipatory
25 research by the NRC for novel components of advanced nuclear

1 energy systems would of course be useful. And, this will
2 help to increase confidence in those new systems that may be
3 candidates for commercial investment.

4 All right, so where do we go from here? The
5 Commission, as I mentioned earlier, is engaged in an outreach
6 effort to solicit feedback on the draft. The first of the
7 meetings we had yesterday that we're co-hosting with regional
8 state government groups, again, was in Denver co-hosted with
9 the Western Governors Association. We've got meetings coming
10 up in October, one in Boston, one in Minneapolis, one in
11 Atlanta, and one in Washington, D.C., the first three of
12 which we're, again, co-hosting with regional state government
13 groups to solicit their feedback.

14 We're also doing invited talks, like I'm doing here
15 today, to organizations that are interested in the
16 Commission's work. The Commission has requested the comments
17 be submitted by the end of October so that it has time to
18 consider them before producing its draft report. The
19 Commission may conduct other visits or meetings as necessary.
20 There's nothing scheduled yet, but it's possible something
21 will come up and, of course, that will be noticed well in
22 advance if that in fact is the case, all leading up to the
23 submittal of a final report to the Secretary of Energy by the
24 end of January.

25 And, of course, if you've got feedback, the folks

1 on the Board, of course, know how to get ahold of us, or
2 anybody in the audience who's interested in providing some
3 feedback, brc@nuclear.energy.gov. It is a good way to get
4 comments to the Commission, and you can also do it to the
5 commission website at www.brc.gov where you can also find all
6 the information. We've got video, archive of all the full
7 Commission meetings and the subcommittee meetings,
8 transcripts from all the meetings, the presentation
9 materials, all the comments that have been submitted to the
10 Commission, Commission papers, and a lot of other things.
11 So, if you are interested, that's where to find me.

12 So, that's a brief summary of the draft report of
13 the Commission. Thanks for your time. I look forward to
14 hearing your thoughts.

15 GARRICK: Thanks, John. Okay, let's ask a few questions
16 before we go to the next panelist. Andy, and then Ron.

17 KADAK: Hi John. Kadak, Board.

18 I guess you mentioned, the Commission actually
19 mentioned twice on interim storage and on disposal
20 expeditiously. I'm just trying to get a definition.

21 KOTEK: Well--and that's--actually, we've heard some
22 comments on that as well, and I know that's something the
23 Commissioners will go back and take another look at as they
24 prepare the final. The thought I think they were trying to
25 convey was there is an issue that needs to be resolved.

1 We've been trying to--working towards solutions at the back
2 end of the nuclear fuel cycle for 50 years, and we still
3 don't have a disposal capability. We don't have the capacity
4 to any great degree to move fuel off the reactor site if you
5 need to, or clean out a shutdown site, or what have you.
6 Let's get going with it again. All right? That doesn't mean
7 that you race to pick the site that you get developed the
8 fastest. It just means get going.

9 KADAK: As a follow-up to that, the article in today's
10 paper, the Salt Lake Tribune basically reports on the BFS
11 project, and the governor is opposed to it apparently. I'm
12 not sure, the Goshutes obviously are still for it, and in the
13 context of expeditiously, did the Commission consider that
14 site as a way to address many of the problems that you've
15 highlighted here relative to the obligation, government
16 obligation for spent fuel?

17 KOTEK: No. The Commission is not a siting commission.
18 They're not looking at specific sites. So, I mean, certainly
19 we tried to learn lessons from the experience, the State of
20 Utah, and others have had with the BFS project. But, it's
21 not looking at specific sites.

22 GARRICK: Okay. Ron?

23 LATANISION: Latanision, Board.

24 John, did the Commission look at precedence for the
25 single purpose organization that has been suggested? And,

1 what are the attributes of such an organization?

2 KOTEK: Well, I think what the Commissioners were struck
3 by was during its visits and reviewing extremely helpful
4 documents, like the Board's report on the survey of nuclear
5 waste programs in other nations, that by and large, you had
6 single purpose organizations around the world set up for the
7 purpose of nuclear waste management. Also, by and large, and
8 there may be exceptions to this, but I can't think of any off
9 the top of my head, those organizations were responsible for
10 storage transport disposal. They weren't in the reprocessing
11 theme, so to speak. So, the Commissioners sort of looked at
12 that experience, coupled with the experience in the U.S. of,
13 for example, that the struggles sometimes getting senior
14 management attention on--within the Department of Energy on
15 important issues related to the disposal program.

16 You know, within DOE, of course, you've got a whole
17 lot of missions, and I think were you running somewhere
18 between a quarter and a half a billion a year when you were
19 there? Round numbers. Inside a \$25 billion a year
20 organization, I mean, that's just not--you're not going to
21 get the type of attention from the highest levels of the
22 organization that you really need. So, the Commission really
23 felt like breaking it out and establishing an organization
24 where that's the mission, gave the organization the greatest
25 opportunity for success. Not that you couldn't do it other

1 ways, you know, and again, Ward and others, you know, did
2 some really good things, you know, got a license application
3 submitted. Within the DOE system, it's, I think the
4 Commission just felt like the balance of the evidence sort of
5 weighed in favor of moving it outside.

6 LATANISION: Just a follow-up. In terms of the
7 attributes of such an organization, I mean, it would seem
8 that having a major social science agenda would be an
9 important part, given, for example, what we have seen in
10 Sweden and Britain, as an example.

11 KOTEK: Yes. And, one of the things that is in the
12 report is talking about the need to ensure that you pay
13 attention to the social science aspects of this challenge.
14 And, I'll need to go back and maybe while Ward is talking,
15 I'll get the specific quote out of the report where they talk
16 about the need, for example, through the Board of Directors,
17 or through an advisory committee, to insure that you have
18 that sort of input into the process.

19 GARRICK: Okay. Henry, and then I think we'll--well,
20 one more question after that, I have, and then we'll go onto
21 Ward Sproat.

22 PETROSKI: In your first recommendation on siting and
23 development, you have one of the bullets saying it should be
24 standards and science based. Those of us who are engineers,
25 think that development is largely an engineering problem. It

1 is science based, of course, and I respect my science
2 colleagues here, but, getting engineering more visible at the
3 idea of developing these sites I think is very important.
4 Later in your report, you also mention an NAS report. There
5 is a National Academy of Engineering also that has an
6 interest in these problems, and that has a perspective that
7 is a little different, but also they enjoy the same goals.

8 KOTEK: Great, if you want to point me to that NAE
9 report, that would be very helpful.

10 PETROSKI: Thank you.

11 GARRICK: John, I know you don't like to speculate and
12 you probably won't, but what do you expect to be the primary
13 issues, or the primary differences, between the draft report
14 and the final report?

15 KOTEK: You're right. I hate to speculate. No, I mean,
16 there were certain areas, for example, the Commissioners
17 assigned the disposal subcommittee to at least think about
18 this issue of commingling defense and commercial waste.
19 Okay? So, that will be an area that I expect the Commission
20 will have more to say. The Commission is hearing a lot, and
21 intentionally so, from state, tribal, and federal government
22 and NGOs, you know, other people who may have some insights
23 to offer on how you work effectively with state, tribal, and
24 local governments to build a program that is credible and
25 sustainable over the very long-term. So, we're certainly

1 getting a lot of input in that area, so that's an area that
2 may be ripe for some changing. So, those are two right off
3 the top of my head. But, we're getting more and more
4 comments in every day, and I'm sure there will be other
5 things that are pointed out that the Commissioners may decide
6 they can improve on.

7 GARRICK: All right. If you don't mind, if you can hang
8 around, we'd probably have some more questions at the end of
9 Ward's presentation. So, I thank you.

10 KOTEK: I can't wait to hear what he has to say.

11 GARRICK: Okay. Ward?

12 SPROAT: Good morning, everybody. You know, it's hard
13 to believe it's been three years since I talked to this Board
14 the last time. And, things have changed a bit since then.

15 I want to make it really clear. I'm here this
16 morning at the invitation of Dr. Garrick. I'm not here
17 representing my current employer or any of our clients, and
18 I'm here to give you my personal opinions, based on my review
19 of the draft report. And, what I'm going to try and do today
20 is kind of give you my overview of what I took away from
21 reading the draft report, and I hopefully give you a balanced
22 perspective on that report, both in terms of the very
23 positive things they have in it, but I think you are going to
24 see, I have the opinion that there are two big elephants in
25 the room that the report doesn't address at all, and I'm

1 going to be pretty specific about those as we go forward.
2 And, I'm going to give some recommendations on how I think
3 the report needs to--what I think the report needs to address
4 in its final version.

5 Go to the next slide.

6 So, general conclusions. I'm going to talk about
7 each of these in a little more detail, but overall, the
8 Commission and the process they followed I think is
9 exemplary. They've had a very wide range of participants,
10 both experts, non-experts. They've had hearings. They've
11 had public meetings. They've traveled internationally. I
12 think in terms of the process they have used and how that's
13 been managed, I think had been outstanding. And, I truly do
14 believe they have really tried to listen to the people who
15 they have heard from.

16 I was asked, and I gave formal testimony to the
17 full Commission. I saw in the report things I specifically
18 felt were important that are addressed in there. So, I think
19 in terms of what they have tried to do, and the process they
20 have used to try and take this very difficult and multi-
21 faceted problem, I think they have done a very, very good job
22 with that.

23 They have a number of very specific recommendations
24 that I'll cover a few of them, that I think are very
25 appropriate, and they've got some very good potential

1 solutions. However, these last two bullets I'm going to talk
2 about in a little more detail, are the two elephants in the
3 room I talk about.

4 The report, I believe, generally ignores the whole
5 issues of Lessons Learned from Yucca and what should be done
6 with them, regardless of whether or not the repository is
7 built. I'm not advocating that we ought to build the
8 repository, but given we have had three plus decades of
9 scientific and engineering work that are embodied in that
10 program, not to have a recommendation or a set of
11 recommendations explicitly addressing what should be done
12 based on that I think is a major shortcoming of this draft.

13 The other thing, quite frankly, is this last piece,
14 which as I read through the draft, I got about a third of the
15 way through it and I said, "You know, I can't remember seeing
16 the word politics anywhere in this report so far." So, I
17 started counting, I didn't do an electronic word search, but
18 I found the word "politics" or a derivative of it only three
19 times in the report. And, all of us who have been involved
20 with this program know that's a big issue, and this report
21 has to hit it straight on. And, I'm going to be a little
22 more specific about that as we go forward.

23 Go to the next slid.

24 So, in terms of the process itself, I think that it
25 was very thorough and very wide ranging. As I said, they

1 really tried to obtain a lot of first-hand witness testimony
2 from a wide range of stakeholders. They generally--generally
3 did a good job at researching historical reports and the
4 legislative record. I have some exceptions to that around
5 some OCRWM reports I'm going to talk about here in a few
6 minutes. But, they did a very good job, particularly in the
7 area of the Nuclear Waste Fund and access to the Waste Fund
8 and understanding the history of how we got from what the
9 original intent of the law was, to how it's operating today,
10 very good description in that report about how we got there
11 and how it needs to get fixed. So, I think they really did
12 try to understand in certain aspects of the program what
13 needs to get fixed, given how we got there.

14 They did a lot of traveling internationally to talk
15 to the international programs, a wide range of expertise, and
16 I think they did clearly try to listen to what they heard.
17 As I said, I felt that based on what I told them in my formal
18 testimony, I saw some response to that in the draft report.

19 Next one?

20 So, I do think they did a very good job at
21 addressing, and at least putting on the table, a number of
22 the major issues with the program, the first one being
23 financing and access to the Nuclear Waste Fund. The report
24 does a very good, as I said, a very good explanation of the
25 Nuclear Waste Fund, its history, and why it is now

1 disconnected from program management. It also talks about
2 and provides some very specific recommendations about how the
3 nuclear waste fee collection process should be changed, and
4 how it should be managed, given the political realities of
5 the program.

6 And, while there may be some tweaking that I might
7 do, the recommendations, they've made some very credible
8 recommendations I think are very well thought out. Part of
9 that is they talk about the potential for establishment of
10 escrow accounts, which is an interesting concept. However,
11 in this area where I think the report is pretty short, is it
12 does not talk about the adequacy of the fee or the Fund,
13 given some of the recommendations that they make in the
14 report, particularly regarding repositories and centralized
15 interim storage. And, so, that is a shortcoming, and I will
16 talk a little bit more about that in a few minutes. But, in
17 this one area, the whole concept of fee adequacy has been
18 pretty much ignored in the draft report.

19 In terms of management of the program, the
20 recommendation to establish a Fed Corp, or something like
21 that, kind of a model of TVA, there has been a lot of
22 discussion over the last decade about that, and it has become
23 more intense over the last several years. Certainly a
24 workable solution has been proposed in the report.
25 Obviously, the devil is in the details, and I have testified

1 in front of Congress on this issue, and what I told Congress
2 was this can be made to work, but it all depends on the
3 enabling legislation and the details in that legislation that
4 sets that Fed Corp up, and the power it's given, the
5 responsibility it's given, the access to the waste fee it's
6 given, how it's isolated from the year to year political
7 process, and that's key.

8 So, the Fed Corp concept, I think, can be made to
9 work, but it's going to be really determined by the enabling
10 legislation that sets it up.

11 The report also talks about the siting process, and
12 talks a lot about an adaptive, consent-based siting process.
13 Sounds really good. It is the ideal. And, there is a
14 significant amount of space in the report that's devoted to
15 that, but I really do think it has missed some of the real
16 issues, and it has to go into the political realities of what
17 is involved in the siting process. And, that's why I'm going
18 to talk about that in quite a bit of detail here in a minute.

19 Covers R&D, which is very appropriate. This is
20 obviously a multi-decade program that R&D is needed for as
21 the technology evolves. Talks about regulations. The one
22 thing it talks about, both the NRC's role, the EPA's role,
23 the role of various state and local government agencies and
24 tribal agencies. It may not have been the intent of the
25 report, but when I read it, I got the impression that the

1 report's recommendation says, in terms of regulations, we
2 ought to start over. I fundamentally disagree with that, but
3 that's the--and, that may not be the intent, but that's the
4 impression I got from the report, because essentially we have
5 decades of a process of where the regulatory requirements for
6 this program have developed, and we've gotten pretty much to
7 the end point of that to see whether or not that regulatory
8 process gave us a workable set of criteria or not. And, I
9 think we ought to find out whether or not it did before we
10 decide we've got to start over again. And, the report just
11 seems to assume that we needed to start over again with a
12 whole new regulatory framework, and I think that's really
13 wrong.

14 It talks about centralized interim storage in quite
15 a bit of detail. And, all of us who have been involved with
16 the industry over our careers recognize that in theory, I'm
17 underlining the word "theory," that it should be easier to
18 establish centralized interim storage, take stuff from the
19 shutdown sites, spent nuclear fuel from the shutdown sites,
20 and move them to that centralized interim storage. All I
21 will say is our attempts in this country to do that so far
22 have been an abysmal failure. So, I don't buy the argument
23 that it's going to be simpler and it's going to be cheaper.
24 I just don't. The history, the experience doesn't support
25 that premise.

1 And, oh, by the way, we can't afford to do that
2 with the existing Nuclear Waste Fund. So, there's some real
3 issues there that need to be addressed in the final report.

4 It talks about international engagement and the
5 need to maintain U.S. leadership in that. I really think
6 that is very important. Unfortunately, the report doesn't
7 address what DOE has done over the past decade plus with the
8 international community. There is a brief reference in the
9 report to EDRAM, which is the group that was formed by the
10 western countries and the leaders of their nuclear waste
11 disposal programs. I participated in that during my time in
12 office. And, we were seen as the leaders in driving the
13 repository program forward. And, so, we are and we were very
14 heavily involved with that, and I think the report needs to
15 at least acknowledge that.

16 And, then, finally, it talks about the litigation
17 and says we need to go settle that. And, some of us have
18 been involved with that for quite a while, and we will see
19 how that works out.

20 So, in terms of, I think, the recommendations in
21 the report that are pretty specific and very appropriate,
22 talks about forming a new organization, the Fed Corp, I think
23 that is right spot on. Talks about access to the Nuclear
24 Waste Fund for that organization. There is a very good
25 discussion in there about, like I said, how we got to where

1 we are, and some recommendations about how we handle
2 mandatory versus discretionary receipts and appropriations,
3 which has to get fixed.

4 What it doesn't quite talk about is, so there is a
5 huge, and John talked about it in his comments, about this
6 huge and growing backlog of liabilities the Federal
7 Government is looking at in terms of the not performing on
8 the standard spent fuel contract. How that gets addressed
9 and who gets that liability needs to be addressed as part of
10 setting up that Fed Corp. Because if that Fed Corp gets
11 saddled with that liability, it ain't going to be successful.
12 So, how that gets set up, who owns that liability, how it's
13 going to be funded, that needs to get addressed in the
14 recommendations.

15 A recommendation says you need to develop a deep
16 geological high-level waste repository. It was very nice to
17 see that because those of us in the program have been saying
18 that publicly and in testimony to Congress for years. But,
19 now, it's in the Blue Ribbon Commission report, so it
20 hopefully gets some visibility and recognizing again. Very
21 good set of recommendations around that.

22 Talks about resolving the litigation. Modifying
23 the fee collection process and gave some very specifics about
24 using escrow funds. But, again, it doesn't address the issue
25 of fee adequacy. And, fee adequacy is a major, major issue

1 for this program, because all the recommendations that are
2 embodied in this program, and the time needed to carry them
3 out, all have a cost, and that cost isn't going to get borne
4 either by the utilities, the taxpayer, or both. So, it can't
5 be ignored as part of the equation of coming up with the
6 recommendations.

7 This bullet, you know, striving to use an adaptive,
8 consultative process with stakeholders for siting, it is a
9 very good recommendation, and there's a lot of good
10 discussion in there, and it's based on a lot of the feedback
11 and input from the people who talked to the Commission. It
12 doesn't go far enough in terms of how do you make that
13 happen, and I'm going to give you a few recommendations here
14 in a minute about how we do that.

15 And, then, future nuclear R&D for novel advanced
16 systems is also talked about in there, and I think that's
17 appropriate.

18 So, let me talk about my two elephants in the room
19 that aren't addressed in the report, at least from my
20 perspective. First, about I think the report just ignores
21 the whole concept of trying to get Lessons Learned, specific
22 Lessons Learned out of the Yucca Mountain Program. The first
23 one is so there's no recommendation about what should happen
24 to the License Application. So, think about this, we have a
25 law that's now almost 30 years on the books, that mandated a

1 process, and that law said take it all the way up to the
2 point in time of submitting a License Application and having
3 the NRC rule on it, and that's where we are. We followed it
4 all the way through, and it's now stopped. And, I will tell
5 you that I don't know if Yucca will ever be built, I'm
6 certainly not here advocating that we need to go build it
7 now, because I don't know. There's a lot of barriers in the
8 way of doing that. I do know that as a country and as a lot
9 of very intelligent, dedicated professionals over the last 25
10 years, or so, people have taken very seriously executing the
11 legislative mandate to go put a License Application together
12 to see if we can actually license a deep geological
13 repository in this country, and that's where we are. And, we
14 stopped.

15 And, so, for the Commission just to ignore that and
16 not make some specific recommendations about what should
17 happen with that process in terms of whether it's on one side
18 of the spectrum, just taking the TERs, evaluating them and
19 using them, which is a pretty innocuous recommendation, to
20 recommending the License Application be fully prosecuted
21 through the NRC with a final conclusion made, and then the
22 conclusions from that taken and factored into what happens
23 next, that's got to be addressed somewhere in this report,
24 and it's just mute on the issue right now.

25 And, as a result, many of the technical and

1 regulatory issues that we have all wrestled with, you know,
2 in terms of burnup credit, and all sorts of other esoteric
3 stuff that the national labs took the leadership in trying to
4 resolve over the years, at least we'd have some closure on
5 the issues to know if we were on the right track or not, so
6 just to ignore that and just to let it all drop makes no
7 sense to me whatsoever. So, I think the report needs to hit
8 that head on.

9 The second thing I found--actually, the only part
10 of this that I found disappointing, personally disappointing,
11 was in 2008 in OCRWM, when we were finishing the License
12 Application, you know, we knew, I knew, that the program was
13 going to face a set of decision points going forward,
14 regardless of what the outcome of the License Application
15 review was, so we made a decision that we had a group of
16 people in OCRWM and in the national labs that worked on this
17 program for 20 plus years, and we wanted to try and summarize
18 all of the key issues around the program, besides the License
19 Application, in a series of reports. And, so, we put
20 together a formal report on the TSLCC, which is the Total
21 System Life Cycle Cost estimate. What it's going to cost the
22 country to take care of spent nuclear fuel.

23 We put together another report that based on that,
24 what's the fee adequacy, and what are the assumptions of
25 whether or not the 1 mil per kilowatt hour fee is going to be

1 adequate or not, we put together a pretty detailed report on
2 centralized interim storage, what the legal aspects and
3 issues were, what the political aspects were, and what the
4 cost aspects were, and the transportation aspects were, and,
5 with a whole report on that.

6 And, then, we also put together a report on the
7 need for a second repository, and why there might be a need
8 or may not be a need for a second repository, and what made
9 sense in terms of how to address that issue in legislation
10 going forward.

11 So, we have a compendium of reports on the record
12 that are less than three years old that cover all of these
13 aspects of the program, put together by the people who knew
14 best all of these issues, and they have been, from what I can
15 tell, they have been ignored by the Blue Ribbon Commission.
16 And, I think that's totally wrong. They have to be at least
17 looked at, acknowledged, and some of the conclusions in them
18 either the Commission says yeah, we saw their conclusions, we
19 think they are wrong, we ought to do something else, or they
20 go yeah, pretty good conclusion, we need to factor that into
21 our recommendations of how the program goes forward. But, to
22 ignore them or pretend they didn't exist, I think is totally
23 wrong.

24 Another part about this, about Yucca, is it ignores
25 the history of the DOE/Nevada relationship and the

1 implications for future siting. And, what I mean by that is
2 that, and I'm going to make this point over and over again,
3 particularly on the next slide about politics, you know, when
4 we talk about an affected unit of government, whether it's at
5 the state level, the county level, the city level, the tribal
6 level, we've got to be clear about who we're talking about,
7 number one, and, number two, what time frames, and as we set
8 up a process, we have to recognize that this process of
9 developing a repository, or centralized interim storage, is a
10 multi-decade long process. And, whoever is sitting across
11 the table from Fed Corp or DOE or whoever it is, at the
12 beginning of that process, ain't going to be the same people
13 who are sitting there five years later, ten years later, or
14 15 years later.

15 So, in the case in Nevada, most people don't know
16 this, but we've testified--I testified in front of Congress
17 in this in one of my formal testimonies--but, you know, the
18 State of Nevada legislature passed legislation back in the
19 Eighties inviting DOE to put the repository in Nevada, in
20 exchange for a solar demonstration park. This was formal
21 federal--I'm sorry--formal state government, you know, passed
22 by both houses in the state legislature, signed by the
23 governor, saying we want the repository in Nevada. So, here
24 we are now.

25 So, there is history here that says whatever

1 process you set up that's adaptive, that's consent-based,
2 needs to recognize that it's a--that the time constant for
3 the siting process is different than the political time
4 constant. And, that has to get factored into this somehow.

5 Last piece was I don't think the report really
6 gives DOE and the program enough credit, nor does it draw
7 enough conclusions from the work that DOE did with the
8 affected units of government, the transportation
9 stakeholders, and the international programs. We had a lot
10 going on in those over years. We made a lot of progress in
11 those areas in terms of working with, you know, on the
12 transportation side, with the affected units of government.
13 We made a lot of progress, a lot of Lessons Learned, and
14 quite frankly, a number of the recommendations in the draft
15 report, we had already implemented and were implementing in
16 these programs. Having the draft report, should take a look
17 at what we did in those areas, what worked well, what didn't
18 work well, and be a little more specific about what should be
19 done differently, if anything, in those areas, given the
20 experience that we really had. Because, you read the report,
21 it's almost like well, we didn't do any of this, and that's
22 not the case at all. We were very heavily involved with the
23 AUGs and the transportation stakeholders.

24 SPEAKER: Do you mean to skip the two before that?

25 SPROAT: I'm sorry, which--oh, no, I didn't. I got so

1 excited about the last two.

2 The issue about centralized interim storage that's
3 brought up in the report, which again talks about the need to
4 move fuel, it got talked about very briefly this morning, but
5 what a lot of people don't recognize is that for many of the
6 shutdown plants that have spent fuel in casks sitting on
7 pads, those casks are not transportation licensed, which
8 means they've got to be repackaged. So, how you repackage
9 them, where you repackage them, get them in, the ability to
10 be transported to whether it's a centralized interim storage
11 facility or a final repository, is not a trivial issue. And,
12 it's something that the report needs to at least address,
13 because it has implications in terms of the fuel handling
14 facilities that are needed to be able to repackage at
15 locations to get to that site, and has implications for cost,
16 has implications for just the political feasibility of doing
17 that. So, that's an issue probably that needs to have a
18 little more clarity in the report.

19 This other piece here about trust, the report does,
20 I think it does a very credible job at talking about the
21 issues that all the stakeholders told the Commission about
22 their issues of trust with the Federal Government. And, as a
23 matter of fact, I think that was one of the key things that
24 came up in the meetings yesterday, and it was talked about in
25 the paper, where people said we just don't trust the Federal

1 Government to do what they said they were going to do. Very
2 legitimate issues.

3 And, when you take a look at where we are on this
4 program today, the government has gone all the way through
5 executing the process, albeit really slow and really hard and
6 really difficult, but we've gone all the way through the
7 process mandated by a law that says submit a License
8 Application and have the NRC rule on is it adequate or not,
9 and we stopped, it seems to be a pretty big trust buster to
10 me in terms of how the public might perceive the Federal
11 Government. Given that the report has legitimately raised
12 the issue of the trust factor, having it talk about, with
13 some honesty, about the potential impact of withdrawing the
14 Yucca License Application on the trust, that the local,
15 states and communities have regarding the Federal
16 Government's ability and willingness to go and execute this
17 program per the plan and per their commitments, I think ought
18 to be addressed in the report.

19 Thank you for pointing out the two I missed.

20 So, let me go to the political realities. As I
21 said, I only found the word--something I could do in an
22 electronic word search, I'm sure it's more than the three I
23 found, but I bet it's not too much more than that.

24 So, the report, there's a very heavy focus on a
25 consent-based process for siting both a repository and

1 interim storage, and I think it's very idealistic, because
2 our history tells us it ain't going to be that easy. So, it
3 talks about consent, and having consent-base, so, the first
4 question I would have is the report needs to be a little
5 clearer about so who gets a vote. Whose consent is needed?
6 And, when you take a look at--and, in a lot of the
7 discussions, it talks about the local community, the host
8 community, which is very, very appropriate.

9 And, you know, the examples over in Sweden, it's
10 the host communities, the local communities that were really
11 the key decision-makers that said they wanted it. What's
12 happened in this country is that host community has been
13 expanded by stakeholders that go out exponentially to all
14 levels of government, both at the state level, the county
15 level, and the surrounding state level. So, when you take a
16 look at, for example, our experience with PFS, here you had
17 not only a willing local community, it was a sovereign
18 nation, the Goshute Nation, but the stakeholders beyond their
19 borders, said you know, we don't want you to do that. And,
20 so, through a political process, they were able to stop that
21 project.

22 And, so, in terms of being really clear in the
23 report, so who gets a vote? I think the Commission needs to
24 be a little more specific about that.

25 The second thing is so, how long do they get to

1 change their minds? So, you know, people can come in and say
2 it looks pretty good to me, and, you know, you go through
3 this adaptive process, how long and when do you get to a
4 point where you say decision is now. Yes or no? And, when
5 you make that decision, yes, it's now irreversible. If you
6 don't have that kind of definitive timelines on your
7 decision-making process, good luck.

8 You know, you have to just recognize, as I said
9 before, the time constant of the siting process versus the
10 political process. Like I said, who you're going to have
11 sitting across the table from you today at the start, isn't
12 going to be the same persons sitting across the table from
13 you ten years from now, 15 years from now, 20 years from now,
14 and the process has got to recognize that.

15 I've used this term before of a technically
16 informed political process, and I think unless we as a
17 country step up and really acknowledge that's the case, we're
18 fooling ourselves. You know, because while the report talks
19 very heavily about an adaptive, consent-based siting process,
20 it doesn't address so how do you balance that with the
21 technical adequacy and suitability of a site.

22 You know, I could just take, for example, you know
23 somebody used the example once to me that, you know, we could
24 have the most perfectly--the best technical geologic site in
25 this country, it could be right under downtown Chicago. What

1 are our chances of putting one in downtown Chicago? Zero.
2 On the other hand, we might have a community that goes we
3 want it here, we love it here, it's great, and it's a swamp.
4 You know, so how do you balance the technical aspects and the
5 political aspects and recognizing you've got to do both?
6 It's got to be in these recommendations somehow, because it
7 is a technically informed political process. No doubt about
8 it, in my mind.

9 I do think the report incorrectly assumes that
10 siting and operation of a centralized interim storage
11 facility will be easier and faster than a repository. I have
12 seen no evidence of that. I don't believe it. And, I know
13 that based on the fee adequacy report that we did back in
14 2008, we can't afford to do both under the current fee
15 regime. So, that's got to be addressed.

16 This next bullet, you know, regardless of location,
17 I think part of recognizing the process, what you have to
18 recognize in the process, regardless of where you put it,
19 there's going to be some core set of people, for their own
20 reasons, are going to say we're going to stop this, whether
21 it's because they don't like nuclear power, because they're
22 afraid that transportation is such a big issue. We can't be
23 idealistic and just assume if we get everybody involved and
24 we get everybody talking and everybody plays, eventually
25 everybody will see the beauty and the wisdom of the concept

1 that we have come up with, and they're going to want to let
2 this happen. It doesn't work that way in this country.

3 So, the reality is is that you've got to recognize
4 you will have a set of people, some of whom will be
5 stakeholders, that just say no. So, what are you going to do
6 about it? At what point in time does the national interest
7 outweigh local potential opposition? And, that's a question
8 I believe the report absolutely, positively has to answer,
9 because right now, it's mute on the issue, of national
10 interest outweighing local responsibility.

11 You know, if people were to ask me so what would
12 you do about that? As I have thought about this, I kind of
13 like there's what I recall stages of public resistance, based
14 on my experience, both try and site nuclear power plants, and
15 repository facilities, and you think about it there's kind of
16 like five stages of public resistance, and somehow the report
17 needs to recognize this and figure out a process that adapts
18 or works with these stages.

19 And, you say, well, the stages, you know, the first
20 stage is people hear we're going to go do a repository, we're
21 going to build a nuclear plant, we're going to build a new
22 refinery, or whatever it is, it doesn't have to be nuclear,
23 and so the first stage is people are going to do
24 investigation. They're going to go what is this thing, and
25 they're going to learn about it. So, the first stage is

1 investigation of what is this thing that's going to come into
2 my location.

3 The second stage is you know what? I don't want
4 that. They're going to make a determination that no, I don't
5 like it, I don't want it. So, now you've got somebody who
6 has already decided not for me.

7 And, the third stage is they decide they're going
8 to fight it. At some point in time during that process
9 people start to recognize, you know, this is inevitable.
10 We're going to stop it. Either we're going to be successful
11 and we're going to kill it, or if the process keeps moving
12 and they go, you know, this looks like it's inevitable, it's
13 going to happen, so let's negotiate and see what we can get
14 out of it.

15 And, then, when that negotiation takes place, as
16 that goes forward, but goes well, then you get to a point
17 where you say, you know, you've got some willingness of the
18 people to participate, to actually participate. And, whether
19 you negotiate their oversight, their involvement, however you
20 do it, but they finally get to that point where they
21 recognize the inevitability, and they go I'm willing to play
22 with you.

23 And, then, finally, once they're in playing and
24 they've got that oversight, then eventually, they get to a
25 point of acceptance.

1 I've seen that process repeat itself over and over
2 and over again, and this process here has to recognize that's
3 part of the reality. People just don't go from, you know,
4 from I learned about it and now I'm happy with it and I
5 accept it. Some people do. A lot of people don't, and we've
6 got to recognize that.

7 Last slide?

8 So, a couple recommendations that I think ought to
9 go in the report. One is complete the licensing review of
10 the application before we do anything about changing the
11 regulatory regime. When I read the report now, at least as I
12 interpret it, I may be wrong, it's like we ought to go back
13 and start from scratch in terms of our siting criteria, our
14 regulatory criteria, I go phht, stop that, finish the review
15 of the License Application, let it get adjudicated, let's
16 find out all the things we did right, all the things we did
17 wrong, then make decisions about how the regulatory regime
18 needs to change and then put the experts to work and let them
19 do it then.

20 If it got approved, if the License Application got
21 approved by the NRC, that's no--I have no confidence that on
22 its own is going to let Yucca get built, or another
23 repository get built.

24 The next step is all right, Congress is going to
25 have to do something with the NWPA to implement a lot of the

1 recommendations that are in the report to let something get
2 built. So, the next step I would recommend that the
3 Commission recommend is let the LA get adjudicated, let it
4 get finalized. If it gets approved, great. Then let
5 Congress put together a legislative package for the next
6 steps in the program. If it doesn't, then we need next steps
7 in the program going back to what's Plan B. That's my
8 recommendation, and the report I think should say that.

9 Number two, the 2008 OCRWM reports that I referred
10 to before, I think need to be looked at, evaluated, and
11 factored into the recommendations here. Because, right now,
12 I don't think they have been really evaluated. And, what my
13 intent was in sponsoring and getting those done was that
14 there would be a body of work done by the experts that could
15 be drawn upon as the program went forward. And, to have that
16 ignored, I think is a mistake at this stage of the game.

17 Third bullet is around this adaptive consent-based
18 process. And, for those of us involved with organizational
19 decision-making, we know there's a range of decision-making
20 models that you can make. I think the report has got to be
21 explicit on when we say consent-based, what do we mean. You
22 know, if you think about decision-making, you know, it ranges
23 on one end of the spectrum of being very directive, we're
24 going to do this, you're going to do that, go do it; to
25 delegate, you know, where people say you've got it, you

1 handle it, go do it.

2 But, in the middle, there are a couple different
3 ways that decisions are made. One is the consensus-based,
4 where we say hey, look, we're going to get people together,
5 we're going to talk about this, and we'll only go forward if
6 we've got everybody in agreement that they can live with it,
7 not that they're in agreement to go forward, but nobody is
8 saying over my dead body. You've got a consensus of nobody
9 is saying no over my dead body. Is this going to be a
10 consensus-based decision?

11 Or, is it a consultative-based decision, where
12 clearly somebody is identified as the decision-maker, and
13 it's clear that they get 51 percent of the vote. Everybody
14 gets to weigh in, everybody gives their opinion, everybody
15 talks about it. But, eventually, there's one person, or one
16 organization that has 51 percent of the vote and makes the
17 decision. That's a consultative-based decision-making model.

18 This report needs to talk about is that what we're
19 talking about here, or not? Because who makes what decisions
20 and what authority they have to make those decisions is
21 absolutely critical and key to this program going forward.

22 That next to the last bullet I talked about already
23 about the report needs to explicitly acknowledge and
24 recommend how to address changes in stakeholder acceptance
25 over time, because you're not going to have the same

1 stakeholders playing over a 20 year period. You're not.

2 And, finally, the last piece is there should be
3 some explicit recommendations about how to balance the
4 technical suitability of the site versus the consent for the
5 siting.

6 So, with that, that's the conclusion of my
7 presentation. And, John, I would be more than happy to
8 entertain comments or questions from the Board, or anybody
9 else.

10 GARRICK: So, how do you really feel?

11 SPROAT: I think we did a good job.

12 GARRICK: Yes, thank you.

13 ARNOLD: I have the frustration in that past history of
14 the Nevada Test Site has generally been ignored, and it's
15 legacy of weapons testing, et cetera, and I think that has
16 implications for the federal decision making process. I'm
17 just going to leave it at that. But, I don't think either
18 the report or what you said has acknowledged this. Yucca is
19 only a part of a very large area in which a lot of nuclear
20 things have happened in the past.

21 The second little comment is that the CIS could be
22 in fact combined with the repository as one issue, and as
23 you're saying, it's going to be as difficult anyway, you
24 might as well tackle them both at once. For example, the
25 aging pads and Yucca could have been also the interim

1 repository, interim storage.

2 SPROAT: I have left the Board speechless. Is this
3 amazing?

4 GARRICK: Go ahead.

5 LATANISION: Latanision, Board.

6 Ward, I appreciate your point about the fundamental
7 disconnect between the political time constant and the time
8 constant to construct a large nationally important project.
9 And, yet, you know, it seems to me the fundamental point--
10 there are a couple of fundamental points. One is that
11 politicians do change their mind, and we see it in every
12 election cycle, presidential election cycle. Whether for
13 good purposes or not, they do change their mind.

14 SPROAT: Yes.

15 LATANISION: But, it also suggests to me that given the
16 nature of our political process today, in a technologically
17 intense nation and society, to have such limited
18 representation on the part of technologically sensitive
19 people, is fundamental to the problem you're describing.

20 SPROAT: Could be.

21 LATANISION: And, so, how do we change that? I'm not
22 sure I can see a change on the horizon given the need that
23 you describe, unless there's some change in the attitude of
24 people who are elected and presumably representing societal
25 interest. How do we change that?

1 SPROAT: I'm not sure I have a very good answer to that.
2 I know this Board, I think when this Board was chartered, the
3 intent was for this Board to have some of that influencing
4 capability back into the Secretary of Energy and the
5 Department under him or her, to be able to, you know, provide
6 that technical, independent technical expertise and influence
7 about the direction of the program and some of the technical
8 issues in it.

9 LATANISION: Yes. You know, just to make one corollary
10 comment. If you look at the NRC, the Commissioners, I mean,
11 even there, these people are technologically smart, by and
12 large--no comments please--and yet, you see how so hamstrung
13 they are to make a decision and follow up--

14 SPROAT: Sure.

15 LATANISION: --on a recommendation like the one you made
16 on following through with the License Application review.
17 They should be able to do this. They are technologically,
18 that's the kind of organization that should be responsive,
19 and then some. There's something really wrong with the
20 system, and I think we all agree with that, but how do we
21 change it?

22 SPROAT: I'll leave that for Andy to answer.

23 GARRICK: Ali, and then Andy.

24 MOSLEH: Mine was a very quick follow-up on this one. I
25 think you pointed out something that is really fundamental

1 and very, very important, and it really goes beyond just a
2 nuclear waste issue in terms of how we make decisions and the
3 time difference between the political process and then the
4 technical progress. But, when you talk about
5 recommendations, as Ron said, people change their mind. So,
6 unless there is a reward and penalty kind of a system built
7 into this, it would be hard to just, you know, change the
8 system. Is that the type of thing that you have in mind, you
9 are thinking about?

10 SPROAT: I'm viewing something not necessarily in terms
11 of penalties, I'm thinking more in terms of definitive time
12 frames or milestones that you get a vote--that whoever the
13 involved stakeholders are, they get a vote up until a certain
14 period of time. Like take, for example, in Sweden, the
15 Swedish program right now, once the decision was made between
16 Oskarshamn and the other town, I personally don't know what
17 existing Swedish law says about their ability to back out at
18 this stage of the game, but I bet basically it's a fait
19 accompli. So, being clear about what the decision milestones
20 are and the irreversibility of those decision milestones, or
21 how they can be overridden, I think is really important.

22 I think the Nuclear Waste Policy Act tried to do
23 that to some extent when it recognized that if DOE proposed a
24 site, that the governor of that state had a veto right, and
25 that veto right could only be overridden by a vote of both

1 Houses of Congress, which it did. So, the Nuclear Waste
2 Policy Act put in a very explicit process for, you know,
3 trying to put milestones and deadlines and final decision-
4 making authority about deciding in that case.

5 You know, we could argue about whether that was the
6 best way of doing it or not. I won't go into that argument
7 now. But I'm advocating something like that needs to be
8 done. It needs to be in place for that kind of a siting
9 process.

10 GARRICK: Andy?

11 KADAK: Kadak, Board.

12 Ward, I share many of your concerns and comments.
13 And, the surprising fact is that given the two co-chairs of
14 politicians, and the fact that they ignored the political
15 implications of how to get something done, is really
16 troubling to me. And, I commented to them privately as well
17 about this siting process, of being adaptive, consultative,
18 and without sufficient details as to how to implement such a
19 process, and how to make it stick. And, I think this is a
20 real shortcoming, because otherwise, we're going to be in
21 neutral for a very long time.

22 SPROAT: Yes.

23 KADAK: And, the whole question of siting and interim
24 storage facility being somewhat easier than a repository,
25 it's fantasy. When we did the report on interim storage, it

1 was very clear, and we did an in depth review of the
2 volunteer siting process, and that was about as open and
3 transparent as you could get, and it didn't work, and it was
4 admittedly a failure. And, the problem was that who was the
5 decision-maker? Is it the local community, or is it the
6 state? It's always been the state that's opposed it. And,
7 how do you balance that is something that I think the Blue
8 Ribbon Commission needs to address in their report, because
9 otherwise, as I say, we are just going to be stuck without
10 having any path forward.

11 And, my facetious question about what is
12 expeditious is real. Expeditious to start a process as
13 opposed to expeditious to solve a problem, and I think that
14 perception and that I'm going to call it urgency to do
15 something is not there. That's just a comment, not a
16 question.

17 GARRICK: Let me ask a specific question. You
18 indicated, Ward, that you kind of favored the idea of a Fed
19 Corp. How would you rate that approach versus a tightly
20 highly oversight private approach?

21 SPROAT: Again, John, it would depend on how the two are
22 set up in terms of--how the Fed Corp was set up in terms of
23 enabling legislation. But, the key thing is is that in terms
24 of our--the country's places to put this stuff, it is more
25 likely to happen on federal land than privately held land, I

1 believe, number one. And, number two, the authorities that
2 are needed to make this--to drive this process to conclusion,
3 I believe would be almost, not totally, but almost beyond the
4 capability, at least in the initial phases of the program,
5 for a privately held company.

6 Now, I know over in Europe, you know, whether it's
7 in France or Sweden, those are private companies that are--
8 basically, the government said utilities, you're the
9 generators of this, you've got to figure out how to manage
10 it. And, they gave them a very high regulatory framework.
11 And, you know, they've over the past 20 years or so, they've
12 done that. Those areas don't have the same kind of what I
13 would call political network that they have to navigate that
14 we do in this country, number one. And, number two, given
15 the existing legal frameworks of the Federal Government
16 having the legal authority and responsibility for managing
17 high-level nuclear waste and spent fuel, there are a lot of
18 legal issues that would have to be addressed, than it would a
19 privately held corporation.

20 Right now, I just don't see a clear success path,
21 at least at the beginning of the program. If we got to a
22 point we had a repository and now we were going to go build
23 it and operate it, I think a privately held company could do
24 it extremely well. But, in terms of this initial phase of
25 where we are, getting the initial repository licensed and

1 sited, I think it's got to be a Fed Corp of some sort.
2 That's my personal opinion. Plus, the aspects of the
3 transportation, because nuclear waste transportation in this
4 country is a federal level set of issues, and I think a Fed
5 Corp would be in a better position to actually manage that.

6 GARRICK: Yes. I have one more question, and then
7 Henry. I came out of a reactor analysis business pretty
8 much, and I think one of the things that was really
9 surprising to me when I got into the waste business was the
10 lack of presence of the utilities, at least in a leadership
11 role. In just about any role that you could think of,
12 whether it was their activity with respect to participating
13 in National Academy of Science committees, things like--
14 Boards like this, oversight organizations, or what have you,
15 it just seemed like once the DOE, unless the waste law said
16 that it was DOE's problem, that the utilities backed away and
17 did not bring to the party the real expertise that was needed
18 to establish a basis for making sound decisions.

19 And, you're out of that industry, what's your view
20 of that? And, how involved are they, for example, in
21 reviewing the Blue Ribbon Commission report, and is there any
22 targeted or organized attempt to do that except I know
23 through the Energy Institute, they try to do all these
24 things, but I've never been that impressed with that as the
25 primary mechanism for the utilities' involvement.

1 SPROAT: Well, I think, John, my first suggestion is
2 hold that question for Adam Levin, because Adam is that guy
3 for Exelon, and I think he will be able to give you a much
4 more up to date and current answer than I can in terms of--

5 GARRICK: I was going to compare the answers.

6 SPROAT: Adam and I are friends. I wouldn't do that to
7 him. But, you know, speaking from my own experience, you
8 know, having been involved--I first got involved with spent
9 nuclear fuel back in 1998 and '99 when we did the first
10 settlement with DOE on spent fuel for Peach Bottom. And, I
11 can tell you it's a frustrating process, because the ability
12 of an individual utility, or the industry to be able to
13 engage the Federal Government, Department of Energy,
14 Department of Justice, Department of Transportation, EPA, on
15 these issues is extremely limited. We just don't have--that
16 capability doesn't exist, even when you're in DOE as an
17 office director, your capability to influence some of that is
18 actually pretty limited. But, there is not a good avenue for
19 the utilities to actually make progress, and after a while,
20 they just get frustrated and say, well, we're going to take
21 them to court and maybe the court will force them to do
22 something.

23 GARRICK: Yeah, not a very good approach.

24 SPROAT: No.

25 GARRICK: Not a very good approach. All right, Henry?

1 PETROSKI: In your presentation, on the slide that you
2 acknowledged that the report addresses major issues, you
3 identify one of the major issues as R&D, but you passed over
4 it without elaboration or comment. Could you elaborate and
5 comment on now what you see as the role of Research and
6 Development in a large project such as Yucca, where you had
7 direct experience?

8 SPROAT: Well, we had, you know, when we did the License
9 Application on Yucca, there were a number of issues that in
10 terms of spent fuel characterization over long periods of
11 time, whether or not, you know, for example, the drip shields
12 really would be needed or not, so I viewed the R&D program
13 long-term, in terms of taking what we learned from putting
14 the License Application together, the areas of greater
15 uncertainty that had potential for both cost savings and
16 being able to better characterize long-term repository
17 performance, and making those the focuses for the areas of
18 R&D going forward.

19 PETROSKI: So, basically, you are seeing the end product
20 driving the research?

21 SPROAT: Yes. Yes, the research to further define
22 whether or not, you know, we made some bounding assumptions
23 in the repository design, like, for example, on the drip
24 shields, that based on what I saw and what I knew and what
25 people told me their preliminary analyses were, we'd probably

1 never need to put them in. We just wouldn't need them. But,
2 the period of time needed to do some confirmatory testing and
3 in situ testing, you know, would be on the order of decades,
4 and having an R&D program to do that wouldn't make sense.

5 GARRICK: Good example. Very good example. Ron?

6 LATANISION: Ward, as we've been talking, I've been
7 trying to imagine a large scale public project that has
8 weathered a political process over decades and seemingly been
9 successful, at least to the extent that it's ongoing today,
10 and that is the effort to build a new water distribution
11 trunk in the City of New York.

12 Now, you know, I'm not sure even how many New
13 Yorkers understand that that's being built, but it is, and
14 there is a need. People take for granted that when they turn
15 on their faucets, they're going to get drinkable water.
16 People also take for granted when they plug in their
17 television, they're going to get sound and audio and visual.
18 Maybe there's a message there. Maybe the need, the public's
19 needs, they understand they need water. They also should
20 understand that they need electricity, but somehow we're not
21 making the same connection.

22 What can we learn from that? Is there anything you
23 can see in terms of that process and the issues of concern in
24 terms of the need to handle the wastes that we produce, when
25 we also generate electricity?

1 SPROAT: I think the reality is, at least from my
2 perspective, is you're never going to get people with enough
3 interest and broad enough participation, so you get a
4 consensus on, number one, it's a problem, and number two, we
5 need to do something about it. So, what we have to do is
6 work the specific project with the stakeholders who are
7 involved, and I think you're going to go through a process
8 like I talked about, these stages of resistance, of public
9 resistance, and that recognizing that's what you're going to
10 go through, how do you manage that? How do you plan for it,
11 manage it, and hopefully guide it towards a successful
12 outcome? I don't know how else to do it.

13 GARRICK: I like to say that the difference is the fear
14 of anything nuclear syndrome. You know, that's a real
15 problem. It's a singularity in the thought process as to
16 what to support and what not to support.

17 I want to take advantage of your presence here, you
18 and John, and open up the discussion a little bit, if we can,
19 both to the staff and to the audience, give people here a
20 chance to ask some questions. Now, I haven't done that. I
21 hope there are a few. Yes?

22 McCONNELL: Paul McConnell.

23 You talked about your report in 2008 where you
24 looked at the cost of the central interim storage, and I
25 think you said a couple of times that you thought that it

1 would be as expensive as a repository. Did I understand that
2 correctly?

3 SPROAT: No, that isn't quite right. The cost of a
4 repository clearly would be much higher than centralized
5 interim storage. What I said was the time needed to site it,
6 license it, and get it into operation, I don't believe is
7 going to be substantially shorter than what we would have to
8 do with a repository. The cost clearly would be lower,
9 however, I saw in the report, and I didn't recognize the
10 site, that the draft report talks about the cost of siting,
11 designing, and licensing a centralized interim repository at
12 about \$100 million. I'm willing to bet that at least you're
13 going to spend 75 percent of that on litigation, if not more.
14 So, I think that number is low.

15 GARRICK: Any other questions? Steve?

16 FRISHMAN: I'm not asking a question, I just--this is
17 Steve Frishman, State of Nevada--I just need to clarify one
18 point for the record from what Ward said, and that's that
19 since the passage of the Nuclear Waste Policy Act of 1982,
20 there has only been one piece of legislation signed by the
21 governor in Nevada, and that was in 1989, a law opposing the
22 storage of high-level nuclear waste in the state. In 1975,
23 there was a resolution passed by the legislature, but not
24 objecting to the Department of Energy or its predecessor at
25 that time looking at shallow alluvium storage on the Nevada

1 Test Site, high-level waste. You are totally incorrect that
2 the legislature has ever approved high-level waste disposal
3 in the State.

4 SPROAT: I read the Bill.

5 FRISHMAN: What's the Bill number? In the mid Eighties

6 SPROAT: My point being is that--

7 FRISHMAN: You supply it for the record. I will supply
8 my information for the record.

9 SPROAT: That's fine.

10 GARRICK: Okay, let's see, Dan?

11 METLAY: Dan Metlay, Board Staff.

12 I'd like to switch to a question for John. Ward
13 suggested that this stage adaptive consent-based approach is
14 idealistic. Did the Commission, in terms of reaching that
15 conclusion, look at any particular studies or examples in the
16 real world that would suggest it is implementable? And, in
17 particular, has it looked at the situation with respect to
18 the Ossa Salt Mine in Germany as an example that might be
19 relevant to this?

20 KOTEK: The Commissioners didn't visit Germany. We
21 learned a little bit about the German program from papers you
22 all have done, but in fact we tried to get from Germany to
23 speak at one of our meetings, and timing just didn't work
24 out. You know, in terms of reports and such that the
25 Commission looked at, obviously, there have been Academy

1 studies that have recommended that process, you know, looks a
2 lot like what the Commission has recommended. Certainly,
3 other processes, or other siting processes that the
4 Commission has looked at certainly left in the way that, not
5 that it was set up in the beginning as an adaptive staged
6 approach, but, you know, you look at sort of the twist and
7 turns that that program took, showed a need for adaptability
8 at a number of levels to actually get to the point where the
9 facility was open.

10 The Commission also had a paper, a Commission paper
11 we had prepared by a couple of social scientists that I would
12 recommend to you, Seth Tooler and Tom Webler from
13 Massachusetts, and then Gene Rosa from Washington State, you
14 can find it on the Commission website, which looked at a
15 broader range of facility siting challenges, and other
16 things, they looked at, for example, the process that was
17 used to get to agreement over the clean-up standards and
18 closure of Frenald. So, those are a few of the things that
19 the Commission looked at.

20 GARRICK: Did you have another comment?

21 KADAK: Yes, if there's time.

22 GARRICK: Sure.

23 KADAK: I guess I'm in the question mode about the
24 Nuclear Waste Policy Act, and thinking about what you were
25 saying in terms of some structure. Okay? And, you think

1 back on it and you say well, there was an open process of
2 siting, site selection, three sites were chosen. They went
3 around the country. And, then things got complicated because
4 things got very expensive. The site characterization became
5 expensive, and then people had to say all right, how do we
6 move forward? And, the move forward process was a political
7 solution, selecting a site, perhaps inappropriately, but
8 establishing a process whereby the State had veto rights with
9 Congressional overrides should that not be--should it be
10 found to be acceptable. And, it put in an institutional
11 process of the NRC regulatory review, trying to identify that
12 if this site is good, we can build it and Congress as a
13 nation will decide whether it's acceptable.

14 How would you modify that in the sense of what
15 you're suggesting in terms of some clarity in a path forward?

16 SPROAT: I don't have a better solution--certainly I
17 don't have a preconceived notion we should have done X
18 instead of Y. But, I think, you know, with what the--all I'm
19 asking is that as the Commission has put together and given
20 this obviously a lot of thought, that they consider that
21 history and factor it into their recommendations as to so how
22 would it or should it have changed, and what should the new
23 law, if there is a new law, what should it say regarding
24 that? And, all I'm advocating is that if the Commission in
25 its recommendations ignored that and just said we're going to

1 make it adaptive and consent-based, and in fact nobody is
2 going to get any nuclear waste site unless everybody says
3 yes, that is not a path to success.

4 GARRICK: John?

5 KOTEK: Just one clarification I guess to offer on that.
6 The Commission said specifically consent-based, not
7 consensus-based. Okay? And, so, the idea wasn't--and, if
8 folks are reading it this way, then obviously we've got some
9 work to do to fix the language because the point wasn't to
10 say that everybody agrees. All right? The point was that
11 you get to an agreement with a willing and informed host
12 state and community that are willing to sign up to be, you
13 know, ultimately be hosts for a facility.

14 KADAK: Well, do you have any comment about the process
15 that I just described relative to establishing some clarity
16 or purpose? I mean, we gave the State, in that legislation,
17 a right to veto. And, they exercised that right. And, then,
18 Congress stepped in with some deliberation about whether or
19 not this was going to be done. Is there anything that one
20 would try to apply in legislation, which clearly has to
21 happen, regardless of whether we go forward with Yucca
22 Mountain or not at this stage, to make it a more certain
23 process?

24 KOTEK: Part of the reason for the way that the
25 Commissioners have set up the public comment meetings, you

1 know, they've been done explicitly in cooperation with these
2 regional state government organizations, because
3 understanding their perspective on what conditions would you
4 want to see put in place, what sort of a process would you
5 want to see put in place so that you could, with some
6 confidence, in--not say yes, but at least say maybe, say
7 we're willing to have a conversation about being a host for
8 one of these facilities, so getting more specific than what's
9 in the Commission report right now is something that the
10 Commissioners are looking at. And, they want to hear what
11 sort of feed back comes from people who have lived the
12 process, and especially people within state government, and
13 if there's kind of a common thread to the feedback they get
14 that says hey, you really ought to provide more specificity
15 and here's kind of what it ought to look like, that's very
16 useful. And, the Commission can consider making changes in
17 the report.

18 At this point, you know, what the Commission heard
19 a lot was don't try to assume that you know up front what is
20 going to be necessary, what sort of a process is going to be
21 satisfactory to satisfy the concerns of a whole state. So,
22 we have a great deal of flexibility in that.

23 So, there are some certain things that Ward had to
24 say that I think the Commissioners would view are absolutely
25 important, essential to moving forward. Is the Commission

1 the right place to do it, or is that something that is more
2 appropriately left to the new waste management organization
3 in working with a state and a community as part of a
4 negotiation? I mean, that's an open question right now.
5 And, that's--you know, if Ward thinks that that ought to come
6 from the Commission report, great. Others have said you
7 ought to leave that detail to the new organization. So,
8 that's what the Commission is hearing feedback on right now.

9 GARRICK: Okay. Howard, and then George. But, let me
10 ask something quickly first.

11 Does the Commission have any rules of practice
12 about how they're going to handle comments between the draft
13 and the final, and in particular, I can visualize two very
14 broad categories of comments, comments on changes that could
15 be made to the current report, and comments that have to do
16 with new items, new issues that were not addressed in the
17 report, but have to be added; are there any rules of
18 engagement on that?

19 KOTEK: Well, of course the Commission has established
20 subcommittees, and, so, it's going to ask the subcommittees
21 to look at the comments that come in on the draft report and
22 then the comments that have come in thus far on the
23 subcommittee reports as well. And, we'll ask the
24 subcommittees to decide, you know, what it wants to do, or
25 what it suggests the Commission do in response to the

1 comments that have been received. Then, the process as we
2 currently envision it is the subcommittees will then come
3 forward with final reports. Because, you remember the
4 subcommittee reports are draft; right? So, the subcommittees
5 would come forward with final reports and would report to the
6 full Commission here's what we think, here's what we've
7 changed in our report and we think ought to be changed in the
8 report of the full Commission in response to the public
9 comment, and the Commission deliberates over the reports that
10 have been submitted from the subcommittees, and uses those to
11 assemble its final. So, that's the way we see it taking
12 place now.

13 GARRICK: Given the gravity of the issue, I can imagine
14 that the Commission could end up with a bigger scope between
15 the draft and the final report than they had from the
16 beginning.

17 KOTEK: Bite your tongue.

18 GARRICK: Howard?

19 ARNOLD: That was really where I was headed, too, John.
20 It seems to me that what you're promises to do really can't
21 be done between now and the end of January, and that there is
22 another phase that you've got to anticipate, which is to put
23 real flesh on what you've done.

24 KOTEK: Well, okay, I mean, I think the Commissioners
25 feel like the draft that they've put out provides pretty

1 solid guidance to Congress and the Administration as to what
2 changes would need to be made. But, certainly they're
3 looking to add more detail where that's appropriate. And,
4 then, obviously, I don't know what's going to happen in an
5 appropriations phase, but I'm sure you all noted that the
6 Senate Appropriations, Energy and Water Appropriations
7 subcommittee at least have proposed to extend the
8 Commission's charter by a couple of months so that a draft
9 piece of legislation could be prepared based on the
10 Commission's work. So, we'll see whether the House goes
11 along with that.

12 GARRICK: George, and then--

13 HORNBERGER: I have a question for John. John, clearly
14 your presentation, one of the major comments had to do with
15 this Fed Corp and the structure. The Board members here, of
16 course, by virtue of the fact that we're sitting here, have
17 an interest in independent technical oversight, and the fact
18 that--I don't know if I missed it, it wasn't in your
19 secondary recommendations. Is that because the Commission
20 just takes that for granted that that's going to happen, or
21 are there some comments about structure along that--

22 KOTEK: No, actually that's a good point, and I should
23 include that in my slide deck going forward. In the
24 discussion regarding the new organization, there is a
25 section--pardon me while I find it--in Section 7.4, there's a

1 discussion of oversight recommendations for the new
2 organization, and in fact the Commission has, in its draft
3 report, said that, you know, they basically assume that the
4 Waste Board is going to continue on. They've seen it as a
5 very valuable source of technical oversight and review of the
6 program. So, there's a discussion in there I would call your
7 attention to, and if you have any thoughts on it, let us
8 know.

9 And, actually, while I'm on that subject, Mr.
10 Chairman, I did want to thank you and your staff has been
11 tremendous through this whole process. They have been a very
12 big help to us and to the other staff and the Commissioners.
13 So, I wanted to recognize their efforts through this process.

14 GARRICK: Thank you. We've tried to be constructive.

15 KOTEK: You have.

16 GARRICK: Yes?

17 McCULLUM: Rod McCullum, Nuclear Energy Institute.

18 Given Dr. Garrick's remarks that he's not that
19 impressed with the efforts of the Nuclear Energy Institute,
20 on behalf of the industry, I feel I--I once again need to
21 make a slight clarification for the record here, and I'm not
22 really disagreeing with that because I think to the outside
23 world, when you see everything that's transpired with Yucca
24 Mountain, it's easy to ask where has industry been in this.

25 I think that from the day we realized that the

1 President was going to make good on his campaign promise to
2 the people of Nevada, and we've always realized that having
3 no waste program is simply not acceptable, we became very
4 active in making sure that something happened. We were very
5 active in seeing to it that this Blue Ribbon Commission got
6 up and running, and trying to hold the Administration
7 accountable for some sort of schedule for that.

8 The recommendations, we've provided lots of public
9 testimony and we've interacted at every opportunity the
10 Commission and its staffers gave us. The recommendations
11 that Ward highlighted are indeed very appropriate. Certainly
12 the Fed Corp is something we've very big on in industry.
13 That would allow the program to run like one of our
14 companies. Those reflect a lot of industry input, and you've
15 seen that in our comments we've publicly made today. You
16 will continue to see that when we comment on the final
17 report.

18 That being said, we certainly, and I'm glad you
19 invited him here to say these things, we are certainly
20 concerned about the two elephants in the room that Ward
21 mentioned. It has been our consistent position and remains
22 our consistent position that the Yucca Mountain licensing
23 process should be completed. That will be reflected in our
24 comments on the final report. We certainly think the
25 Commission should also reflect that. And, you know, with the

1 recent decision, or non-decision, or contradictory decision,
2 or whatever you want to call it, by the Commission, there's
3 probably going to be a lot more activity in that area coming
4 up.

5 As far as the second elephant in the room, I'd love
6 to tell you what I really think about the politics, but I do
7 want to keep my job, so I won't. You know, I can only say
8 that, you know, people always over estimate the ability of
9 the nuclear industry to influence politics. You know, I
10 laughed, having been inside the innersanctums that, you know,
11 how all powerful the nuclear industry is and how we can
12 motivate Congress. We do the best we can to stand up for our
13 interests and our own stakeholders, and, you know, again
14 certain election results came in in 2006 and 2008 that
15 changed the political landscape, and the 535 members of
16 Congress and the new President just don't wake up every day
17 thinking gee, what is the nuclear industry going to think of
18 this.

19 But, I think the key thing going forward, and I
20 really appreciate what this group is now doing here in
21 furthering this dialogue because the recommendations of the
22 Blue Ribbon Commission, both the ones they've formulated now,
23 and the ones we hope that they will formulate further mean
24 absolutely nothing unless there's some legislative action to
25 inspire our nation to do something about it. And, I think

1 you will see our industry be extremely active, and again,
2 from the standpoint of having no program is not acceptable, I
3 mean, you know, this Board has studied all the international
4 programs and you are now in a nation which is one of only
5 one, maybe two nations that has nuclear energy that doesn't
6 have a high-level waste repository program at this time. As
7 an American, I'm ashamed of that, and now I'm starting to
8 depart from being NEI and being me.

9 But, you know, you will see the industry be very
10 active, and we think this dialogue is part of it. We thank
11 you for furthering that. We will take to heart John's
12 criticism and you will see us working to be as effective as
13 we can to make sure that the Blue Ribbon Commission report
14 just doesn't go on a shelf and nothing happen for years. So,
15 thank you.

16 GARRICK: Thank you.

17 KADAK: Can I ask Rod a question?

18 GARRICK: Yes, if it's a reasonable question. I'm the
19 one that asks the unreasonable questions.

20 KADAK: One of the comments was the litigation
21 settlement. Do you see any movement at all in resolving this
22 question once and--well, not once and for all--but just
23 resolving the litigation so we can communicate more directly
24 about spent fuel storage disposal?

25 McCULLUM: I have to be careful not to step on the

1 interests of, you know, any of my individual member
2 companies, all of whom are at various stakes in this. You
3 have a lot of settlements out there already, I think
4 representing about 20 percent of the fleet there is a
5 settlement agreement in place that as long as DOE doesn't
6 perform, they will keep getting a bill every year from the
7 utilities. You know, you have others that are continuing to
8 pursue their cases in court, some have won judgment, some
9 will continue to pursue their cases. If non-performance
10 continues, you will see more lawsuits.

11 So, I don't think there is one universal answer to
12 that question, there's one one-size-fits-all, you know, that
13 every lawyer for every CEO is going to be happy with, and I
14 certainly don't want to try to state their positions for
15 them. But, that being said, that does not prevent us from
16 doing things. I mean, organizations that have litigation
17 between them do business all the time. We demonstrated that
18 that could be done when the TAD project was--it was a success
19 to the point where we got agreement between industry and the
20 government to make compromises on the respective needs. You
21 know, we want big canisters, the government wants things that
22 work well for Yucca Mountain, and we forged a compromise even
23 though we were suing each other. We worked together on that,
24 and, you know, we got all the way to TAD license applications
25 with the NRC, which ended up in the same box as the Yucca

1 Mountain license application to the NRC.

2 So, I think we have demonstrated that we can work
3 towards solutions, even though there's litigation, and Ward
4 highlighted that the most important thing, you know, with the
5 Fed Corp is figuring out the liability, so that the Fed Corp
6 is unencumbered in doing its job, that the liability monkey
7 on the back doesn't stop it from solving the problem. But,
8 I'm confident we have demonstrated we can do this, and we can
9 do it going forward.

10 GARRICK: Rod, I just want to say that my whole point
11 was that NEI can't do it all. The utilities have to have a
12 presence as well, and I think there's been something lacking
13 in that regard.

14 McCULLUM: Well, the utilities all participated
15 actively, all the CNOs, chief nuclear officers, chief
16 executive officers in our governor's committee. So, I hope
17 you'd recognize--I mean, we're in an industry where there's a
18 tremendous amount of peer pressure. You know, we always say
19 an accident anywhere is an accident everywhere, and when
20 Fukushima happened, industry at every level came together and
21 worked very hard to come up with a coordinated response.

22 But, I agree that, you know, there's nothing like
23 grassroots politics and, you know, the senators and governors
24 from various states need to hear from the companies that
25 operate in those states, and that will be part of the

1 strategy going forward, you know, as we look to get
2 legislation off this report. Thanks.

3 GARRICK: Thank you. Thank you very much. Joyce?

4 DORY: This is Joyce Dory from the Board, and I'm taking
5 a big step to even make a comment. This is very simple. Is
6 it possible that the government can look at how they set up,
7 or the industry has set up an agreement with the government,
8 in other words, if you don't take it, we'll sue you. Is it
9 possible, kind of going back to what Ali said, that if a
10 state agrees to take or to have the repository, that at some
11 point there will be a penalty assessed to them if they change
12 their mind. If it will make it worth your while to take it,
13 if you don't take it, there's a penalty with it. That's one.

14 The second point is with this environment now
15 politically, the states are saying we don't want the federal
16 government telling us what to do. Is it possible then if a
17 nuclear power plant is approved by the NRC, then that
18 particular company has a responsibility to find where the
19 waste is going to go? That, to me, goes back to the full
20 cycle. If you want the repository, then you need to come up
21 with the recommendation of where the waste is going to go.
22 Why does it become a federal responsibility? I don't know if
23 that's something that's in the law that can or cannot be
24 changed, but I think that going in the future, maybe it's
25 something that they should consider.

1 GARRICK: Those are very good questions. The next time
2 I testify at Congress, I'll use them. Does anybody want to
3 comment?

4 KOTEK: I'll take the first one. You have the second
5 one. You're welcome for that.

6 Regarding the first one, those are the types of
7 elements I think would have to be part of a negotiation
8 between the federal entity and a state or community, what
9 sort of incentives, what sort of penalties, or whatever you
10 call them would you put in place would be acceptable so that
11 a state and a community are willing to go along with the idea
12 of being a storage facility.

13 SPROAT: The issue of new plants taking responsibility
14 for spent fuel disposal, there is no--first of all, the
15 federal law is that the federal government has responsibility
16 for that. But, that aside, you know, we have--several
17 utilities got together and said we're going to take--we're
18 going to be pro-active and go after this issue for our
19 companies, and they generated--they formed PFS, private fuel
20 storage. And, they found a willing host community and still
21 couldn't make it happen because of national politics and
22 interstate politics, and that's why no individual, single,
23 private company can fix that problem.

24 GARRICK: Yes, Andy?

25 KADAK: Just a follow-up on that comment. Given where

1 we are now with PFS, it has a license, I guess the litigation
2 is pretty much over. What would you do, or what can now be
3 done to break this gridlock of Bureau of Land Management and
4 a right-of-way, I guess, is the only two obstacles, is there
5 a path forward here?

6 KOTEK: The Commission is not a siting Commission.

7 KADAK: I know. I'm not addressing that comment to you,
8 John, but maybe Ward, who's got a little more experience in
9 this field.

10 SPROAT: Well, knowing how the road blocks got put up
11 back in 2008, I think it was, late 2007, early 2008, it would
12 take the State of Utah and probably a couple of surrounding
13 State Senators to agree we're willing to let this go forward,
14 and apply that--provide that information back into the
15 Federal Government and the Department of Interior to get the
16 required permits issued. That's what it would take.

17 KADAK: So, is negotiation not an option at this point?
18 I mean, I read some of these articles, and the input to the
19 Blue Ribbon Commission basically bottom line it's not in my
20 backyard. And, I didn't hear any discussion about the things
21 that John was hoping to get input on, and that is well, what
22 would it take to help me think about putting it in my
23 backyard, as being publicly stated, because my sense is if
24 that were publicly stated, the person who made that comment
25 would probably be voted out of office. So, any thoughts on

1 AFTERNOON SESSION

2 GARRICK: The talk we're now going to hear from is on
3 the extended storage collaboration program, which has been
4 referenced many times in the last day and a half, and I will
5 let John Kessler take the lead.

6 KESSLER: Thank you, John.

7 I will start by talking about some of the work that
8 we've had started at least in the extended storage
9 collaboration program work, but I'm going to talk a little
10 bit about what EPRI is doing, and end my remarks with some
11 requests or things that industry would like to see from DOE
12 related to extended storage.

13 I am notorious for using a lot of slides, and I had
14 the fear of God put in me by both John Garrick and Dan Metlay
15 about trying to keep on time. While I keep using lots of
16 slides, I'm actually getting better at getting through them
17 in a reasonable amount of time, so let's see how we do here.

18 Guiding principles for extended storage to disposal
19 or reprocessing are not likely for decades, are no news from
20 what you have heard. The current regulations in the U.S. are
21 up to 120 years of combined wet, dry storage is what
22 theoretically we could get. 120 comes because we have
23 theoretically 60 years of wet storage, since a lot of the
24 reactors are now licensed out to 60 years, and we have
25 license experience in dry storage now out to 60 years.

1 There aren't going to be too many utilities,
2 however, that are going to keep their fuel from the very
3 first discharge of their new reactor in the pool for the
4 entire 60 years, and then put it in storage for 60 years.
5 So, 120 is a theoretical upper limit, is how that came from.

6 There was a question yesterday, and you saw the
7 national flags and one of the presentations from this
8 morning, this is a global issue. There are very few
9 countries that have either reprocessing or disposal, and I
10 feel like I'm on the Kessler Road trip a lot because there's
11 a lot of our international members, both within EPRI and
12 outside, that are very interested in extended storage issues.
13 IAEA has just started up and I'm trying to coordinate with
14 them because they actually got some funding to do R&D.

15 Bottom line is however long we think we want to
16 store this stuff, the storage systems are going to have to
17 perform their intended function, and then we're looking at
18 beyond the current licensing period. So, what I've got in
19 the block here at the bottom, the "Technical bases for dry
20 storage beyond 60 years are not yet demonstrated." I don't
21 mean to imply that we have no technical confidence in the
22 ability of these systems to continue to maintain their safety
23 functions beyond 60 years. What I'm saying is in an NRC
24 licensing environment, the case has not yet been made. Those
25 two things can be very different depending on what the

1 requirements are.

2 Functions of the Storage and Transportation
3 Systems. I think you have seen this at least twice now.
4 I'll add to it a third time, because it really does guide
5 what it is that we're trying to do, or what is it we think we
6 need to do. Thermal performance, radiological protection,
7 confinement, sub-criticality, and retrievability are all
8 essentially required safety functions in the current NRC
9 guidance. While there may not be specifically a
10 retrievability requirement for transportation, there is for
11 storage, and de facto, at the other end, requirements for
12 being able to handle the fuel after transportation
13 essentially means there's an interest in retrievability.
14 But, that does get at Andy's questions earlier today and
15 yesterday about the relative importance of retrievability is
16 one of these safety functions.

17 And, of course, the bottom line question is can the
18 existing and future systems maintain these Storage and
19 Transportation functions for many decades?

20 So, one of the things we're interested in is okay,
21 what happens between the first 20 years, things are hotter,
22 more radiological source term, and then over periods of time,
23 we know that goes down. So, we want to look at a lot of
24 temperature-related mechanisms.

25 For dry storage systems, we may have the

1 degradation of neutron shielding, concrete dry-out and
2 cracking and corrosion of lots of different parts of the
3 storage systems.

4 For system internals, you've heard about fuel
5 cladding creep caused by increased cladding ductility and
6 increased stress. Just essentially, it's a PV equals NRT
7 thing. Higher temperatures means you have higher internal
8 pressures inside the rod that causes more stress. That's
9 fundamental.

10 Hydride reorientation in the spent fuel cladding.
11 You've heard about that twice, so fortunately, I don't have
12 to talk to you about that too much, other than to say for
13 cladding, it is the number one issue in terms of extended
14 storage. And, then various corrosion issues that I'll talk
15 about in terms of priorities.

16 So, as systems get older and cooler, mostly good
17 things happen. We've got reduced metal creep rates, reduced
18 corrosion rates, and then reduced gamma neutron radiation
19 fields. But, there are some potential negatives. For the
20 cask systems, the number one one that we're looking at, and
21 everybody else I think has talked about as a high priority
22 item is canister stress corrosion cracking, particularly in
23 marine environments.

24 The canisters, the dry storage canisters are
25 stainless steel in general with some of the earlier designs

1 being carbon steel. Some of them sit near the ocean, and I
2 think Ron Latanision and Carl DiBella will enjoy the irony of
3 me talking about deliquescence issues in terms of we had some
4 big discussion with the Board about deliquescence issues
5 related to Alloy 22 during the Yucca Mountain hey day.

6 Internals, additional hydride precipitation, you
7 heard a little bit about. Decreased cladding ductility. All
8 of those things make the cladding potentially more
9 susceptible to breakage during storage and transportation.
10 And, I don't want to imply, as I think there was a comment or
11 two earlier, that I don't want you to be left with the
12 impression that if we suffered the 34 to the 9 meter cask
13 drop, you would have rubble inside. We don't believe that,
14 at least at EPRI. We believe that there's probably going to
15 be enough ductility left that it will be a minority of rods
16 that break, but more data in that area for different kinds of
17 conditions is always valuable. Based on the data we've got
18 and the initial analysis EPRI has done, that's kind of where
19 we're at right now.

20 Okay, onto what I'm supposed to be talking about,
21 which is the Extended Storage Collaboration Program. Back in
22 2009, it became apparent with the slow death, or at least
23 life support that Yucca Mountain was on, we were going to be
24 talking about storing fuel for a very long time. And, I knew
25 it wasn't a U.S. only issue, and I also knew that to do the

1 necessary R&D, it was going to take a lot of collaboration,
2 and a lot of co-funding. Given that EPRI's budget is almost
3 exactly one-tenth of the UFD budget, I knew I was going to
4 have to rely on a lot of different people to get things done.
5 They were going to be more expensive.

6 So, the ESCP program got together and came up with
7 essentially a mission statement, which is there at the
8 purpose. Provide technical bases to ensure continued safe,
9 long-term, used fuel storage and future transportability.
10 You've heard several times now it's not just storage we have
11 to worry about, but is the system and the fuel transportable
12 after how much time.

13 It's modeled on prior dry storage license extension
14 research, and fortunately Brady Hanson went through the work
15 that was done at Idaho some ten years ago, reopening that
16 CASTOR cask, so I don't have to talk about that. I get one
17 minute point for having to not talk about that.

18 Participants: EPRI, the Board. We are very happy
19 to have Andy and Doug participating in that. NRC, Department
20 of Energy, NEI, utilities, both inside and outside the U.S.,
21 the cask vendors, again both inside and outside the U.S., and
22 significant international participation, such that my mailing
23 list is now well over 100 people that have participated in at
24 least one of the ESCP meetings. We have roughly three
25 meetings a year, at least one of which is outside the U.S.,

1 since there is such international interest.

2 We set out what we call phased approach, where
3 Phase 1 is nearing the end for quite a few of the
4 participants, which is review the current technical bases and
5 conduct gap analysis for storage systems. If you exclude
6 some preliminary work we did a couple years ago at EPRI, TRB
7 was the first out of the block in terms of providing an
8 assessment of the gaps, and that was presented at the
9 December 2010 ESCP meeting, and we were pleased to have that
10 happen.

11 Phase 2--excuse me. And, then, DOE and NRC are in
12 the middle of doing gap analyses. You heard about some
13 description of their draft gap analysis report. NRC has not
14 yet released their draft report, but they have made some
15 presentations at the ESCP meetings that I will refer to
16 later. And, then, EPRI has also started working again in
17 terms of gaps, and I'll talk about that.

18 So, once the gaps are identified, there's some
19 discussion about prioritization, which ones are the most
20 important. I'll talk about that.

21 Phase 2, conduct experiments, field studies, and
22 additional analyses to address the gaps. It's a nice two-
23 line statement that's going to involve years of work and lots
24 of money, depending on how much work we want to do.

25 And, then, Phase 3, coordinate research that

1 results in a program documenting the performance of a dry
2 storage system loaded with high burnup fuel. That is the
3 particular problem child, at least with the U.S. in terms of
4 regulations.

5 That is what prompted EPRI to comment on one of the
6 draft BRC reports that there was a statement, and you heard
7 earlier about the transportation regulations working well in
8 the U.S. And we disagree that if you have a transportation
9 regulation system that essentially has not allowed any
10 transportation licenses for about 45 gigawatt days, we don't
11 consider that system that's functioning well, especially
12 since most of the utilities are now discharging fuel above 45
13 gigawatt days per metric ton.

14 So, with all of that involved in terms of what we'd
15 like to do collaboratively, we are set up loosely, that is,
16 there's no dues. It's just everybody participating on a
17 volunteer basis. The idea is to share information as much as
18 we possibly can, preferably making as much of that
19 information public as we can, recognizing that there will be
20 the proprietary issue that comes along with maybe making use
21 of certain, say, fuel properties and assessment of fuel.

22 But, the idea is is to share the burden. We
23 recognize there will be some overlap. Gap analysis was a
24 perfect place for there to be overlap, to have a couple
25 different organizations looking at that. That was helpful.

1 But, ultimately, it will be nice if, you know, we could say
2 okay, EPRI will do this piece, DOE will do that piece, GNS,
3 the cask vendor in Germany, might do this other piece, and so
4 on through the participants.

5 So, the current status is, as I mentioned, Phase 1
6 gap analysis is well underway, our preliminary gap analysis
7 by DOE and NRC. Two weeks ago, EPRI put out a report that is
8 now publicly available, where we summarized some of the
9 recent ESCP activity, and summarized what we understood about
10 the gap analyses, and we looked at the gap analysis that the
11 TRB had done, that the preliminary one from DOE, as long as
12 what we understood from NRC.

13 So, at the end of the December meeting, which was a
14 great meeting, we came up with essentially three gaps that
15 were of primary importance. One had to do with cladding
16 integrity, this idea of hydrided reorientation for higher
17 burnup fuel. You've heard about that. Welded stainless
18 steel canisters, you've heard a little bit about, which is
19 there could be corrosion, stress corrosion cracking on those
20 canister systems. And then concrete was kind of a runner up
21 but nevertheless because of its predominant use for both
22 shielding and structural and its long-term use, it was added
23 as a potential gap. We may be able to do that with library
24 searches, but we're not quite sure yet. We certainly want to
25 start there. We know there's a heck of a lot of information

1 out there about concrete degradation. We just need to
2 confirm or make sure that we don't have any holes in that
3 that's applied for this issue.

4 Okay, confinement, this is an EPRI opinion, and I
5 think it goes along with some of the discussion we have had.
6 EPRI considers that the number one priority. We recognize
7 that all of the safety functions need to be maintained, but
8 in terms of prioritization for R&D, we consider confinement
9 the number one priority.

10 We think about three confinement barriers that are
11 considered. For the bolted systems, it would be the seals
12 and bolts, the seals being those O-rings. Adam Levin talked
13 to you in his comments at the end of yesterday about one
14 issue there. You've heard a little bit more about it from
15 others.

16 There has been, however, quite a bit of work
17 completed or underway, primarily in Germany and Japan. In
18 Germany, it is both BAM, which is I guess kind of the
19 equivalent of this Center for Nuclear Waste Regulatory
20 Analysis in terms of a support R&D organization to the
21 regulator. And, GNS cask vendor there that does bolted
22 systems, and CRIEPI, center for research, for the electric
23 power industry in Japan, has also done quite a bit of work on
24 degradation of seals and bolts and stainless steel systems.

25 Second barrier, welded stainless steel systems,

1 particularly the welded stainless steel canister. There is a
2 common desire for there to be an external inspection of those
3 that are in situ. Right now, there is no formal requirement
4 for inspection of those canisters, and given the potential
5 for corrosion, potentially stress corrosion cracking in
6 marine environments, it's a common desire to be able to go
7 out there and look to see whether that kind of degradation is
8 occurring. And, it is the very near-term focus of EPRI's
9 particular work to develop NDE tools to inspect in situ the
10 outside of the canisters, potentially visually initially, and
11 then maybe with some sort of enhanced NDE technique to see if
12 there's initiation of cracking of the welds which are not
13 stress relieved.

14 Secondary barrier is fuel cladding. You heard from
15 John Wagner and Brady Hanson and from me, for that matter,
16 that we do know that all fuel is not 100 percent intact when
17 it comes out of the reactor. The vast majority is, but it's
18 not all intact. And, the cladding operates essentially as a
19 secondary barrier. We want to keep it as intact as we can,
20 but given that some is degraded, it's not the primary
21 barrier. The primary barrier will be these two systems. If
22 you can keep that primary barrier intact, you are not going
23 to release radionuclides from the inside of the canister.
24 You're going to maintain your helium backfill to reduce the
25 amount of degradation that could eventually lead to issues

1 with sub-criticality and thermal performance, et cetera,
2 which is why EPRI has rated this as a high priority item,
3 maintaining the confinement of those primary barriers.

4 Two published, although I would say both are
5 preliminary sets of criteria. You heard a bit about the
6 DOE's in terms of prioritizing the R&D based on these gaps.
7 Whether the existing data are sufficient to evaluate the
8 mechanism and impact on the important to safety one. The
9 likelihood of occurrence of the degradation mechanism. The
10 ease of remediation, and significance of the potential
11 consequences that may result from degradation mechanism.

12 EPRI's are similar, but not exactly the same. The
13 importance of maintaining the safety functions, with
14 particular emphasis on the confinement in our case. The
15 amount of R&D that has already been completed. If it's been
16 completed, it's certainly not a high priority for additional
17 research. Whether the data gap is subject of significant
18 ongoing research. Again, no need to add on to that. And,
19 potentially the ability to fairly easily detect, inspect,
20 mitigate that potentially would be a better way, or at least
21 an alternative way than doing a lot of research.

22 So, we do have very good agreement among the people
23 that have done analyses of what the highest priority gap is,
24 and it seems to be that for the U.S., the welded canister,
25 primarily stainless steel degradation. We are concerned

1 about the effect of marine environments, particularly stress
2 corrosion cracking.

3 Oh, good timing, Ron. And, this is where the
4 deliquescence issue comes back in.

5 Other high priority items that DOE, NRC or others
6 have indicated. For DOE, they have on their high priority
7 list, delayed hydrogen cracking that Brady talked to you
8 about yesterday. For the bolted cask systems, they have the
9 metallic seals and bolts corrosion on there, certainly they
10 are important. EPRI argues there's a lot of work going on
11 there. So, in terms of high priority for additional R&D,
12 we're not there.

13 This one, however, there's not as much R&D.
14 Granted, there's a huge amount of literature out there about
15 stainless steel degradation in marine environments. But, the
16 question is how much of that is relevant for the particular
17 conditions these canisters are in in the field, and that's
18 the part that we're interested in.

19 And, then, outside the U.S., again it's bolted cask
20 and metallic seals. That is because outside the U.S., bolted
21 cask systems are used a lot. In the U.S., as you heard
22 earlier, we have quite a few that are bolted, but the
23 majority are these welded canister systems.

24 At the ESCP meeting that we had in May and June, we
25 realized that now when you are up to over 100 people that are

1 involved in the ESCP program, you can't get a lot done at the
2 more granular level, so we are in the process of setting up
3 sub-groups to look at specific issues. You can see them
4 there, fuel/internals. For confinement, we've got the two
5 different kinds systems. With the bolted cask seals and bolt
6 system being led by primary Germany and Japan.

7 For bolted cask neutron shielding, that is also an
8 international lead. Germany and Japan are doing less work in
9 that area, but some. Concrete systems is on the list. We're
10 going to have a panel on that led by EPRI's concrete folks
11 that are looking at plant license extension issues. So, we
12 think they are in a good place to look at extended storage as
13 well.

14 And, then, the demonstration project, which I
15 haven't talked about yet, although you have heard a bit about
16 it from others.

17 I mentioned EPRI's near-term work. We felt we
18 needed to proceed on our own, felt like we really didn't want
19 to wait for DOE or somebody else to catch up to us. And, we
20 are going to go ahead and start trying to find some
21 opportunities for in situ inspection of some of these welded
22 stainless steel canisters. I mentioned the options, visual,
23 maybe looking at weld cracking.

24 We have initiated, Keith Walder in our group has
25 initiated, again with our NDE group, tools for specific

1 casks, and we have to find the right cask to get in through
2 the right kind of inlet or outlet or whatever. It has to be
3 a volunteer utility or utilities. We prefer coastal sites.
4 We would like to have a significant amount of time in storage
5 because the criteria are you have to be in the right
6 temperature range, you have to have had an opportunity for
7 there to be salt deposition, and the temperature ranges
8 mostly having to do with sufficiently high relative humidity
9 for deliquescence to occur.

10 So, we're looking for some volunteers in this area.
11 I think we've got two strong leads, which I won't mention, I
12 don't want to jinx it because we're just now getting their
13 management approval to go do that.

14 Our target timeline is to do an inspection of one
15 or maybe two canisters by the end of 2012. We have one
16 volunteer that has an April window of opportunity that
17 hopefully we can peddle to the metal, get at least one NDE
18 tool ready for that.

19 Okay, the last part of my talk, thoughts on what
20 the U.S. industry wants from DOE regarding extended storage,
21 anyway. Jeff Williams very bravely asked at our December
22 ESCP meeting, "What is it that the utilities want," and we
23 said okay, well, if he's going to ask, we're going to come up
24 with some ideas as to what industry wants. And, this
25 presentation in a longer version was presented last May, and

1 I'm happy to see already--or, hear already some of the
2 incorporation of at least one of those things based on those
3 comments.

4 Perspective. This is the projected curve of how
5 much spent fuel we're going to have generated between roughly
6 now and when the existing plants, only the existing plants
7 that are assumed to run for 60 years, shut down by 2060, or
8 earlier.

9 What you see is that while we still have, as was
10 mentioned, about two-thirds in pools and one-third in dry
11 storage, take a look at the slopes. They are pretty
12 parallel, which means for every assembly you take out of the
13 reactor and put in the pool, you've got to be taking one
14 assembly out of the pool and be putting it into dry storage.
15 The pools are just about full.

16 And, then, what you can see is is that out in the
17 longer period of time, we have a diminishing number of spent
18 fuel pools, as we have less and less in pool storage, we're
19 shutting down reactors, we're going to have more and more of
20 these shutdown, decommissioned, you know, sites with no pool
21 infrastructure anymore.

22 So, extended storage aging management R&D needs,
23 and near-term is a relative term. The goal is to maximize
24 the life of the existing systems, but ensure transportability
25 and make sure they meet their safety functions. Clearly, we

1 don't have to--the industry is not interested in having to
2 buy new systems any earlier than they have to.

3 We have 1400 plus casks already out sitting at
4 utility sites at the rate of like 100 a year additional ones
5 being put out in the sites. So, by the time we do anything
6 new, or there's any change in policy, we're going to have a
7 lot of them already out there. And, if you want site
8 specifics, fuel specifics, canister specifics, we've got lots
9 of specifics for you. You don't have to be thinking generic
10 for the 1400 plus casks that are out there now.

11 Additional analyses, we've talked about already.
12 One of the things is is that we need to develop a regulatory
13 framework for beyond 60 years. I mentioned that we've only
14 had license experience out to 60. There have been newer
15 questions coming in about extended storage and some of these
16 degradation mechanisms coming from some of the applicants to
17 extend storage.

18 We have one shutdown site, Maine Yankee, that has
19 high burnup fuel in storage with their license extension
20 coming up in about seven or eight years. NRC has started to
21 hint around that they may have a concern about extending a
22 license for high burnup fuel. Clearly, we want to be in a
23 position for NRC to be able to at least entertain a license
24 extension, not that I know what Maine Yankee would do
25 otherwise.

1 And, then, enhanced monitoring and inspection.
2 We're talking about stainless steel canister inspection.
3 There are lots of opportunities for monitoring and inspection
4 that were in the DOE draft report, that were in the TRB
5 report, and certainly a lot of those could be thought about.

6 For the intermediate and long-term, evaluate
7 mitigation/design options, two were just listed there at
8 random. For the very long-term, this is the idea, long-term
9 is okay, you're reaching the end of the time when you have
10 high confidence that the safety functions are still met in
11 these extended storage systems. What do you do? Do you just
12 automatically say okay, we're going to replace it? Well,
13 replacing, especially at shutdown sites, isn't so easy. I'd
14 say let's take a risk informed view at that point. When does
15 the worker and public dose risk of continued storage in
16 existing systems exceed the economic and worker dose risk of
17 transferring used fuel into a new system perhaps earlier than
18 might be absolutely necessary? And, that's something that
19 we'll have to address one day, hopefully not for a while.

20 Again, in red there, industry expects DOE to take
21 the lead in all these areas with the appropriate
22 collaboration with industry, primarily because, well, the
23 whipping boy is DOE, we recognize it's fundamentally the
24 government's lack of meeting the January 31, '98 issue that
25 makes it the government's responsibility to ensure that

1 extended storage can be managed.

2 Okay, experimental work. Two areas, and one is
3 survey existing cask and pool systems. That would be
4 detailed inspections, taking samples to inspect for
5 degradation in these issues. You heard from Brady yesterday
6 about that CASTOR V cask that's still sitting out at INL.
7 They are monitoring the gas inside there because if we had
8 failure of one of the assemblies, or one of the pins, we
9 should see a xenon, krypton signature, and we also want to
10 see if there's some ingress of oxygen, and Brady talked about
11 that.

12 This is the one I talked about that EPRI's peddle
13 to the metal is on in terms of stainless steel corrosion.
14 EPRI is also doing some work on extended storage in wet
15 pools, that we want to make sure that these pool liners
16 themselves stay intact. There is some indications that for a
17 couple places of liner leakage, and EPRI is actively involved
18 in trying to find the leaks and stop them.

19 Okay, experimental work, research area. This is
20 the big demo that we have referred to. Brady talked about
21 the demo for the lower burnup fuel and talked about the fact
22 that for a higher burnup fuel, there may be additional
23 degradation mechanisms of the fuel such that we would like to
24 do a confirmatory demo, full scale, like was done in the
25 Eighties through the Nineties, and then with the inspection

1 or the re-opening of the one CASTOR in the early 2000s.

2 Bottom left photo is a picture taken with the
3 CASTOR V lid off in what was the Idaho TAN facility, test
4 area north. It was a huge hot cell that we could move a
5 full-scale cask into, and do this inspection without having
6 to re-wet the canister. And, that was really nice to have.

7 We would like to, if we're going to do this
8 experimental work over, we need to obtain one or more casks
9 to do this, obviously, that are licensed for high burnup
10 storage and transportation. Industry has identified two
11 potential casks that are the bolted system design that I
12 think we are holding onto, or we, the particular vendor is
13 holding onto at one of their fabricator sites. Those are
14 potentials.

15 Now, early work. We have to precharacterize
16 "sister" rods, meaning we have to know T equals O condition
17 of the fuel by precharacterizing some rods that are
18 representative. Okay, that's hot cell work, and that needs
19 to be done either at a national lab here or as we wind up
20 doing a lot, sending a lot to Studsvik in Sweden, for a
21 reason I will talk about in a minute.

22 Load the high burnup fuel at the upper limits,
23 hopefully of the licensing conditions, highest burnup, decay
24 heat, et cetera. We have to have a well instrumented special
25 lid for temperature measurements and other things that are

1 going to go on inside there. We're actually starting to
2 talk, Keith and I, about maybe a monitor that's totally
3 inside the canister and sends its signal through the canister
4 wall. That's certainly in the preliminary R&D stages, but
5 certainly it could help if we could have such a device last
6 for as long as the canisters.

7 And, then, after several years, like we did with
8 the CASTOR cask, reopen it. Take rods for destructive exams,
9 et cetera. And, the center picture there, I believe is also
10 the TAN hot cell where they pulled out some rods that then
11 were sent onto other labs for investigation.

12 This was a study that Albert Machiels, also here
13 from EPRI, commissioned back in 2003. How much is it going
14 to cost to do what, depending on the level of information you
15 want. Option A was essentially take an existing set of
16 measurements, send a few more rods for characterization at a
17 lab, and you can see there even to get some preliminary
18 information, the estimate was about \$5 million to do that.

19 Option B is let's do the demo at a utility site,
20 followed by laboratory investigation. We get more data and
21 you can see the price is increasing dramatically. And, if
22 the whole thing is done at a national lab--sorry, national
23 lab folks, we know what you cost--and that adds additional
24 cost. But, of course, it is under a DOE roof, which has a
25 lot of benefits.

1 But, the point is you can see the dollar numbers we
2 were talking about back in 2003. Clearly, that is a
3 collaboration type of dollar number, which is one of the main
4 reasons that I wanted to get ESCP up and running.

5 Okay, no place like that TAN hot cell exists
6 anymore. We don't have a place to deal with, in the U.S.
7 anyway, with a full scale cask that we can open up in a hot
8 cell environment, and deload it or unload it or look at it.
9 So, the request from industry was DOE needs to provide
10 capability lost due to TAN hot cell decommissioning.

11 Given that they have on their agenda reopening the
12 CASTOR again, along with one of the others, we're hoping what
13 that means is that they're thinking about building a new
14 system. We'd certainly like to see something like that.
15 Otherwise, the test will have to be done using a spent fuel
16 pool. It's not the end of the world, but if you want to get
17 something done fast, that's where it's going to have to
18 happen, is at a spent fuel pool.

19 And, you heard a little bit about the concern about
20 re-wetting and how that may affect or complicate
21 interpretation of the results we get if we have to put the
22 cask back in the pool after "X" number of years to take a
23 sample. We would rather not do that. We would rather do it
24 in dry conditions. We just don't have a place to do it.

25 Maybe we can use a mini-cask and try to replicate

1 the same conditions such that we don't need the full TAN
2 facility, or that size. Again, it's not ideal because it can
3 introduce experimental artifacts. So, we would really like
4 to have something like the TAN hot cell facility again.

5 Then, the real unknown that's driving us is we
6 don't know how long the systems will last or when DOE will
7 take the used fuel. What we would like to see is DOE
8 develop, license, and demonstrate one or maybe more systems
9 to repackage used fuel in lieu of a pool availability. As
10 you saw, the farther out in time we go, the less pools there
11 is going to be. We would like to have that demonstration
12 done.

13 Full or partial repackaging. It must be a dry
14 transfer system for that same reason, and we are very happy
15 to hear about DOE's plans to resurrect the dry transfer
16 system work that was done 20 years ago, Jeff? Something like
17 that. A long time ago. We got pretty far down the road with
18 that. That needs to be dusted off, brought up to date, and
19 maybe demonstrated again.

20 Other options. Overpackaging, something else? And
21 this dry transfer system should be developed sooner rather
22 than later, and again, it's to maintain confidence in the
23 long-term interim management of used fuel.

24 What the industry wants from DOE - specifics.
25 Provide the majority of the funding, work with the industry

1 to continue to obtain high burnup used fuel properties and
2 long-term behavior for transportation. Industry, EPRI is
3 actively involved in collecting additional cladding property
4 data for high burnup fuel. We are funding that work. We
5 know DOE is funding some. NRC is funding some. That needs
6 to continue, and potentially increase in terms of the amount
7 of funding.

8 I mentioned this, providing the new facility, like
9 the decommissioned hot cell facility. This one is actually a
10 pretty loaded bullet here, develop ownership transfer plan
11 for used fuel used in experiments in the U.S. What we hear
12 is that a major impediment of doing hot cell work in the U.S.
13 is that the national labs cannot accept fuel because
14 according to a DOE order, there is no disposition pathway,
15 which I find highly ironic. We have NRC that has waste
16 confidence that there is eventually going to be a disposition
17 pathway, yet we have a DOE order that says we don't see the
18 disposition pathway, and, therefore, the national labs are
19 not allowed to take fuel or disposition it.

20 Given that that's a DOE order, hint hint, perhaps
21 the TRB might want to take a look at that, and see if they
22 can make any recommendations. What EPRI is doing, we send a
23 lot of our work to Sweden. We just can't get it done in the
24 national lab. That doesn't mean we're dead in the water, but
25 we could do a lot more with the U.S. national labs if we

1 could actually have the labs take fuel. So, that's a big one
2 for us.

3 And, we must have a contingency plan, like I talked
4 about for the dry transfer systems.

5 Processes, not so much in the technical standpoint,
6 but what industry would like is to be oriented to support the
7 timely movement of used nuclear fuel off reactor sites.

8 Andy, don't ask me what timely means. It's that
9 same squishy thing you got yesterday.

10 Certainly, the sooner the better. We recognize
11 that there's all kinds of impediments, but we don't want DOE
12 to drag its feet, whoever is going to do this.

13 Transparency and timeliness. DOE's plans and
14 processes should be open for public comments. Release
15 reports without delay. We are very pleased that DOE made the
16 decision to release their draft gap analysis report. We know
17 that took them a while to make that decision. We're happy to
18 have it. We are hoping that comments that have been provided
19 to DOE have been helpful for them to produce their final
20 report and plan. So, we're very happy to have that.

21 Prioritize R&D with licensing needs. I was pleased
22 to see their priorities having a major licensing need
23 component. And, this is that point I made about Maine
24 Yankee. DOE's schedule has to be cognizant of some of the
25 nearer term needs for the industry. We'd like to move things

1 forward so that we have data, for example, for folks like
2 Maine Yankee to use, and they're just one of many coming down
3 the road fairly soon that could benefit from some additional
4 R&D.

5 And, the DOE extended storage program should look
6 for synergies with centralized interim storage. This is more
7 of a policy issue. I didn't put it up there. I'm not saying
8 I disagree with it, but it's part of industry's requirement
9 in the policy, a request, which is if you're going to
10 centrally locate something due to regionalized repository
11 recommendations like is in the Blue Ribbon Commission draft
12 report, maybe a sweetener would be let's conduct our extended
13 storage R&D at the same site. Perhaps when it's just not a
14 dump, you've got some R&D going on there, let's look for some
15 opportunities, maybe that's a good place, for example, for
16 this full scale hot cell, dry transfer demonstration, things
17 like that. Be a good use of that equipment because you could
18 manage the storage facility as well as do R&D.

19 And, that's it. I appreciate your patience.

20 GARRICK: Okay. Yes, Rod?

21 EWING: Ewing, the Board.

22 So, this first question is a little bit to the side
23 of your presentation, but are there concerns or research
24 programs that address the issue of the changes in the fuel
25 itself during extended storage?

1 KESSLER: Very much so.

2 EWING: Okay. And, so, that's part of this program?

3 KESSLER: It's part of the program, but it's not, the
4 ESCP or the participants in the ESCP are not the only ones
5 doing it. There are, for example, I was talking to our
6 representative from E.ON, who participates in a different
7 EPRI program called The NFIR Program, which is an
8 international fundamental fuels property type of program.
9 So, that's funding some work. There are other locations
10 internationally that are funding the work. We're co-funding
11 things. Albert has got co-funding work with some of the fuel
12 vendors, with some of the national labs, with NRC. So,
13 there's lots of other work that's going on around the
14 extended storage issue.

15 EWING: But, based on your comment about the facilities
16 in the U.S., most of this work then is done outside of the
17 United States?

18 KESSLER: I don't know whether it's most. I do know
19 that we send more stuff to Sweden than we could without this
20 particular DOE order. And, Albert, do you have any idea of
21 what the fraction is? I'll repeat it for--overwhelmingly
22 outside of the U.S. is what Albert said.

23 EWING: Okay. And, then, the last chart, a question,
24 you do describe doing the non-destructive analysis of the
25 fuel when you open it back up, so I presume that's a chemical

1 analysis?

2 KESSLER: No, it's going to be a visual analysis
3 initially. There will be a chemical part that we'd like to
4 do, Rod, which is we'd like to take a swipe. If we're
5 looking at a marine environment, or even some people looked
6 at it at Yucca Mountain, we'd like to know what is it that's
7 depositing on these canisters, and then there's the chemical
8 analysis, part of it.

9 EWING: All right. So, the non-destructive is not on
10 the fuel itself. That's on the--

11 KESSLER: No, this is entirely--to actually get inside
12 the canisters and look and see what's going on in there, is a
13 much bigger deal. To maybe in situ, fishing something in
14 through an air inlet or outlet, and then initially just a
15 visual inspection, maybe taking this swipe to see how much
16 salt is deposited, and what is the salt would be step one.
17 And, then, we'd like to develop a tool to actually start
18 taking a look at primarily the welds that are not stress
19 relieved for potential signs of stress corrosion cracking.
20 So, that's all outside the canister.

21 EWING: Okay, thanks very much.

22 GARRICK: Ron?

23 LATANISION: Latanision, Board.

24 I wanted to ask about that very last point you
25 made. Is there evidence anywhere in the field in terms of

1 casks that are in dry storage now that either localized
2 corrosion or stress corrosion cracking has appeared?

3 KESSLER: No. But, we haven't inspected--

4 LATANISION: That would have been my next question.

5 KESSLER: There has been experimental work, and EPRI has
6 done some studies to say is it possible? The answer is yes,
7 it's possible. There's been some accelerated corrosion
8 testing done by CRIEPI in Japan, a couple others, to suggest
9 yeah, under these sets of conditions, we could have stress
10 corrosion cracking. But, have we gone out and looked yet?
11 No.

12 LATANISION: Okay. That seems to me to be the important
13 point. I mean, I could cause stress corrosion cracking of
14 stainless steel in ten minutes in this room under the right
15 conditions.

16 KESSLER: Exactly, that's the question. Do we have the
17 conditions?

18 LATANISION: Yes. So, what is the current inspection,
19 maintenance frequency or protocol, or where are we in that
20 space?

21 KESSLER: I would say not much, but, Adam, correct me if
22 I'm wrong. I think there's only occasional visual
23 inspections mostly to make sure that the airways are clear,
24 you know, are not clogged with something. In terms of actual
25 inspections of any kind, visual, swipes, NDE, nothing--

1 LATANISION: Of the canisters themselves?

2 KESSLER: Of the outside of the stainless steel
3 canisters, nothing is required, and not much is done. Hence,
4 our desire to get in there and look.

5 LATANISION: That's a really important thing to do, from
6 my perspective.

7 KESSLER: Which is why it's EPRI's only high priority
8 item.

9 LATANISION: Let me know if you'd like some company when
10 you make those inspections. I'd gladly join you.

11 KESSLER: I'm sure we'd have quite an audience, but we'd
12 probably need to work out a few kinks first. I mean, pushing
13 these things through and around and making sure we get what
14 we want is going to be a chore. And, I'm sure we're going to
15 do it wrong once or twice before we get something useful out
16 of it.

17 GARRICK: John, do you have a metric for the level of
18 effort that ESCP has been up to this point?

19 KESSLER: You mean in terms of--

20 GARRICK: Full-time equivalence, or something?

21 KESSLER: Oh, I have no idea, John. In the sense that,
22 you know, you could go back and look at the UFD program, what
23 portion of their man hours is ESCP related versus their day
24 job? I don't know. Same with any other participant. You
25 know, in the sense that we're trying to do this stress

1 corrosion cracking monitoring, okay, that's something we need
2 to do anyway. We're doing it as part of ESCP. It's really
3 hard to tell, John. We've had lots of meetings. There are
4 people going home and doing things, like developing new
5 models that came out of discussions at ESCP. But, I really
6 can't give you a good number.

7 GARRICK: Do you have any idea of the level of effort
8 you would like to see DOE?

9 KESSLER: Yes. I've talked about the two biggest ticket
10 items that we'd like to have done, dry transfer facility and
11 the high burnup demo, both of which are going to be a multi-
12 million dollar program. That doesn't mean we're expecting
13 DOE or UFD or whoever it is to foot the whole bill. EPRI is
14 willing to contribute and already is contributing. The
15 vendors are contributing. The utilities are going to
16 contribute at the very least by volunteering at sites, and
17 then going through any licensing or analysis that needs to be
18 done. NRC is contributing. There may need to be a license
19 exemption for some of this work. NRC is going to have to
20 process those and evaluate those. All of it's multiple
21 contributors.

22 GARRICK: Sounds like it's pretty hard to do any
23 definitive program planning, though, until you know what
24 you're going to get as a function of time out of the program,
25 because you don't--

1 KESSLER: If you have good participation, we can move
2 forward. We got a lead on the demo program, that's Ruth
3 Weiner at Sandia, who is part of the UFD program. We have a
4 couple other participants. I also know that part of UFD is
5 funding an industry planning for a demo at an industry site.
6 So, there is planning going on. We are thinking about things
7 like what kind of NRC exemptions will we need to do what,
8 what kind of information we want. We're proceeding, John.

9 GARRICK: Okay.

10 KESSLER: Yeah, I agree. It's a challenge, but I think
11 we can get there.

12 GARRICK: Yeah, okay.

13 WILLIAMS: This is Jeff Williams with DOE.

14 We've been working closely with them. To answer
15 your question about resources, and so forth, we've had--I
16 mean, Brady and our team has been working on our program, and
17 then we come to their meetings and we share information. So,
18 I mean, the exact resources really has to go--is focused on
19 the meetings.

20 But, one other thing that we've done recently is we
21 have put out an RFP to the utility industry--or, to our
22 vendors that I think Bill talked about early on, we have six
23 teams, where we have asked them what they think needs to be
24 in a demo, and we have proposals back from them for a plan.
25 So, we're going to take all this information, Brady's work,

1 the work that they mentioned about Ruth Weiner was doing on
2 evaluating the DOE complex, and coordination with them and
3 the industry input, and hopefully this year, in maybe six
4 months or so, we'll try and work out a better plan that has a
5 cost schedule and scope, and so forth.

6 GARRICK: Okay, thank you. Andy?

7 KADAK: Yes. I'm interested in the fuel inspections.
8 It sounds like your emphasis is on the high burnup fuel;
9 right?

10 KESSLER: Very much so.

11 KADAK: Okay. But, I don't think we should ignore the
12 low burnup fuel.

13 KESSLER: We're not ignoring the low burnup fuel. But,
14 we do have data and licensing precedent for the lower burnup
15 fuel.

16 KADAK: For inspections, as I just was going to finish
17 the sentence.

18 KESSLER: What kind of inspections?

19 KADAK: The physical inspection of low burnup fuel after
20 a relatively long period of storage. Now, earlier, you
21 mentioned that you had some anecdotal evidence from France
22 when they opened the casks. But, it would be really nice to
23 be able to get more specific information about what is the
24 condition of the fuel that they've opened? How old has it
25 been? Adam mentioned that he opened a--

1 KESSLER: That's right, that was--I was just going to
2 mention Adam's example. That would be one data--

3 KADAK: But, we don't know how old that fuel was?

4 KESSLER: We do.

5 KADAK: Well, he didn't--

6 KESSLER: --what burnup it was.

7 KADAK: All right. Can I just finish before you answer?

8 KESSLER: I just don't like you making too many
9 incorrect statements in--

10 KADAK: Well, first of all, I don't think that's very
11 funny. Okay?

12 KESSLER: I'm sorry.

13 KADAK: Secondly, I'm trying to make a point. The point
14 is we need to have more inspection of fuel that has been
15 aged. Okay? Low and high burnup.

16 KESSLER: We do.

17 KADAK: Okay.

18 KESSLER: And, what I'm saying is I think that we got
19 some information out of the one we looked at. DOE is
20 proposing to reopen--

21 KADAK: Where is that quantified?

22 KESSLER: Where is what quantified?

23 KADAK: The inspection results.

24 KESSLER: It's in the dry cask storage characterization
25 program work that Brady Hanson talked about yesterday. Those

1 are 2002 error reports.

2 KADAK: Okay.

3 KESSLER: So, that reopening was documented. We'd like
4 to document the work at Peach Bottom that Adam referred to
5 yesterday as another data point. We understand DOE would
6 like to do some more inspections of the fuel that's at Idaho.
7 That's great. I wouldn't consider it my highest priority
8 requirement, but if they want to do it, we're not stopping
9 them. We're happy for them to do it.

10 KADAK: Well, what I'm really trying to get somebody to
11 agree to do, and that's be able to put together a
12 comprehensive results of inspection program, not that if DOE
13 likes to do something, they can do it, or if someone else
14 does it, they can do that too. EPRI representing the
15 industry, I think you should have a vested interest in making
16 sure that you get the best available data on physical
17 inspections of aged fuel, not only, you know, can you look at
18 it, oh, yes, it looks like the same as we put it in, but more
19 detail about what is the condition.

20 KESSLER: Quite so, Andy.

21 KADAK: Okay.

22 KESSLER: Quite so. Like, you know, I could do the mea
23 culpa, we have a limited budget, we have restrictions, so we
24 have to prioritize, which is why most people are prioritizing
25 on what R&D we'd like to do first, given we all have limited

1 resources.

2 KADAK: All right.

3 KESSLER: So, it would be great to have more information
4 on lower burnup fuel, but if I had limited money, I would put
5 my money somewhere else first. And, that's certainly the
6 case.

7 GARRICK: Okay, are we going to hear from others? Is
8 Adam going to make a presentation?

9 LEVIN: Yes.

10 GARRICK: Okay. Adam has a bad leg and he's asked if--

11 LEVIN: No, I'm going to sit, if that's okay.

12 GARRICK: Yes.

13 LEVIN: Thank you very much.

14 First of all, I would like to thank the Board for
15 the opportunity to come back and speak again. I spoke to the
16 Board a number of years back, and it's a pleasure to dialogue
17 with everybody on the Board. I certainly learn a great deal
18 every time I come.

19 And, I also want to thank John and Jeff and others
20 that have been involved in this ESCP program, because,
21 frankly, as a utility participant, I can tell you that it's a
22 wonderful opportunity for us to be able to provide our
23 insight, and hopefully to provide some shortcuts, if you
24 will. But, I see that the utilities really have an
25 opportunity to provide data that otherwise the Department may

1 be searching for.

2 As an example, just this morning, listening to the
3 presentation on transportation, and the discussion about the
4 fact that the Department has no data on fuel transport and
5 the impacts of emotion on the fuel assemblies themselves.
6 Well, we transport new fuel to our sites with accelerometers
7 in the boxes, so we have that data that we can provide. So,
8 there's some opportunities that I think that we as industry
9 really need to stay plugged in, and through the ESCP program,
10 we're doing so. So, I do appreciate that very much.

11 Could we go to the next slide, please? Thanks.

12 You've heard a lot from previous speakers about the
13 structure of DOE's program and their participation in the
14 extended storage collaborative process, so I don't want to go
15 too much further into this. Unfortunately, being a speaker
16 in the fourth quarter of a conference, you find that 75
17 percent of the time, and I leave it to you, Dr. Garrick, to
18 tweak those probabilities a little bit, but 75 percent of the
19 time somebody has already talked about what you want to talk
20 about, so I will do my best to try and expand upon that a
21 little bit.

22 But, just, you know, the high points as far as the
23 utilities are concerned, focus on that DOE has in the UFDC we
24 think is the right focus, to conduct research and development
25 to enable storage and transportation, and also to, you know,

1 the particular--to facilitate all options for disposition and
2 maintain retrievability.

3 The one item that I certainly was very pleased to
4 see in the UFDC program is that we're not going to limit the
5 options. I mean, if there's some other opportunity to put
6 forward an idea that from a total systems perspective, result
7 in a benefit to the program, let's do so now. We have that
8 opportunity. We have that open door, so let's make that
9 happen.

10 Next slide, please?

11 So, from Exelon's perspective, where would we like
12 to see DOE focus their gap analysis? And, you know, again
13 we've seen these storage system safety functions from a
14 number of the earlier speakers, thermal performance. From
15 our perspective, thermal performance is kind of an
16 interesting one because what we want to do long-term is to be
17 able to have cask designs that are capable of accommodating
18 60,000 megawatt day per metric ton plus fuel in the center of
19 the cask, and at the same time, accommodate low burnup fuel
20 on the periphery of the cask, the reason being the low burnup
21 fuel on the periphery of the cask is important from cask
22 loading operations. We keep doses to our workers down by
23 maintaining and using low burnup fuel on the periphery, so
24 it's a very important point for us.

25 I probably should have put confinement up at the

1 top, it really is Number one, as far as we are concerned as a
2 utility, because we want to make sure that when we load that
3 cask, seal it up, put it out on the pad, and to the day that
4 it goes down the road, that those contents are confined.
5 And, frankly, until the system reaches its ultimate
6 destination, because even in transport, although DOE may take
7 title to it at our fence, it's still going to be viewed as
8 Exelon fuel until it resides somewhere permanently.

9 Sub-criticality, of course, is important to us. In
10 general, the retrievability is also important. But, I think
11 that the confinement issue is probably the most important
12 aspect of the storage system functions. And, of course, all
13 of this gets translated to the transportation side of things.
14 We need to be able to assure that we're there also.

15 And, finally, on this, public acceptance of a very
16 complex and highly sensitive issue must be transparent, as
17 far as we are concerned. We as an operator recognize the
18 need for transparency. We make no bones about that
19 whatsoever. We need to be there, otherwise, we're not going
20 to be successful in all of this.

21 Next slide?

22 What deserves our consideration? Well, we have a
23 set of existing NRC regulations and the DOE standard contract
24 that requires the waste form as a fuel assembly. Definitions
25 of intact and damaged are not actually regulation, they are

1 interim staff guidance. But, we have been working to that
2 interim staff guidance in terms of our designs of our casks
3 and how we determine the classification of fuel before we put
4 it into storage.

5 And, the standard contract, of course, talks about
6 requirements specific to fuel assemblies, and components
7 which become--which are an integral part of the assembly that
8 BWR channels, inserts, the plugs, et cetera, that go into
9 BWRs.

10 I know why Dan invited me here today, because he
11 knows I enjoy the opportunity to think off-center, and
12 question the status quo. And, in fact, when he did present
13 me the invitation, and I started thinking about what I was
14 going to talk about today, I said well, is it time to
15 consider a new regulatory framework, was one of the questions
16 I asked myself. And, I think the answer is not, and I agree
17 with what Ward Sproat said earlier this morning. We've
18 established a pretty good regulatory framework, and for the
19 most part, it does what it needs to do, which is provide
20 protection of public health and safety.

21 But, I have concluded that there's probably a
22 couple of changes that we could make, and maybe now is the
23 time to make that shift in the way we view and analyze
24 storage transport and disposal. And, John Wagner spoke
25 earlier today rather extensively about the approach I'd like

1 to present, and in fact presented some of the technical
2 roadmap that needs to be considered.

3 Let's go to the next slide.

4 So, other options we might consider? Well, with
5 the termination of the Yucca Mountain Program, we have an
6 opportunity to look, to address from a total system
7 perspective what would be beneficial. I don't want to throw
8 the baby out with the bath water, I think many of the
9 regulations that we have today in general, I think, serve
10 their purpose. However, I think there is some focused
11 changes we can make, and the focused change I think we can
12 make which results in allowed a welded canister or existing
13 transport cask to become the waste form rather than the
14 assembly. I think this has the potential for simplifying the
15 technology development necessary in order to be able to make
16 the long-term decisions we need to make with respect to
17 transportation and storage.

18 I also think it will accelerate the process of
19 moving used nuclear fuel away from the sites. And, I do have
20 to point out, of course, and I'm sure everybody recognizes
21 this, that demanding the standard contract is going to be a
22 problematic issue and will require some legislative input.

23 One of the considerations that was given and
24 suggested by the DOE in their gap analysis, their draft gap
25 analysis, was canning all of the used nuclear fuel. And, I

1 did want to identify that today that that is a very very
2 expensive process. When canisters are on the order of a
3 million dollars, or so themselves, and we add another million
4 dollars, or so, on top of that in order to be able to place
5 the fuel into cans, I think that's really a non-starter and
6 not something we would want to do.

7 And, interestingly enough, we are in the process of
8 loading some damaged fuel, or we're preparing to down at
9 Dresden Unit 2--I'm sorry--Unit 3 next year. It actually is
10 Dresden Unit 1 fuel that's being stored in the Dresden 3
11 pool, and we'll be--we just put it in order for damaged fuel
12 containers. So, that's why I'm familiar with the kinds of
13 numbers that are out there. And, to set up a cask that has
14 68 locations with damaged fuel canisters in all of those
15 locations would be an expensive process. It certainly would
16 not be an ALARA for loaded systems, if we have to bring them
17 back in, cut them open, and put fuel into canisters, and of
18 course, every opportunity for handling the fuel assembly just
19 gets you another opportunity for creating a fuel assembly
20 that has some damage, which we certainly would like to avoid.

21 Next slide, please?

22 Just a quick table here, but the structures,
23 systems, and components important to safety, the things that
24 certainly need to get looked at in terms of R&D going forward
25 with storage, long-term storage and transportation. But,

1 considering the canister, the canister, the waste form, I
2 think it will limit the amount of R&D that needs to be done
3 in order to demonstrate long-term storage and transportation.
4 Obviously, if you make the assumption that the fuel is in
5 rubble in the cask at the pad, in transportation down the
6 road and in disposal, a lot of the issues associated with the
7 integrity of the fuel cladding go away.

8 The neutron absorbers are identified as relatively
9 limited, but I think that there's still some work that needs
10 to be done in that area because it does provide defense in
11 depth for transporting. And, I'll get to that in a minute.

12 The welded canisters, the bolted systems, and the
13 concrete overpacks, by making the assumption that the waste
14 form now becomes the canister, you're going to be placing a
15 lot more alliance certainly on those welded canisters and
16 potentially on those bolted systems. So, you're going to be
17 doing a great deal more research and development for those
18 pieces.

19 Next slide, please?

20 Since the used nuclear fuel itself is no longer the
21 waste form, then we assume the debris configurations in all
22 storage transport and disposal considerations, obviously the
23 canister becomes the confined boundary, and criticality
24 controls in this case, we're going to have to depend very
25 strongly on moderator exclusion, burnup credit, which

1 includes fission product poisons. And, I strongly encourage
2 that this be pursued extensively because there's some
3 tremendous benefits to it.

4 We talked a little bit this morning, and John
5 Wagner again presented some information about different
6 configurations of fuel inside the canister, and the potential
7 impact on k-effective that it has. Now, 15 percent increase
8 in k-effective can very quickly be mitigated by assuming and
9 taking credit for burnup of the fuel.

10 And, I encourage, of course, that DOE expand upon
11 and utilize the work that EPRI has already produced in
12 reports addressing the disposal of intact canisters at Yucca
13 Mountain, and also criticality analysis, including credit for
14 fuel burnup.

15 Next slide, please?

16 So, just closing very quickly, the research on
17 cladding properties and fuel structural material becomes
18 limited. I don't think it goes away completely, but there
19 are certainly benefits from the standpoint that we would have
20 to do less R&D I believe in order to be able to demonstrate
21 long-term storage and transport down the road.

22 The second thing is that monitoring inspections at
23 the utility sites, if I can do them from the exterior of the
24 canister as opposed to actually getting inside, it's going to
25 make my life a lot easier. And, in fact, it would be very

1 difficult for me to go ahead and open up a port in an already
2 loaded canister, in order to incorporate instrumentation if I
3 needed to to do inspections or monitoring of fuel that's in
4 the canister itself.

5 Certainly, though, we can take a look at what the
6 exterior of the canister looks like. That kind of inspection
7 work we can do readily.

8 And, finally, the focus of all of this is going to
9 remain on confinement, confinement, confinement, associated
10 with that welded canister. And, in some respects, you know,
11 as I mentioned, the welded canister and the bolted systems
12 are going to have to probably perform to higher standards if
13 we're depending on them to be the primary boundary, and not
14 rely upon whatsoever the integrity of the fuel cladding.

15 So, with that, I'll take some questions.

16 GARRICK: Okay. Go ahead, Gene.

17 ROWE: Adam, I just have one quick question. When you
18 load a cask or canister, do you, when you do the criticality
19 analysis, do you consider the flooded condition?

20 LEVIN: Criticality analysis is considered--the design
21 of the casks consider or use or utilize the criticality
22 analysis that in the case of a BWR, as an example, is a
23 flooded condition.

24 ROWE: For PWR?

25 LEVIN: For PWR, it often assumes a minimum level of

1 relation in the water.

2 ROWE: In the cask?

3 LEVIN: Yes. As you're loading it in the pool, you've
4 got borated water in your spent fuel pools. So, there's--the
5 boration level may be some 2000 ppm in your spent fuel pool,
6 or higher, and then the cask techspecs, as an example, may
7 say 500 ppm is required. So, the assumption is as you're
8 loading the cask in the spent fuel pool--and, again, remember
9 you're not taking credit for burnup here.

10 ROWE: Right.

11 LEVIN: So, you're loading the cask with a minimum
12 amount of boration in the water.

13 ROWE: I'm not talking about during the loading. I'm
14 talking about when--do you do the analysis when it's sitting
15 out on the--when it's sitting out on the pad, do you consider
16 moderator exclusion at that point?

17 LEVIN: The canister is considered dry at all times
18 sitting out on the pad. So, yes, moderator exclusion.

19 ROWE: Okay, thank you.

20 LEVIN: That's not considered a credible accident.

21 ROWE: I realize that it's under 72, but it is under 71.

22 LEVIN: Once the cask moved down the road, yes, then
23 there's an opportunity certainly for it to be placed in a
24 position where it can be filled with water.

25 GARRICK: Ron?

1 LATANISION: Latanision, Board.

2 Adam, just a quick question on the very last point.
3 What does higher standard mean? A lower tolerance for any
4 evidence of corrosion, or what does that mean, what does the
5 final point mean?

6 LEVIN: Well, I think a lower tolerance for the
7 opportunity for stress corrosion cracks to form through wall,
8 or through weld, I should say, those sorts of things. We may
9 want to place upon that system a higher probability of their
10 success of maintaining confinement than we might otherwise
11 look at in different scenarios.

12 LATANISION: So, given that philosophy, you know, it
13 would suggest that thoroughly treating the welds after
14 welding would be one approach that would add some confidence
15 there, but that's not likely to happen, at least I don't
16 think it is in terms of the assembly of a cask. Shockpenning
17 the surface, is that, what's the practical implication of
18 this higher standard?

19 LEVIN: Well, I think the research and development,
20 enough needs to be done to determine if those kinds of
21 activities actually do provide additional benefits, and do
22 provide additional assurance that integrity of the canister
23 can be maintained. I honestly don't know whether it would or
24 it wouldn't.

25 LATANISION: Okay.

1 GARRICK: It's an interesting concept. I'm certainly
2 not opposed to improving the integrity of casks, but I am
3 opposed to arbitrary assumptions as a risk analyst. And, why
4 would I want to give away any possible protection or barrier?
5 From that perspective, it makes no sense to me. And, I can
6 imagine what the regulators might do? They will, as soon as
7 you get a pinhole size penetration, there will be assumptions
8 that the waste is instantly mobilized. And, of course, from
9 a risk assessment standpoint, that's completely nonsense.

10 And, the thing that we look to engineered barriers
11 to do is just delay, and you might have several pinholes for
12 hundreds of years, maybe thousands of years, and still not
13 have any release. So, from a risk assessment standpoint,
14 where we sort of invented risk in order to build realistic
15 models and to get away from arbitrary assumptions, it doesn't
16 make much sense, from a modeling standpoint.

17 LEVIN: Well, I understand that and appreciate it, and
18 I--

19 GARRICK: I thought you would.

20 LEVIN: I guess the interesting balance there is, and I
21 asked myself this question again as I was preparing for this,
22 but are we really going to be able to demonstrate in the end
23 that we can, with a high degree of confidence, model and know
24 exactly what the cladding will look like 60 years from now
25 after it's transported down the road and in storage? So, my

1 suggestion here is let's step back and see if maybe the
2 balance says do we go down the path of assuming there's no
3 cladding, as a proper way, or one way of dealing with it, or
4 do we have high enough confidence that we will be able to
5 demonstrate if we set up a standard that says the cladding
6 needs to be intact, that at the end of the day we'll be
7 there?

8 GARRICK: Well, we don't have to know for sure. That's
9 why we do uncertainty analysis. And, the uncertainties
10 should certainly be evidence driven. They should not be
11 arbitrary. And, I think that one could conceive of a
12 monitoring program or an inspection program and an R&D
13 program would allow you to provide pretty substantial
14 evidence of the condition as long as you allow for the fact
15 and take into account that there's uncertainty associated
16 with it. I think that we have learned just a tremendous
17 amount about nuclear safety by allowing ourselves to embrace
18 the uncertainty sciences. This arbitrary business I think we
19 have seen too many--too much evidence of it getting us in
20 trouble, because each time the assumptions become
21 increasingly in-conservative and suddenly we're completely
22 away from reality, that's just my impression of it.

23 LEVIN: Well, we certainly experienced that in the Yucca
24 Mountain Project.

25 GARRICK: Except you do have to pay for a risk analyst

1 to come and do it.

2 LATANISION: Do you know any that might be--

3 GARRICK: No. I'm not these days looking for work.

4 LATANISION: Sorry, Mr. Chairman, I couldn't resist.

5 LEVIN: Well, again, I think that the idea here that I'm
6 presenting regarding the waste form being the canister, I
7 think that from a utility perspective, if this can accelerate
8 the removal of fuel from our sites, that to me is a benefit.
9 And, frankly, come 2010--or excuse me--come 2020, I'm going
10 to have 72 casks of spent fuel sitting on the shore of Lake
11 Michigan, and I'm certainly going to get a lot of heat about
12 that. But, I also feel comfortable knowing that I can
13 transport those things down the road, and if somebody said,
14 you know, the integrity of the canister is paramount, then we
15 take creditor for moderator exclusion and burnup credit, I
16 think we're there.

17 GARRICK: Well, the only thing that we have to worry
18 about is to not get into the state of mind that we were in in
19 the early years of the reactor safety business, and based our
20 life on a guillotine break, and ignored everything else, such
21 as small locusts. And, any time you take a leap like this,
22 you open up the door for those kind of possibilities. And,
23 if you do not try to keep on course with respect to
24 mechanistic model and the supporting evidence for that model,
25 you know, you can sometimes have that block your view of the

1 real problem. And, there's a lot of experience that
2 indicates that's possible.

3 LEVIN: Point taken.

4 GARRICK: Yeah.

5 KADAK: Kadak, Board.

6 I don't think Adam is suggesting that we ignore the
7 characteristics of the cladding over time.

8 GARRICK: I'm not worried about Adam. What I'm worried
9 about is the analysts and the regulators and the fallout from
10 something like this with respect to the way things are
11 modeled. That's my biggest worry.

12 KADAK: Again, the concept is the waste package is the
13 canister. Okay? What's in the canister, any modeler can
14 work on. But, he's suggesting, and I believe I agree with
15 him, that this was a concept that we originally started on
16 when we canistered the waste, hopefully never having to open
17 it again as a once-through fuel cycle, which was the national
18 policy, you know, and still is.

19 So, the idea of having a canister be treated as a
20 waste form for disposal doesn't affect at all the ability to
21 understand what's in the canister to take credit for the
22 modeling, and do whatever experimentation you want to do on
23 the spent fuel, should it be necessary to demonstrate that it
24 is a suitable barrier, namely the cladding is a suitable
25 barrier. So, he's not denying--

1 GARRICK: Well, I realize that, Andy. I'm just worried
2 about how it's interpreted by the analysts and by the
3 regulators. If, in fact, the waste form fails, then that's
4 the end of the line in a lot of people's minds, and it's not
5 the end of the line from the safety standpoint, and from a
6 risk standpoint. It may be inconsequential.

7 KADAK: But, the challenge that he's putting forth here
8 is to see if the Department of Energy would be willing to
9 change the initial bare fuel requirement for acceptance from
10 the utilities of spent fuel. If they stick with that notion,
11 no fuel will move from reactor sites, period.

12 GARRICK: That's another problem.

13 KADAK: That is a big problem.

14 GARRICK: Yeah, but it's not what I'm talking about.

15 KADAK: I know, but I'm trying to amplify on the
16 conversation here. That has to be fixed anyway. And, if we
17 don't fix that, nothing is going to go, and that's the
18 message to our friends at DOE. Now, that becomes a
19 contractual question, and that gets us back into the do-loop
20 of nothing every happening until that litigation issue gets
21 settled, which is why I've let it--and, these are the kinds
22 of priority questions that no one is really wanting to face
23 here, as opposed to studying, you know, the integrity of the
24 cladding for the next 50 years.

25 LEVIN: And, again, my perspective is an operator's

1 perspective, you know, the kinds of things that I need to
2 have done, the kinds of issues that I see presenting barriers
3 to success, and one of them that concerns me honestly is
4 knowing that I have to demonstrate, without a doubt, or with
5 high probability at least, that cladding retains its
6 integrity over the next 60, 100 years after it's stored and
7 transported.

8 GARRICK: Yeah, but you can take into account a doubt.
9 That's my point, part of my point.

10 LEVIN: Absolutely.

11 GARRICK: Doubt is something you certainly should
12 incorporate into your analysis.

13 LEVIN: Absolutely.

14 GARRICK: Yeah.

15 LEVIN: Absolutely.

16 GARRICK: Okay. Any other comments or questions?

17 (No response.)

18 GARRICK: Okay, next speaker?

19 RUBENSTONE: Good afternoon. I'm Jim Rubenstone. I'm a
20 branch chief in the what is currently called the Division of
21 High-Level Waste Repository Safety in the Office of Nuclear
22 Materials Safety and Safeguards at the NRC.

23 And, I would like to thank the Board for inviting
24 NRC to provide some comments on the discussion here. I think
25 so far, it's been a very interesting and informative meeting.

1 And, I would also like to echo to some degree Chairman
2 Garrick's charge at the disclaimer at the morning of each
3 meeting that you should not, unless I explicitly state it,
4 construe anything I say as an official position of the
5 Commission.

6 But, that being said, I think I can still offer
7 some perspectives from the staff's point of view about some
8 of these issues, and about the ESCP program that John
9 described very eloquently.

10 I would also like to thank Brady Hanson for doing a
11 very nice job yesterday of laying out sort of a technical
12 issue landscape that's out there. I think that's a pretty
13 comprehensive treatment. I think there may be some
14 differences in how different groups view the prioritization
15 of these areas for R&D. And, just to bring the NRC
16 perspectives, there's two things which I think everybody
17 agrees on are important in prioritization, and that's for a
18 given issue, how it pertains to the performance of a system
19 in ensuring safety in the storage or transportation
20 components, and also the state of knowledge. Certainly,
21 there are areas which are important, but that we know a fair
22 amount about, and there are areas that are important that we
23 have limited knowledge on.

24 From a regulator's perspective, though, our main
25 concern I think, in addition to these two, is that we know

1 these issues well enough that we can provide a strong
2 technical basis for our regulations and for our guidance that
3 we go forward in order for the applicants to meet those
4 regulations.

5 So, that's a little bit different, I think, than
6 everyone else has. The corollaries to having the strong
7 technical basis for the regulations and the guidance is that
8 we understand enough about an issue to determine if it in
9 fact is an important issue for safety and for meeting the
10 regulations, and that we know enough about the issue that
11 when the applicant comes in with their case for that, we can
12 evaluate it in a clear and reasonable way. The burden of
13 proof, however, to some degree rests with the applicant to
14 make their case.

15 So, let me just step back for a minute and provide
16 a little context about what NRC is doing in this area. And,
17 there's really two initiatives which are strongly linked, but
18 not identical that we're doing. The first is this concern
19 for the need for long-term storage going forward, and
20 establishing a firm technical basis for any regulations that
21 we have in place now that could be applied out, or any future
22 changes and any guidance that we will issue.

23 And, the second aspect is really a little bit
24 different, but the staff has been charged by the Commission
25 to develop support for a potential extension of the waste

1 confidence decision. And, this was mentioned by Brady I
2 think yesterday as well.

3 In December of last year, the Commission issued an
4 update to its Waste Confidence Decision that states that they
5 have confidence that fuel can be safely--spent fuel can be
6 safely and securely stored into the future for up to 60 years
7 beyond the life of the given reactor facility, and that a
8 repository would be available for disposal when necessary.

9 Staff has been asked to look at the potential
10 extension of this beyond that time period, and to see how far
11 one could technically support that extension. And, the
12 principal mechanism for doing this is to develop an
13 environmental impact statement for waste confidence beyond
14 this already decided time period.

15 So, we have efforts going on the EIS side, and we
16 have efforts going on the extended storage technical side for
17 a safety point of view, and like I said, those are very
18 complimentary methods, but they are not exactly the same
19 thing. And, the main difference is they rely on, to a large
20 degree, the same sort of set of facts or knowledge, but the
21 types of analysis that one would do to prepare an
22 environmental impact statement are not the same as the
23 analysis that you would do to necessarily support regulations
24 for extended storage going out for longer periods of time.

25 Staff laid out its initial plans on this in a paper

1 that went to the Commission in February of this year, and
2 that paper is available in the Adams System. We are refining
3 those plans, trying to develop them in more detail, and some
4 of the preliminary schedules that we put out in that paper
5 will probably be slipping a bit in response to developments
6 and especially some of our budgetary considerations going
7 forward.

8 So, just to speak briefly about the EIS, NRC has
9 established procedures for developing NEPA documents and
10 environmental impact statements. We will follow those. In
11 this case, what we are doing now is, as I said, trying to
12 expand a little bit on those plans that were laid out in the
13 Commission paper which was fairly brief, and developing sort
14 of an internal framework for how we would go forward with the
15 EIS. We're looking at a largely scenario-based analysis,
16 extending this potential environmental impacts of dry storage
17 going forward for long time periods.

18 We expect to begin the public scoping process,
19 which is part of the initial phase of the NEPA, sometime in
20 the next calendar year. We are preparing right now a report
21 to summarize sort of our framework for preparing the EIS. We
22 expect to have that out in draft form before the end of 2011.
23 We're shooting for November. And, we will put that out for
24 public comments, get the public comments back, try to
25 finalize that report as a starting point in the spring before

1 we go into the formal scoping process, which is used to
2 define the actual--what the EIS will look like.

3 As I said, some of the schedules, the initial
4 proposal for that EIS anticipated that it would be done in
5 the final form in 2016, I think. Due to some of the
6 budgetary considerations we've seen moving forward, that
7 schedule is going to slip somewhat.

8 The second effort, as I said, is on the technical
9 basis for extended storage and transportation. I'm going to
10 focus mainly now on the safety aspects. There are security
11 aspects to be considered. They're being worked as well at
12 NRC, but in a somewhat separate framework.

13 The first step, and John alluded to this, is we are
14 preparing a gap, our own gap assessment. And, this gap
15 assessment draws on a couple existing ones, we're sort of
16 calling it a synthesis report that we hope to wrap up in the
17 near term. Certainly, the NWTRB and the DOE reports are
18 prime considerations in that, and as has been pointed out,
19 there's a fair overlap in the technical areas there.

20 We also have done an internal report that was
21 commissioned with Savannah River Lab that's being finalized
22 now, and that will be part of our input for this overall NRC
23 gap assessment synthesis. And, the key again in that
24 synthesis report is looking at the prioritization from the
25 regulators' perspective.

1 We expect to have that report done in draft form by
2 the end of November, roughly the same time scale as on the
3 EIS framework report. We will put it out for public
4 comments, and we certainly encourage the Board and other
5 parties, interested parties, to provide us comments on that
6 report.

7 As we move forward in developing these technical
8 bases, we have roughly three phases, the first phase being
9 the development of the gap assessment. And, like the ESCP
10 program, the second phase is actually doing the work to close
11 those gaps as needed. And, the third phase for us would be
12 any updates of regulations or guidance as needed from what we
13 have learned from that research.

14 I should also point out that we have, in parallel
15 with what we are doing on the extended storage and
16 transportation process, an initiative underway currently to
17 look at our present licensing processes and framework for
18 storage and transportation, Part 71 and 72. We have had a
19 public meeting in July on that. We had made some
20 presentations at the NEI meeting in May regarding that
21 process, and we're seeking out comments on that to improve
22 it. Those process improvements are changes for sort of the
23 present lessening framework, and there are certainly
24 implications of the present framework for extended storage.
25 So, they're not disconnected, but they're going on in

1 parallel.

2 We are working with the ESCP program, and I think
3 ESCP has been so far a good mechanism to share ideas with
4 industry, with DOE, and with some of our international
5 partners. We will continue to do that certainly.

6 Darren asked me to provide a couple examples of
7 things that the NRC thinks are of high importance and will
8 probably be going forward with, you know, pushing it as areas
9 of concern. I think none of these should come as surprises.
10 They have all been mentioned before. The first one is the
11 performance of high burnup fuels, especially going forward
12 over extended storage periods. We do have reasonable data, I
13 would say on low burnup fuels, we do have the 15 year data
14 point, which is an important one from the CASTOR experiment
15 that was done at INL. High burnup fuels are an increasing
16 fraction of the spent fuel in storage at commercial reactors
17 now, and it will only become a much larger fraction going
18 forward.

19 The amount of data on those is certainly smaller
20 than there is on the low burnup fuels. There are significant
21 differences, we feel probably in the performance of these,
22 but we can't say for sure yet until we get that information.

23 This is one area where I think we're going to be
24 relying to some extent on DOE and the industry to take some
25 of the leads, because of the natures of the analyses that

1 need to be done to characterize this. John talked about the
2 cask demonstration project, which I think is an important
3 component of that. But, I think there's also opportunities
4 for us to be creative and clever, to be able to develop
5 information that will help us understand the performance of
6 these high burnup fuels over long storage periods, kind of
7 sooner than in real time.

8 It would be nice if you knew how high burnup fuels
9 behaved over 40 years or 50 years, you know, before 40 or 50
10 years from now. But, I think that's a good opportunity, and
11 ESCP I think has been promoting some, as I said, creative
12 thinking by the experimentalists who have good ideas about
13 how to draw on this and get more information.

14 A secondary where NRC is actively working is this
15 question of potential for stress corrosion cracking in
16 canisters exposed to marine atmospheres, marine environments
17 where you could get deposition of salt on the stainless steel
18 or carbon steel canisters. This is actually an active issue
19 in the present licensing framework. There is a process we
20 have for issue resolution that we're engaged with NEI right
21 now on this issue about the potential for it developing sort
22 of within the near-term, and if not, then how it could expand
23 into a longer term.

24 Again, there's a couple components to this that can
25 potentially promote stress corrosion cracking. You need the

1 deposition of salts and the concentration and the composition
2 of those salts are important. You need the temperature state
3 that would allow deliquescence to potentially occur,
4 basically wetting the salts where you could begin corrosion.
5 And, stress corrosion cracking needs stresses, so you need
6 some understanding of the states of stresses and particularly
7 around welds, key part in the fabricated canisters that are
8 out there now.

9 The challenge, of course, as we have talked about
10 is there's a number of these systems, there's different sorts
11 of systems employed today, and put out into these sorts of
12 environments. The good news is most of the metal is not
13 exposed to deposition of salts. The bad news is it would
14 still be exposed to the air, but you can't actually see it.

15 So, as John noted, one of the challenges is to
16 develop some methods where you could actually make some
17 observations on these canisters in place, characterize what's
18 out there now, compare that to some research which has been
19 done, and we're doing some further work about the specific
20 conditions under which this may become a problem.

21 There was a report NRC has from a couple years ago,
22 work that was done at Southwest Research Institute on some of
23 the--characterization of salt deposition, and under what
24 conditions you could get stress corrosion cracking. We're
25 going to enhance, you know, follow up on some of that work in

1 the coming year. There's a fair amount of work in Japan,
2 both on sampling salts and trying to get deposition rates in
3 different environments. There's certainly plenty of
4 information in the Atmospheric and Oceanographic literature
5 about deposition of salt materials in proximity to oceans and
6 estuaries that we need to draw on.

7 There's been some other stress corrosion cracking
8 work in these environments in Japan. Some of that data needs
9 careful examination to decide whether--how applicable it is.
10 As was pointed out a moment ago, one can induce stress
11 corrosion cracking if one wants to. Are those the conditions
12 that exist? That's a key question we're working on right
13 now.

14 And, I think just one third example would be
15 something that John touched on, and this is the monitoring
16 and non-destructive examination question. And, certainly
17 there's applications in the SCC, but I think this has broader
18 implications, and I think it's another area where we should
19 really encourage creative thinking by DOE, the labs, and
20 industry about, you know, what's out there as methods that
21 could be employed in existing canisters and future canisters
22 to do monitoring and measurements without having to open
23 casks. There is certainly no substitute on a broad scale for
24 opening a canister and examining the fuel that's in it.

25 As John has pointed out, this is a non-trivial

1 exercise. And, it's easy to induce effects just by the
2 opening and sampling process. The downside of that is
3 because of these barriers to do it, one is always going to
4 have a very limited number of these direct observations.

5 A lot of the processes we're talking about that
6 could affect safety systems, especially on high burnup fuels
7 are, you know, stochastic processes, you know, one data point
8 is good, 1400 data points where I can remotely observe all
9 canisters deployed are even better, and we can get a better
10 feel. And, we have to be careful that we don't fall in the
11 trap of oh, the only thing we need is what we could get from
12 a full opening, and the examination of what's inside. There
13 are aspects potentially of behavior of fuel within a sealed
14 canister that one could detect remotely, and I don't have
15 specific examples of this. I think this is something we need
16 to work on.

17 You know, gross changes, if we have a way to say
18 there have not been gross changes, then we can do that on a
19 large scale without opening canisters. I think that's a
20 useful thing to know. So, I would point out this is another
21 area that I think is ripe for work under ESCP and through DOE
22 and the labs.

23 The last aspect I want to point out, and it points
24 to some of these other things, I think it ties them together
25 a bit, is aging management programs. This is a well

1 established engineering principle, as one uses engineered
2 systems for a protracted period of time, is you need to have
3 a program to manage the aging of the materials, and how it
4 goes forward.

5 As NRC reviews requests for extensions of ISFSI
6 licenses, extensions for certificates for storage systems, an
7 aging management program is a requirement now. I think this
8 will be an increasingly important requirement as subsequent
9 renewals may potentially go forward. And, I think it's not
10 too controversial to say that the aging management program
11 that one proposes to renew a 20 year ISFSI for another 40
12 years is not the same one that one would propose to renew
13 that same ISFSI at the end of the next 40 years.

14 So, again, some clear thinking about what one would
15 do within an aging management program. What sorts of data
16 would be needed in order to have a strong technical basis
17 that that program would be effective, are important things to
18 consider as we look to the R&D efforts going forward.

19 And, just in closing, we have a couple public
20 meetings coming up where we'll be rolling out some of these
21 plans, just like I talked about today, and asking for
22 stakeholder inputs on what's the best way to interact with
23 various stakeholders as we move forward in these initiatives.
24 And, you know, how can we better serve and operate in a
25 transparent and open way?

1 Most notably, two weeks from today at our Rockville
2 headquarters, we'll have a public meeting on the waste
3 confidence and extended storage and transportation plans.
4 That's been publicly noticed. We're working the agenda. We
5 will probably revise the start time a little bit to
6 accommodate people on the West Coast. But, that's, as I
7 said, that's the 28th in Rockville.

8 And, then, next week, we will be speaking about
9 some of the extended storage and transportation technical
10 issues to the sub-committee on irradiation protection and
11 nuclear materials of our own NRC advisory committee on
12 reactor safeguards. That will be September 22nd. Again,
13 that's again a rollout of the plans, and sort of an
14 introduction as to the type of work we expect to do for the
15 ACRS input. We will follow up that meeting, and right now,
16 it's tentative, in mid January, come back to the same sub-
17 committee and discuss our gap assessment, which will have
18 been released at that point, and begin engaging them on
19 feedback from the ACRS on that, and then we expect, if we
20 stay on schedule, to go to the full ACRS committee in the
21 February meeting.

22 So, again, thank you for the opportunity to talk,
23 and I appreciate the Board's insight and comments on NRC's
24 plans going forward.

25 GARRICK: Thank you. Thank you. We are running really

1 short of time, but we'll take one, maybe two questions,
2 depending on how long Andy's question is.

3 KADAK: I was just wondering, this EIS, is that on the
4 justification for the 60 years period, or for a longer
5 period?

6 RUBENSTONE: For a longer period.

7 KADAK: Okay. And, if all these technical gaps exist,
8 how can you make such a finding?

9 RUBENSTONE: Which finding?

10 KADAK: Of waste confidence for a longer period?

11 RUBENSTONE: Well, that's part of where we would be
12 inheriting--incorporating the information that we gain from
13 the technical work over the coming years into the
14 environmental impact statement. Now, on an environmental
15 impact statement, one can do impact analyses with some
16 limiting assumptions within them. You don't necessarily need
17 exactly the same level of technical understanding. You can
18 do analyses that are perhaps at a higher level than one would
19 do for--

20 KADAK: Okay. The other very quick question is as you
21 look at Part 71 and Part 72, are you trying to harmonize the
22 approach to analyses, for example, on criticality?

23 RUBENSTONE: I think that's an issue that has come up
24 more than once in discussions, and that's part of our, as I
25 said, the process improvement right now, NRC is open

1 certainly if someone wants to make a formal approach to
2 change the regulations per se. There are other changes that
3 can be done within the guidance also that could help perhaps
4 harmonize these things.

5 KADAK: So, are you doing it internally, or are you
6 waiting for others to give you guidance on how to--

7 RUBENSTONE: We don't have a rulemaking underway right
8 now that would make any changes in 71 or 72. But, that's
9 open if what comes out of our process improvement suggests
10 that's the way to go.

11 GARRICK: Okay. Well, I think we're going to have to
12 close this session. I want to thank the panel for a very
13 interesting afternoon. And, we will take a short break,
14 maybe a ten minute break.

15 (Whereupon, a recess was taken.)

16 GARRICK: We're to the last panel of the day, but
17 certainly not the least, Implications for Waste Management of
18 Using MOX Fuel, and we have, as we introduced this morning,
19 Wolfgang Faber and Patrice Fortier and Dan Stout. So,
20 proceed, gentlemen. We welcome you.

21 FABER: Well, good afternoon, everybody. My name is
22 Wolfgang Faber, and I'm from E.ON-Kernkraft. E.ON is very
23 much honored to be invited here, and I'm the happy volunteer.

24 Well, some few information about our company. We
25 are operating currently four large pressured light water

1 reactors across the country, and we have shares in some
2 others. E.ON is one of four utilities in Germany, and
3 nuclear-wise, it's the largest one.

4 And, on the left-hand side, you see eight units
5 that we used to operate, some are decommissioned, two only
6 recently. And, you see here the metric tons of heavy metal
7 that went through up to now, what we reprocessed from that,
8 and what went back in the form of MOX fuel into the cores.
9 And, the balance is summarized here. It's a total of 5,200
10 metric tons heavy metal, and half of that was reprocessed.
11 That makes 60 tons of plutonium, and half of that is recycled
12 as MOX.

13 In the handouts, there is a typo, it says 60 here,
14 it must be 50.

15 The next slide.

16 This shows the licensing situation and it's only
17 intended to show you two things. First, it's different than
18 any of our units, and this is due to our regulators. Any
19 state has different authority. There is no federal authority
20 governing everything. And, the second thing that I want to
21 point out is that--is rather difficult, it's really highly
22 regulated, not only the fissile content is set here, but
23 quality and the amount of MOX in the core, total amount, and
24 to reload, so it's regulated in detail.

25 And, this is, so to speak, the lifeline as I see it

1 of MOX bundles from manufacturing to transport and
2 intermediate storage. Our area of expertise is certainly
3 three to seven, receiving inspection, on-site storage,
4 reactor-physics, safeguards and post-operation storage.
5 That's what I'm talking about, and I hope that you will not
6 be too much disappointed.

7 This is showing two real MOX bundles, and not some
8 Cosmo characterization, but those two went through our plant
9 Isar-2, and it shows two things. It's the burnup of 60,000
10 metric tons, megawatt days per metric ton. It basically
11 shows two things. The recycling effort, meaning bringing
12 plutonium down and burning it from this number to this
13 number, and we pay for in trans plutonium, five times as high
14 as we have in the uranium part of the same burnup.

15 ARNOLD: What is Tpu?

16 FABER: Trans plutonium. Okay. This chart summarizes
17 basic features that calls the peculiarities--not a word for
18 my tongue--that I describe later. So, it's, first of all,
19 the fission cross-section certainly, fission neutrons, and
20 percentage of delayed neutrons. Then, the fission energy,
21 this is describing the fission neutrons itself, not in the
22 core, but itself. And, I would like to point out the rest
23 here. This causes the trouble in receiving the bundles, in
24 handling the fresh fuel, and it's the heat that is created by
25 plutonium 238 and by Americium 241. And, it's about

1 typically 400 watts per fuel assembly.

2 Now, the in core situation, the neutron spectrum
3 that has some effects, it's from here, this is the red curve
4 shows the spectrum in MOX, and the blue one is in uranium,
5 and the difference, MOX has a higher number of fast neutrons
6 and a lower number of thermal neutrons, of slow neutrons, and
7 this is theoretically causing material--affecting material
8 properties, and this is affecting the transient reaction
9 reactivity coefficients.

10 Okay, I'll skip that. Going through that lifeline
11 that I showed before. On-site receiving inspection, there is
12 a radiation dose for the staff about 50 to 100 micro severt
13 per MOX fuel assembly. And, because it's just hot, it has to
14 be cooled in a rack prior to going into the wet storage pond.
15 It can easily be about 100 degrees Centigrade, and they don't
16 to have steam going up.

17 I have four photographs here showing that it's just
18 more complicated to handle the uranium fuel. Uranium fuel
19 comes in a truck that is not like that safety truck, and the
20 package is just very much lighter, and this is the cooling
21 rack that the stuff has to operate close to. So, this is
22 more complicated.

23 And, the reactor physics properties that are
24 potentially affected: bundle design, reactivity versus burnup
25 is different, power distribution in the bundle, in the core

1 could be different, measurement versus prediction is
2 something that we have to look at. Do we predict our cores
3 with the same accuracy whether they have MOX or when we have
4 uranium core. Transients and accidents are fully affected.

5 Fuel rod design properties that are affected is
6 most of all heat conductivity, fuel temperature because of
7 that, and fission gas release also.

8 Now, the first thing here is fuel design. Fuel
9 design is more complicated. On the right-hand side is the
10 uranium bundle, more of the boring type, all over the place,
11 only one enrichment, except for the guide tubes here. It's a
12 16 by 16 bundle. Now, because of the lower number of slow
13 neutrons, the effect of moderation is higher in MOX than in
14 uranium, and, therefore, you have to decrease the enrichment
15 in the outer row, and enhance, bring water into the inner
16 side just to flatten the power distribution over the bundle.
17 So, it's just more difficult to design and to fabricate.

18 Okay, this is what reactor physicists like most
19 about MOX. It's the k-infinity curve that runs flat down to
20 high burnups, and that makes MOX very valuable. You have a
21 high reactivity at relatively high burnup, and you have a low
22 excess reactivity up front with low burnup.

23 I'm sorry, I didn't say this is burnup, and this is
24 k-infinity. These curves are curves for uranium bundles.
25 You see here the effect of berylline poisoning.

1 Now, this picture is taken out of a report that we
2 prepared. It's about reactivity at equivalence. So, the
3 question is how much worth is a MOX bundle compared to a
4 uranium bundle. And, there are several ways to figure that
5 out, all resulting in different numbers.

6 The first thing you can do, and an easy thing is
7 define discharge burnup, and then you just integrate under
8 this curve. And, if it's equal, then it's equivalent.
9 Alternatively, you can define such a reference k-infinity
10 that, well, takes care of the leakage, neutron leakage, and
11 where the lines cross, those two bundles are equivalent.
12 Those did provide some rather complicated formula, putting
13 some weighting numbers on the isotopes, plutonium, and if you
14 sum that up, you get the equivalence.

15 And we did an equilibrium cycle study, taking an
16 equilibrium cycle with uranium, some few MOX in there, 16,
17 and then when we were taking out the MOX, putting uranium in,
18 increasing their enrichment up to the point when we met the
19 cycle energy. So, then, you can say these are equivalent.
20 And, the funny thing is the equivalence depends on the
21 surrounding, meaning that if you put MOX into a high enriched
22 core, the MOX is more rough than if you put it in a low
23 enriched core.

24 Now, talking about reactor power distribution, does
25 MOX have any influence on that? These are two cores from one

1 of our plants, and these two pictures are showing the power
2 distribution, and I don't know whether you could guess which
3 of those have MOX. The loading pattern described here by the
4 burnup at the beginning of cycle is quite similar, same cycle
5 energy. So, the only thing that you can easily identify
6 where the MOX are is this one showing the thermal neutron
7 flux, and it's lower. Blue means low compared to red and
8 yellow. Where the blue colors are here, these are the MOX.
9 So, effectively, there is no effect on power distribution of
10 the core.

11 Accuracy of core simulator prediction. We had to
12 work hard on that to prove that there is no influence on our
13 accuracy of prediction. And, it turned out there is none.
14 We are comparing aeroball-measurements with the predictions
15 of the reactor simulator, and the errors are independent
16 whether we have MOX or uranium core.

17 There is something that we found. We see an
18 influence of the critical boron concentration, but this is
19 code specific. We use AREVAs CASCADE-simulator, but with the
20 Cosmo simulator packet from Studsvik-Scandpower that we also
21 use, we can see that difference. So, it's code specific.

22 Now, we are getting deeper into the fuel rods.
23 Transients. Two examples here for transients, where MOX has
24 an effect. First, anticipated transient without SCRAM. All
25 four main circulation pumps stop and the control rods are

1 stuck. So, the reactivity in the core is left to itself, so
2 to speak. The core voids and reactivity comes down, and the
3 more negative, void coefficient, and temperature coefficient
4 and void coefficient helps bring the reactivity faster down.
5 So, with this accident, MOX helps.

6 The other one where MOX doesn't help is LOCA, peak
7 letting temperature is higher in MOX. And, talking about
8 peak letting temperature in the U.S., ECR is important. It's
9 not with us because our transient is faster, it's shorter
10 than--

11 KADAK: What is ECR? I'm sorry.

12 FABER: Equivalent cladding reactive. It's what in this
13 high temperature phase, the cladding is corroding.

14 KADAK: What is the difference in peak temperature
15 between the MOX and the uranium core?

16 FABER: About 100 degrees centigrade.

17 KADAK: Centigrade?

18 FABER: Centigrade. There is a special criterion that
19 we have that nobody else uses, I guess, it's the core failure
20 rate. In case of a LOCA, we have to prove that only that--
21 not more than 10 percent of the core will break. I mean,
22 there are 45,000 rods in the core, so only 10 percent of
23 those are allowed to break during LOCA. And, it's mostly in
24 the first phase when the temperature goes up, and this is--
25 this has to do with inner pressure, and, therefore, I have

1 this picture here. It shows for the PWR core, the frequency
2 distribution of inner pressure, rod inner pressure, and the
3 black line is for all rods in the core. Then, the green one
4 is for the MOX, and what you can see is that the high
5 pressure is only made by the MOX rods.

6 So, lower heat conductivity that is shown here,
7 over the whole range of burnup and temperature, the heat
8 conductivity of MOX, the solid line, is always lower than for
9 the uranium fuel, the dash lines. And, together with this
10 inhomogeneous distribution of fission nuclei in the matrix,
11 you have in the grain of the uranium fuel, you have 5 percent
12 about of fission nuclei, and you have about the same size of
13 the matrix of the grain in plutonium, about. But, the
14 plutonium is in there, not evenly distributed, so to speak.
15 So, the inner surface is smaller, and this means that you
16 can't keep that fission gas on the surfaces. And, that means
17 high fission gas release.

18 We have higher power at higher burnup, reflecting
19 this k-infinity curve. That means higher fuel temperature,
20 more fission gas, which is accommodated by additional lower
21 fuel rod plenum reducing the fuel mass, of course.

22 This shows the effect in another way. These two
23 plots, this for a uranium core, this for a MOX core, and
24 shows in red the top 5 percent of stored energy. And, in a
25 uranium core, it's clearly here in the first cycle, and here

1 in the MOX core. And, there is a considerable contribution
2 of MOX rods with higher burnup. Okay?

3 And, this is, so to speak, the influence, fission
4 properties make k-infinity. Then we have the peak
5 conductivity and we have the micro-structure, and those two
6 affecting the fuel temperature, bringing the pressure up.
7 So, this is--well, where the rubber meets the road, so to
8 speak.

9 Fast fluence. I said that theoretically, there
10 could be an influence on neutron spectrum on materials.
11 These are the same two cores that I showed before. White is
12 fast flux, and you can't see any difference in here. This is
13 the MOX core. This has no MOX.

14 Another way to show that there is no influence is
15 RPV, a reactor pressure vessel fluence, per day, averaged
16 over certain cycles here over time. So, the average fluence,
17 RPV fluence per full power day goes down, and this is due to
18 the trend in loading scheme, in-out versus out-in. And, the
19 average number of MOX assemblies per cycle goes up, so there
20 is no influence to be seen here.

21 Control rod worth. There was no adaptation
22 necessary in plants. As far as in French units, they had to
23 change something about control rods when they started using
24 MOX. Measurements can easily meet the shutdown criterion.
25 The only thing is that control rod worth is a little bit

1 different. We have in MOX cores, an equivalent of 150 ppm
2 boron per percent compared to 140 in a uranium core.

3 Safeguards. Additional cameras, more inspections,
4 more inconvenient for people there.

5 Now, back-end, and back-end is, well, not as you
6 understand back-end probably. Back-end is only the interim
7 storage for us.

8 This is what we have now as the licensed container
9 cask, and four positions where we can put MOX in. And, in
10 the current license, any position has the same heat load, and
11 it doesn't matter what the others have. So, the decay heat
12 curve that you see here, this is for MOX of 65,000, 55,000.
13 This is uranium 65 and uranium 55,000. To meet those
14 criteria, you have to wait with a 65,000 MOX, 12 and
15 something years, and with a 55,000, still, seven and a half
16 years, compared to uranium, which is about five years, five
17 to six years.

18 Now, in the new license that we expect any day,
19 there is a little bit of a compensation method included here,
20 but it's not really relieving, because, while we have six
21 places to put MOX here, and we may put higher heat load on
22 the MOX places, but we have to compensate that with very,
23 very low heat load of the uranium fuel, so we have to keep
24 cold ones on store to realize something like that.

25 So, my conclusions are MOX are more expensive, at

1 least for us; more difficult to fabricate; more complicated
2 to handle on site; more closely supervised by IAEA. My fuel
3 management people, they appreciate MOX very much, and we need
4 longer post-operating storage time; and the higher inner
5 pressure could cause some trouble in longer intermediate
6 storage times.

7 So, this is the first part of my presentation about
8 PWR. If you want me, I can in five minutes skip through
9 something about BWRs, Gundremmingen.

10 GARRICK: Well, what do we think? Okay.

11 FABER: So, this is what my colleague Dr. Schrader from
12 RWE allowed me to show. It's his presentation.

13 The first time MOX was loaded in Germany was in
14 this small reactor Kahl, 16 megawatts electrical. It was in
15 1966, and they tried a lot with MOX cores here. This is
16 probably more interesting. This shows Gundremmingen B. It's
17 a 1300 megawatt electric BWR, and this shows here, the
18 yellows are the MOX, and from 1996 on, they were using MOX
19 there to an amount of 300 MOX per core. And, this goes on
20 until 2010, and no MOX after that.

21 This is Unit C, comparable picture. This is
22 licensing activity. This shows what I showed before, the
23 rather complicated and detailed licensing for MOX operation.
24 This is how the MOX core looked like with the maximum allowed
25 amount of MOX in that core.

1 We did a whole lot of testing to verify all that,
2 and here you see we are part of the game. And, about
3 application, we talked about that. And, those during
4 handling, those 50 micro sivert, and the total amount of MOX,
5 of plutonium that was recycled in Gundremmingen is 5 tons
6 fissile plutonium, 8 tons of total plutonium.

7 Thank you so much for your patience.

8 GARRICK: Thank you. Yes, Andy?

9 KADAK: Given all the difficulties, why did you proceed
10 on the MOX path?

11 FABER: Well, we didn't--we are obliged to do that by
12 law.

13 KADAK: You're obliged?

14 FABER: Yes. Until 2005, the law said you have to
15 recycle and bring back plutonium. You have to recycle your
16 plutonium. After 2005, transportation of spent fuel is
17 forbidden, and, therefore, we are now on the long-term
18 interim storage path.

19 MOTE: Can I add something to both sides of this
20 discussion, and I can see where this thing--both know that
21 will not come through.

22 Back in the 1970's, the German government passed
23 what was called the German Atom Law, and that required the
24 German utilities to put in place a solution for spent fuel
25 management, at least certain years in advance of operation.

1 Therefore, the German utilities signed reprocessing
2 contracts. Therefore, they have plutonium to their account,
3 and the German government then said, recycle your plutonium.
4 They didn't go into MOX because they wanted to do MOX. They
5 went into MOX because they have plutonium, and a legal
6 requirement to recycle it. And, they had to incur the
7 additional cost penalty and dose penalty that came with
8 meeting the government requirement.

9 GARRICK: Yes, Howard?

10 ARNOLD: Arnold, Board.

11 Did the RWE people draw any qualitative conclusions
12 the way you have about advantages and disadvantages?

13 FABER: The same.

14 ARNOLD: The same.

15 FABER: In-core fuel management is easier. They can
16 handle it. They could handle it. It's over now. But, the
17 same judgment about operational MOX than we have.

18 ARNOLD: Okay.

19 FABER: It's more complicated in BWR than in PWR. The
20 assemblies are a little bit more difficult. Enrichment--

21 ARNOLD: Variable enrichment?

22 FABER: Yes.

23 ARNOLD: Just a quick--is this MOX made with natural
24 uranium, or depleted uranium?

25 FABER: It depends. There are some units that had no

1 license to use depleted uranium. So, they went with the term
2 metro uranium. The others, most of them used tails uranium.

3 ARNOLD: Okay.

4 KADAK: What was the differential in cost for a MOX fuel
5 assembly or fuel cycle, percentage-wise on the cost of
6 electricity?

7 FABER: The commercial people factor of two.

8 KADAK: Favor of two? So, if you take the, say, the
9 cents per kilowatt hour, or Euros per kilowatt hour, what
10 would that add to the percent of cost of electricity?

11 FABER: I'm sorry, I cannot answer that.

12 KADAK: But, a factor of two on fuel cycle cost?

13 FABER: No, not on fuel cycle cost, it's only on the
14 fabrication side.

15 KADAK: Okay.

16 FABER: So, it's only the fabrication that's--

17 KADAK: It's a factor of two.

18 FABER: That's a factor of two. And, the mixture--I'm
19 sorry, I can't answer that.

20 KADAK: Okay.

21 GARRICK: Any other questions?

22 (No response.)

23 GARRICK: All right, we'll go to our second speaker.

24 Thank you.

25 FORTIER: So, good afternoon. My name is Patrice

1 Fortier. I'm with TN International in France, and TN
2 International belongs to AREVA Group.

3 The purpose today--well, first of all, I will
4 introduce myself a little bit more. I'm involved currently
5 in the business for transport of MOX fuel to Japan. And, in
6 the last six years, we--the transport, the two transport we
7 performed in 2009 and 2010 for delivery of MOX fuel in Japan,
8 since Fukushima, so we are waiting for Japanese decision for
9 making the next transport. And, just before 2005, I was
10 involved in the test of--manufacture in France in Berne and
11 Catawba for the U.S. program.

12 So, today, my speech will be to give you some
13 information about the overview of used fuel transport casks
14 in France. And, as we are specialized in--well, we are the
15 high group assisting plan, we manage all the transport, which
16 goes to La Hague, and all the return of--the customer, so in
17 charge of MOX or 55 residue on the compacted waste.

18 So, first, I will make a brief introduction of
19 what's--where is TN International? Inside AREVA. And, we
20 have a company in the U.S. which is called TN, Inc. close to
21 Washington, but I think a lot of you knows well. So, all
22 this a company, in fact, in our AREVA Group are gathered
23 inside what's called BU Logistics, BU for Business Unit
24 Logistics, in AREVA.

25 We'll go through the description of casks which we

1 use for the transport of UOX used fuel, UOX fuel or MOX used
2 fuel, as well as we present also some information about HLW
3 vitrified residual transport cask, and will give some
4 information about the fresh MOX fuel cask.

5 So, BU Logistics presents, and this is our
6 assignment by--it's to be presented at all the stages of the
7 nuclear fuel cycle, we mean all the transport between the
8 CDTUR we are involved, closed or not, but whether we are or
9 not, we try to be. And, we also, involved on behalf of
10 AREVA, to exercise and to oversee all the transport which go
11 whether AREVA is involved, or it's--solutions throughout the
12 world.

13 So, our organization, the BU Logistics, is within
14 the back-end branch inside AREVA, and our main scope of work
15 is to first of all design and manufacture the cask, which are
16 used for the transportation and storage of radioactive
17 material, but also provide Logistics services under the best
18 safety and security conditions all around the world. And, we
19 also transport to Japan and receive material from the
20 different parts of the world. So, we cover all the world.

21 We also--yes, our work does not stop when we design
22 and manufacture a cask. Our world does not stop at the gate,
23 with many from the pool to the pool, taking into account all
24 the constraint related to the lodging and lodging of--and the
25 fuel management.

1 We also ensure the transport. While not only
2 engineers are working on design and manufacturing, we also
3 transport. We have the feedback from the transport section
4 about what's going where, what's going wrong, with the use of
5 the cask.

6 And, we also supply dry storage systems for the
7 TDTs in the world, in the U.S., in Europe, in Japan as well.
8 All of this, it's around 45 years that we've performed this
9 work.

10 Just a map to see our location, so, Europe, of
11 course, the United States and Canada, and Japan. So, we
12 cover the main countries where the nuclear is implemented.

13 So, our strengths, we said it would be about this,
14 our strength of course we've got from this well since 1965,
15 or so, so if something was wrong during this period, for a
16 long time now, we'd--excellent management in the majority of
17 logistics projects. And, I can tell you that the
18 transportation to Japan of MOX fuel brings a lot of things
19 to--not only the transport or safety aspects and security
20 aspects, but also interfaces with the public and the
21 government to go through the--

22 So, I guess level of safety are opportunities, of
23 course we bet on the revival of nuclear power in the world,
24 and we continue to work with existing power plants to provide
25 the necessary products that they need.

1 This slide is to summarize or to pick up the world
2 innovative, because we are not in the world, we are--every
3 day we need to bring new solutions to our customers, so, it's
4 work in the dry storage area, that's work also for transport
5 of vitrified residue. New transport casks which is under
6 design for EDF. We are working with the Japanese customer to
7 return their compacted waste. And, so, this is always, every
8 day, a new challenge to bring a new solution. And, I can
9 tell you also that we are starting to--which is a preliminary
10 study to develop future generation of cask for the transport
11 of MOX fresh fuel.

12 So, for me, it's not easy to read this slide, but
13 it's only to summarize and to show that we are present at all
14 the stages of the transport of the cycle of the fuel, from
15 the mining to the reactor. And, after the reactor, to the
16 reprocessing plant, and after, to the MOX facility, MOX
17 reconditioned facility, and the return to the reactor with
18 the customer. So, we are at all these stages.

19 Now, a little bit more into the work we've
20 performed for the transport of used fuel in France. So, TN
21 International manages all the transport of the spent fuel to
22 La Hague. And, so, we have developed for this a large fleet
23 of casks to cope with the needs of the customer and also the
24 flow of the transport. Typical casks which we use and we
25 continue to use, it's always under permission of the TN 12

1 because it was designed in the beginning to load 12 PWRs, and
2 the TN 17 for 17 BWR fuel assemblies. So, we use these casks
3 since 1981 or--the early Eighties.

4 The casks arrive at somewhere at the end of their
5 life, and we think about a new generation of casks, so we
6 introduced in 2008 a new cask which is a TN 112 that I will
7 explain a little bit more in detail after, which transports
8 MOX fuel assemblies, and we have also the overcasks on the
9 designs for European customers or TN12G3 for EDF.

10 After reprocessing at La Hague, all the product
11 from reprocessed are returned to the customer under a
12 different form. So, it's returned to the customer or the
13 country under the MOX form.

14 The MOX facility in France is about 1000 miles from
15 La Hague, so we have to transport the plutonium from La Hague
16 to this Melox site in the South of France. We return all the
17 high-level waste, vitrified residue either to Japan or to
18 Europe and customer, and we also return all the compacted
19 waste to the country who sent before spent fuel to reprocess
20 at La Hague. So, some of it's compacted waste has been
21 already returned to the European customer. For Japan, it's
22 not--

23 Some figures about the work we--or the quantity we
24 perform over the years, we transport over the years. So,
25 since the early Eighties or late Seventies, we can say, in

1 fact, we're transported a total of 3000 tons, metric tons,
2 3000 tons of used fuel from Japan, 8000 tons from European
3 customers, Germany, Belgium, Switzerland, to the reprocessing
4 activities. That represents a total of 11,000 ton, less than
5 one-third of the spent fuel of the used fuel we receive at La
6 Hague. The rest, the difference is coming from EDF, but EDF
7 makes some reserve about the figures to communicate the
8 figures about the used fuel they sent to La Hague for
9 reprocessing.

10 Typical transport figures of the work we perform in
11 2010, so this is a result of 2010, we counted 202 used fuel
12 casks returning to La Hague for--we shipped out to La Hague
13 21 either high-level waste casks or compacted waste casks to
14 European customers, 66 MOX fresh fuel casks for after the
15 manufacturing of the fuel at Melox, but these 66 casks were
16 transported to the customer, and in between the facility of
17 La Hague and Melox in the South of France, we made around 86
18 transports of plutonium oxide.

19 Coming back to the transport of used fuel, we
20 transported around 200 casks per year, and it is our belief
21 that it represents half of the fuel on the--in the U.S. from
22 the reactor, just to give an idea.

23 So, now, this PCPCT of France, because I need to
24 return to a little bit more in detail about TN 12 and TN 112,
25 so this is the map of France. This is the location of the

1 different nuclear power plants and the different--all the
2 reactors mentioned on this map. I think, it may mean I'm
3 wrong, but I think France in terms of size area is less than
4 Texas. So, it's quite concentrated, the activities. And,
5 the different size, which are different EDF sites which are
6 rounded with red, manage MOX fuel, and so we transport this
7 MOX fuel either from--first from Melox to this reactor for
8 the fresh MOX fuel, and after from this reactor to La Hague.

9 So, a little bit more, a technical aspect about the
10 transport fleet for the spent fuel in France. I will just
11 say a few words about the means of transport, trucks and
12 wagons. And, about the casks, so we have since the early
13 Eighties, TN 12/2, which was designed at first for the
14 transport of UO2 used fuel, and then adapted for the MOX used
15 fuel. And, since 2008, we started to use a TN 112, which was
16 first designed for the MOX fuel, and after we adapted its
17 content for the purpose of UO2 mixed with MOX.

18 Well, transport means, nothing new, except maybe
19 that the trucks are five or six years old, but we use, in
20 terms of trucks, for the short distance, between the railway
21 station and La Hague, so it's about 50 kilometers, we use the
22 truck to transport the cask, and from the reactor plant to
23 the La Hague, in fact, we use the wagon, the rail wagon to
24 deliver the cask. So, the equipment can transport casks
25 which have the range of weight between 70 tons and 125 tons.

1 We use also this transport, it apparently is, but we use also
2 this means of transport for the return of HLW vitrified
3 residue, the compacted waste. And, so, we use trucks and
4 wagons. For the MOX, for the purpose of return of MOX to
5 Japan, as the Japanese have decided to use the TN 12 for the
6 return of the MOX fresh fuel to Japan, we use also the truck
7 between La Hague and Shabor Port to load their ship.

8 KADAK: How many MOX fuel assemblies are in each
9 canister or cask?

10 FORTIER: I will explain. Yes, the question is--appears
11 the TN 12, with the possibility to load in this case 12 PWR
12 fuel assemblies. When we return, in terms of transport, MOX
13 used fuel, we have the possibility to load four MOX fuel in
14 the center and surrounded by eight UOX spent fuel.

15 This cask is also designed to support--we had that
16 basket inside to transport BWR, so we were able to transport
17 32 BWR fuel, either in the array of eight by eight, or nine
18 by nine.

19 So, for the characteristic, I would say--I don't
20 think there is a need to comment more on this. What I
21 mentioned at the bottom is that, what I said before, just
22 before, the Japanese have this idea in the late Eighties to
23 return the MOX fuel using--to Japan with the stack of casks,
24 which is not necessary in terms of shielding protection, but
25 it was their decision. So, we applied this.

1 One of the big cells which we used in La Hague
2 which works with the TN 12 and the TN 17 as well is the dry
3 unloading cell. We can see on the right, the picture on the
4 right on the slide which shows the cells from the internal
5 part, where we've actually removed the BWR or PWR fuel, I
6 don't know exactly, and we just see at the bottom, the hole,
7 which is connected in fact with the base--the roof of this
8 part. And, we simply put underneath the cell the TN 12 or TN
9 17, and so we can operate like this, dry unloading operation
10 at La Hague, and more or less, we can unload one cask per day
11 at this cell.

12 Now, as I said, the TN 12 was designed first for
13 UOX used fuel, and in 1987, EDF made the choice to load some
14 of the reactor with MOX fuel, and, so, we have to think about
15 the way to transport this used fuel after irradiation to La
16 Hague, and so we had that TN 12 with a new license for the TN
17 12/2. We were able to transport, and we continue to
18 transport to La Hague MOX fuel, considering that we put the
19 MOX fuel in the center of the cavity of the TN 12, and we,
20 around the MOX fuel, we put UOX used fuel, which provides
21 additional shielding against additional--of MOX fuel. And,
22 this ratio was--is compatible in fact with the ratio and the
23 core of the reactor, which was good for EDF and also for the
24 transport.

25 In terms of--so, I'm not a specialist, but this is

1 our recollection about the--we used to design this license,
2 is that in fact, the MOX assembly is equivalent to a 25
3 person UOX fuel. Why UOX are, in fact, we have an average
4 enrichment of uranium 235 that freed up 7000. So, it works
5 like this at the beginning, but the thing that changed, and
6 EDF chose to improve the MOX core management, so this is
7 again our recollection about the history of why we return to
8 TN 112, I'm not talking on the behalf of EDF in this
9 presentation, but this is our recollection, and EDF to my
10 nature is moved to a, so-called in France, Parity MOX
11 program, which means that for us, the MOX assemblies should
12 have the same performance as the UOX assemblies.

13 So, to reach this parity, they increased, I think,
14 the quantity of plutonium inside the MOX, reached higher
15 burnup, and subsequently with higher burnup, we increased the
16 natural radiation around the fuel and around the casks. At
17 the same time, EDF had some consideration about their
18 limitation or the duration of the cooling time of the MOX
19 fuel inside the pool. And, it was where at that time, we
20 discovered that we did not wait, that the fuel was unloaded
21 from the pool, but it was--became mandatory to design a new
22 cask, and it's why we entered into the design of a TN 112 to
23 consider of course these new sources of neutron and we tried
24 to load, to keep the capacity of 12 assemblies inside the
25 cask for the transport.

1 KADAK: Could I ask just a quick question? How long is
2 the minimum storage time in the pool before you can ship a
3 MOX assembly?

4 FORTIER: We designed the new cask for--it's just a
5 presentation of the cask, and, yeah, I think we have the
6 answer for the cooling time. So, we consider the cooling
7 time for most are 400 days for UOX, and more than 800 days
8 for MOX.

9 We stay with casks which weigh more than 100 tons,
10 which are always needed, specifically trucks and trailers and
11 wagon to carry them.

12 Just a review of the cask, the components, major
13 components, so we have the same, in terms of operation,
14 whether the same feature, on the TN 12, we have a plug and
15 the tightening ring and the lid. A difference was introduced
16 with this new cask and is listed in the next slide, is that
17 we designed a double barrier cask. And, so, this cask of TN
18 112 is licensed by the French authority under IAEA 96,
19 regulation. TN 112 is a double barrier containment, double
20 leads and double wall in the--a secondary barrier, so inside
21 the cavity.

22 So, to make this, we have to adjust the design of
23 the wall, I mean that we will have to use a compound of steel
24 and lead to reduce the quantity of radiation put in a cask.
25 While the TN 12/2 was first designed with a thick shell of

1 30-something meters thick--both of the casks are surrounded
2 by a shielding made by resin. But, the steel components are
3 a little bit different.

4 We also improved for the--we put the trunnion under
5 the cask as much as we could, out of the active length of the
6 fuel to reduce the dose rate for the apparent dose.
7 Radiation around the cask, criticality of course is inside,
8 it's included inside the design work to compromise between
9 the different--and use the right components and the right
10 material, and the right place to meet the criterion. And,
11 let's get inside the cavity of the design to meet the safety
12 criteria with appropriate material, including or not Boron,
13 so it's a question of engineering design to use these
14 different components.

15 KADAK: Do you take credit for burnup credit? Do you
16 take burnup credit?

17 FORTIER: I don't think so. For the MOX, I don't think
18 so.

19 KADAK: How about for the UO2?

20 FORTIER: UO2, yes, it's considered with a very low
21 threshold, about 3000, if I remember, 3000 megawatt.

22 KADAK: Let me understand. So, you do take fission
23 product and actinide burnup credit in your criticality
24 analysis for transport?

25 FORTIER: As far as I remember.

1 KADAK: Okay.

2 ARNOLD: The bullet there says, "Criticality and
3 containment analysis are evaluated according to the overall
4 typical data of fuel after irradiation." So, that would
5 imply that they're taking burnup credit.

6 FORTIER: I can explain. The fact was we wanted to--the
7 next--is to say that there is a discussion with the ATDTs,
8 around the fuel inside the cask, what is the result of the
9 TP--and the intent, and after we considered this for the
10 United States. So, because of some of the parts of the
11 United States, we need to consider burnup and cooling time,
12 and on the other parts such as criticality, the first thing
13 is to consider fresh fuel for criticality, and after, we can
14 also consider--it's early to say that we had a discussion
15 with ATDTs about his management, what is the overall--and
16 then this slide, even the source was higher than 4 UOX, with
17 the new design of a cask with slightly improving dose rate
18 around the cask--dose rate, which is acceptable. We need
19 not--it's an acceptable label in comparison with the number
20 of fuel inside the cavity.

21 Just a few words to say that both casks are loaded
22 in wet conditions, but TN12 is under both condition, either
23 wet condition or dry condition, as I mentioned earlier.
24 Today, the TN 112 is unloaded under wet conditions, and also
25 it's a consequence of the design, we cannot reach all the

1 criteria with--first is the safety and at the end of the
2 design, we had the TN 112. That's not the criteria of the
3 dry unloading cell characteristics.

4 Many difference also is about the draining, it's
5 about the TN 12. We have already--at the bottom, so by
6 gravity, the water can get out of the cavity. For the TN 12,
7 also, this was a choice to reuse the doors because around the
8 orifices, we can increase the doors received by--so, it was a
9 choice to remove any orifices at the bottom, and we used only
10 what I call a diver, so we have a tube which goes inside the
11 cavity from the top, and we put the vacuum--we poked the
12 cavity with this device.

13 Difference between the fresh fuel and the
14 irradiated fuel in both MOX and UO₂, so it's a very
15 simplistic table. But, just to compare the type of package
16 was for the first row, when I think Type B, so I refer--Type
17 A, I refer to the IAEA. So, for MOX, either spent or fresh
18 fuel, we have a Type B cask. That's just for the UO₂
19 transport. Type B is monitored for used fuel, while Type A
20 fissile is usually--transported every day, every day we
21 transport.

22 In terms of security, I put the reference to the
23 AIEA, and that's just filled in to--because in terms of
24 security or so-called physical protection of the--we refer to
25 this category. And, of course, for the MOX fresh fuel, we

1 transport, in our case because--inside each category, but
2 inside the TN 12, we have more than two kilograms of
3 plutonium, so, MOX fresh fuel is obviously in Category 1, the
4 AIS label in terms of protection. All the used fuel are
5 either MOX or UOX used fuel, transport under Category 2.
6 And, fresh fuel are transported usually under Category 3.

7 One concern, or so, which is related to the fuel
8 integrity required at the fuel vendor, so, there is a fill-up
9 of the vibration during the transport for the MOX fresh fuel
10 under all the UOX fresh fuel. So far, we do not have
11 monitoring of vibration for used fuel.

12 Just a few words for the TN 28, or for R10W, which
13 is used also for the transporter of--so wherever the loading
14 and the capacity of the cask, here it's just to mention that
15 we've got from 13 overseas transport to Japan. The 14 is
16 underway to Japan and is from the U.K. Now, for the MOX
17 fresh fuel, as I say, we already have the Japanese choose to
18 get the MOX fresh fuel using the TN 12, so we are here, the
19 operation of one of these casks at Chaveau Port and to PNTL
20 ship.

21 Now, for the European needs and the--we use what we
22 call an MX cask, so here I present to you the MX6, which is
23 used to deliver fuel in Germany, either by transporting 6
24 PWRs in the cavity, or a maximum of 16 BWR. We developed a--
25 which injured the internal basket for the Japanese for the

1 transport between Melox and Le Hague, and we arrived--we can
2 transport in the Japanese configuration a maximum of 10 fuel
3 inside the cavity.

4 So, we have a cask which has a weight of about 20
5 tons, and with these casks, we can use, I would say, trucks
6 or of course with security, but quite--and go four years, a
7 sister of this cask, which is called MX8, which either eight
8 PWR, two, three years, which is in the wet conditions.

9 In the future, we turn to a new generation of casks
10 with MX12 with a capacity of 12 PWR inside. Because it's
11 also a discussion with the utilities, due to uncertainty, the
12 common--in fact it was more convenient for them--it's
13 convenient for them to receive each time a batch of 12 fuel,
14 MOX fuel to load inside the car. So, with the MX8, we need
15 to receive two casks for a total of 12, and in the future
16 we'll turn to this new cask.

17 So, in conclusion, for my thoughts is that
18 transport of MOX fuel is achieved let's say on a daily basis
19 in Europe, and more specifically in France. It's something
20 we do every year. We have transport of MOX used fuel from
21 EDF to Tuli. In the big principle, transport means, and
22 transport casks do not differ from your UOX to MOX fuel. As
23 you can see, we are in the same type of cask, the same way,
24 the same size, and so on.

25 Differences, it's where the customer wants to have

1 some specific condition of utilization or alteration to
2 reduce the dose rate, so we have to figure out how to solve
3 this issue. So, we can also--but, then in principle, we use
4 quite a similar logistic that was built for UOX transport.

5 And, with the cask we use for a different customer,
6 we always seek to find solution for the customer, reusing the
7 cask, a new basket when it's possible. It's a question of
8 discussion with the customer.

9 So, what I propose, I went for a while, but didn't
10 find the right place to discuss this, to study acceptability
11 of TN fleet of casks in the US, in other words, I would say
12 we chose for--around for the cycle of the fuel. Every day,
13 we are implementing transport of spent fuel, of HLW
14 transport, so are updating our knowledge every day on this
15 business. And, so, there is opportunity or so to use this
16 for--countries. That's it for me.

17 GARRICK: Thank you. Thank you very much.

18 Any comments, questions from Board members?

19 KADAK: I don't want to be the only one. I'm curious,
20 you said the fresh MOX fuel is in a wet condition it's
21 shipped. How do you--what's the heat generation?

22 FORTIER: No, it's loaded and unloaded in wet
23 conditions.

24 KADAK: I see.

25 FORTIER: But, transported dry.

1 KADAK: Dry. What is the heat generation rate in that
2 cask? Maybe you said it but I just didn't catch it?

3 FORTIER: I did not say it. I can come back--

4 ARNOLD: You said 400 watts per assembly, wasn't it?

5 KADAK: Is that right, 400?

6 ARNOLD: 400 watts per assembly.

7 FORTIER: For the fresh, yes.

8 GARRICK: Okay, thank you. Thank you. Thank you very
9 much. Yes, come on.

10 STOUT: I'm Dan Stout with TVA, and I'm happy to be here
11 today.

12 I noticed the title on the agenda was Implications
13 for Waste Management of Using MOX, and so far today, I found
14 that to be pretty easy because all we've done are paper
15 studies and paper studies only make paper waste. We know how
16 to--we can even recycle it.

17 So, what I'm going to talk about today, a bit of an
18 overview about TVA, who we are, and why we're looking at MOX,
19 and then some of the items that we're in the process of
20 evaluating, comparison of the MOX with uranium oxide, a
21 little specifics about the DOE surplus plutonium disposition
22 program, that's what we're looking at, and including the
23 supplemental EIS, and then I'll briefly get into plant
24 modifications, and then I'll dive a little deeper into decay
25 heat comparison between MOX and UOX. And, then, I will have

1 some preliminary conclusions and summarize.

2 TVA is a little unique in comparison to other
3 utilities. We were created by Congress in 1933, and we're a
4 government corporation. We have an independent Board of
5 Directors, nine members, and a full-time chief executive that
6 run the company today. That was a relatively recent change.
7 We don't take any appropriations. Generally speaking, our
8 cash flow comes from the electric bills of our customers, and
9 we set our rates to cover our costs.

10 So, we cover a seven state region. You can see it
11 on the map, 9 million people, 650,000 businesses. The pie
12 chart over here is for generation. So, get about half our
13 generation from coal, about a third from nuclear, hydro 9
14 percent, the rest renewables. If I were to show capacity, it
15 would be a different chart. We'd have a whole lot more
16 natural gas and renewables, and less on the nuclear side.

17 So, you know, our nuke plants run with a high
18 capacity factor. Our renewables don't do as well in that
19 region of the country.

20 About a year ago, we announced our renewed vision,
21 and that's to be one of the nation's leading providers of
22 low-cost and cleaner energy by 2020. So, this got rolled
23 out, you know, focus on leading the nation in terms of
24 cleaner air, on leading the Southeast in terms of greater
25 energy efficiency, and leading the nation in terms of more

1 nuclear production.

2 So, we currently have six operating nuclear
3 reactors, three PWRs, three BWRs. We're in the process of
4 completing construction on Watts Bar Unit 2. We hope to have
5 it operational in 2013. Our Board just approved construction
6 completion of Bellefonte Unit 1, and we hope to have it
7 operational before 2020. We're also working on small modular
8 reactors, but that's in the study phase.

9 So, a little more about our unique history. We
10 have been operating for more than seven decades, providing
11 affordable electricity, economic development opportunities in
12 the Tennessee Valley, river management, and doing all this
13 kind of stuff in a manner that's environmentally responsible.

14 We also support national security missions. You
15 can go back to pre-World War II, we were into fertilizer
16 production and munitions and then fore, the Manhattan
17 Project, we were supplying electricity. We have been
18 providing irradiation services to the Department of Energy to
19 make tritium for support of the nation's nuclear weapons
20 stockpile. And, we have supported other non-proliferation
21 activities, including the blended low enriched uranium. So,
22 MOX is another non-proliferation objective turning weapons
23 usable material into something that's no longer weapons
24 usable.

25 So, in TVA, we believe we can perform these

1 functions for the Department of Energy in a manner that's
2 consistent with our other objectives.

3 Today, all U.S. commercial nuclear fuel starts with
4 uranium oxide. And, plutonium is a normal by-product of the
5 fission process, and we're comfortable with that. We're used
6 to that. You know, plutonium makes up approximately 40
7 percent of the core's heat for a specific assembly at the end
8 of its useful life. Plutonium is making more than 50 percent
9 of the energy, the fission of plutonium.

10 Mixed-oxide fuel is a mixture of plutonium and
11 uranium oxides fabricated into fuel and loaded into the
12 reactor in lieu of some uranium oxide fuel. So, the form of
13 the fuel is pretty close to what we're used to in terms of
14 UOX, in terms of the hardware.

15 All right, to help understand this chart, the items
16 in the red font are the isotopes of plutonium, and they roll
17 up to the last line in black, which is the summary of the
18 plutonium. And, there are two different kinds of MOX fuel,
19 reactor grade MOX and weapons grade MOX. And, so, you heard
20 earlier about the reactor grade MOX, and I'm going to focus
21 primarily on the weapons grade MOX.

22 Looking up above, you see the blue circles are
23 representing the fissile material that you have, and you can
24 see the big difference between the reactor MOX and the
25 weapons MOX is the amount of plutonium. And, you know,

1 generally speaking the isotopes of plutonium absorb neutrons
2 and they don't fission. So, you know, you have a lot lower
3 concentration of plutonium needed when you're using weapons
4 MOX. You also have less heat.

5 So, some high-level comments on DOE's surplus
6 plutonium disposition program. It began in the year 2000, or
7 it kicked off, I should say, in the year 2000, when the U.S.
8 and Russia agreed to dispose of 34 metric tons of their
9 surplus plutonium. In the U.S., we decided to do that by
10 turning it into MOX fuel and using it in commercial light-
11 water reactors. DOE is in the process of building a MOX fuel
12 fabrication plant at the Savannah River Site, pretty far
13 along on that construction. And, lead test assemblies were
14 built and tested at Catawba. They ran for two cycles. The
15 Oak Ridge National Lab is doing the PIE. My understanding is
16 it's almost done. Reports are going to be coming out soon.
17 We have seen the preliminary data.

18 We've begun evaluating the potential use of MOX in
19 our Sequoia reactors and our Browns Ferry reactors, and the
20 evaluation of the MOX fuel is going to be done in a phased
21 approach with multiple opportunities for public involvement.
22 And, so, in this study phase, we're assessing the public
23 health and safety through the NEPA process. The Department
24 of Energy is the lead agency and TVA is a cooperating agency
25 on the Supplemental EIS.

1 We are assessing the required physical changes to
2 reactor, looking at our operational impacts, and we're
3 talking to the Department of Energy about what kind of
4 contract we'll end up with that determines the cost and
5 risks. So, in order to proceed into the next phase, which
6 will be engineering and licensing, we're going to require two
7 things. That we're convinced that it's safe to our workers,
8 to the public, to the environment, and that it's beneficial
9 to TVA's customers. That means lower cost.

10 So, public input is going to be sought, and
11 factored into the decision-making process along the way, not
12 only by DOE and TVA, but also by the NRC.

13 So, we're thinking about the interactions that are
14 going to take place at the reactor plant site. We're looking
15 at things like when we receive the fuel, security
16 modifications, shipping canister handling, radiation dose.
17 And, then, you know, inside the reactor, we're thinking about
18 things like the physics differences, the behavior during
19 postulated severe accidents, the plant modifications, and the
20 operating differences. And, then, in terms of the used MOX,
21 what the implications are on the spent fuel pools and dry
22 casks, radiation dose and decay heat. So, I put two of them
23 in blue font because I'm going to talk about them in more
24 detail.

25 We will need to make some plant modifications to

1 address security. The kinds of things that we're looking at
2 include checkpoint changes where we're receiving the MOX
3 shipments; a designated holding area for the transport
4 vehicles; potential roadway improvements; perhaps an upgrade
5 to the fuel pool crane; closed circuit TV surveillance, that
6 kind of stuff.

7 We're also looking at, for our PWRs, conversion to
8 enriched boric acid. And, that would provide the additional
9 reactivity control that you would need without the
10 implications of getting too high in your boron concentrations
11 and having a precipitation issue and certain accident
12 scenarios.

13 Modification associated with the enriched boric
14 acid. A batching and mixing system; feed tanks; transfer
15 pumps; piping systems, a mass spect; the ability to do
16 isotopic analysis of boron; improved reactor makeup controls;
17 and additional enriched boric acid sampling capability.

18 Now, this mod. isn't necessary, but it is necessary
19 if we want to have a MOX core fraction that's up in the 30
20 percent region. Otherwise, we're probably going to be down
21 around the 15 percent region on the PWRs. The BWRs, it looks
22 like no additional modifications to get us up approaching 40
23 percent. Again, that's a preliminary.

24 Shifting now to decay heat. I used data from an
25 Oak Ridge National Lab report referenced below, and the

1 report contains data for both PWR and BWR, for both reactor
2 grade and weapons grade, and for various burnups. So, I
3 tried for simplicity to focus in more on the weapons grade
4 MOX only, particularly as it's used for a PWR, in the 50
5 gigawatt day per metric ton burnup region. So, you get the
6 trends, that's what I'm trying to communicate, is the
7 difference relative to uranium oxide of this weapons grade
8 MOX.

9 The only point I want to make on this slide, the
10 right-hand column--this is the ratio of the weapons MOX to
11 uranium oxide, and for the first day or so, it's actually
12 cooler, and then after that, you can see it heating up, and
13 much cooler than the reactor MOX, but, you know, still
14 looking at about 27 percent thermally hotter in the 27 year
15 time period.

16 So, how does decay heat of the used MOX compare to
17 the UOX and why does it matter? Well, there's three periods
18 of interest, two of interest to the utilities and one of
19 interest to DOE predominantly. In the near-term, and I kind
20 of call that like first ten days, you know, this determines
21 the performance and accident scenarios, and the conclusion in
22 that area is it's not that much different. The mid-term, I'm
23 looking now in the five year region, and that is where it
24 would be a driver in terms of how long you would have to
25 leave it in a pool before you go into dry cask, or what your

1 thermal load design is on the dry cask, that kind of area.
2 And, then, the long-term, 30 year plus, you know, send it to
3 the repository, also affect it.

4 So, as I was saying, in the near-term, there is
5 little difference. You can see the MOX is a little cooler,
6 and you're right after shutdown, and it crosses over in that
7 period of several hours, up to about a day. And, then,
8 further out.

9 So, from an accident management, accident
10 consequence standpoint, the differences are insignificant.

11 In the mid-term, at year five, which is 1825 days,
12 so you're looking in this region, again, there's just not
13 that much difference. Doing the math, the MOX reaches the
14 same thermal load in less than a year, compared to UOX. It's
15 about 240 days. And, then, you know, the difference in the
16 heat load between used MOX and used uranium oxide is not a
17 driver in the spent fuel pool cooling requirements or in the
18 dry cask thermal design. You just wait a little bit and
19 you're there in terms of thermal.

20 So, in the long-term, this is where the ratio of
21 MOX is on the order of 30 to 70 percent warmer than the
22 uranium oxide. In BWRs, it's hotter, by the way, and then
23 obviously, for reactor MOX, it's significantly higher.

24 So, to put it in comparison, the used MOX would
25 need to be kept in a dry cask for about 56 years longer than

1 uranium oxide to achieve that same thermal load. So, it
2 could be done, I mean, it's not out of the ballpark, but
3 you're in the flat part of the curve at that point.

4 And, my point, number four, that was on the other
5 slide says, you know, heat management is very geology and
6 repository specific. For example, like in Yucca Mountain,
7 fans can deal with, at emplacement, any kind of differences.
8 You know, your driver may be in the million year period of
9 something like that. In a salt geology, perhaps you can do
10 easier thermal management and have relatively less
11 significant differences. It's hard to say.

12 So, some preliminary conclusions. Relative to
13 uranium oxide, the thermal load of MOX fuel is expected to
14 have no discernable effect following a severe accident; be
15 manageable for pools and dry casks; and needs to be addressed
16 for repository. We have core design flexibility that enables
17 us to vary the MOX burnup relative to the uranium oxide
18 burnup, so we may choose, for example, to only go two cycles
19 with our MOX. So, we manage the burnup to some lower level.

20 I notice that Wolfgang said they'd limit to 55
21 gigawatt day per metric ton compared to uranium being limited
22 to 65. We could do something like that if the thermal load
23 was a concern. Then, I'd see us ramping into it, you know,
24 loading in a slow deliberative manner, stepping up towards
25 our limits in a slow deliberative manner.

1 So, in summary, we believe the Supplemental EIS is
2 going to be the process that helps us ensure that MOX use is
3 going to be safe for our workers, the public, our
4 environment; that the program is going to proceed in phases
5 with multiple opportunities for public input; that the
6 physical modifications to the plan are quite manageable.
7 And, we expect DOE's MOX to cost TVA less than uranium oxide.
8 Those words are deliberate. And, TVA will proceed with MOX
9 only if it's safe and beneficial to our customers.

10 The decision to proceed with the engineering and
11 licensing is probably going to be in early 2013, and the
12 earliest use of MOX in TVA reactors is like 2018.

13 Thank you.

14 GARRICK: Thanks, Dan.

15 I wanted to ask, I realize you've only done paper
16 studies, but how do you think, and I realize that reactors
17 are different and the fuel assemblies are different, and so
18 forth, and there's difference in power densities, and
19 specific power, and a lot of other parameters. But, from the
20 standpoint of heat generation, cooling times, and core
21 fractions, and shifting, how do you stack up with what we
22 heard from France and Germany? Did you see anything that was
23 a surprise to you relative to what you expected as a result
24 of your studies?

25 STOUT: No. No, I--you know, there's a significance

1 difference between the reactor MOX and weapons MOX. You
2 know, I didn't get into that. But, you know, I appreciate
3 Wolfgang's summary that says it's harder, it costs more, and
4 all that. This is a good shot over the bough for us.

5 GARRICK: Have you done studies on cost, fabrication
6 costs and the differences?

7 STOUT: No, because it's not relevant. We're going to
8 negotiate a price that we're willing to pay DOE.

9 GARRICK: Yes.

10 STOUT: It has nothing to do with the cost to--

11 GARRICK: It's significant to the taxpayer, though.

12 STOUT: Correct.

13 GARRICK: Yeah. So, you have not--and, TVA has not
14 doing any predicting?

15 STOUT: We're not going to have the Tennessee Valley
16 ratepayer subsidize a facility in South Carolina. It's just,
17 you know, we're going to be willing to buy, pay money for, I
18 didn't say how much, less than uranium oxide, for this fuel
19 that when we get ourselves comfortable with it, that we're
20 willing to put in our reactors, and it's serving this DOE
21 mission of irradiating this weapons usable materials and
22 rendering it non-usable.

23 GARRICK: So, it's pretty difficult to get a real focus
24 on reactor economics, in other words, when you do this?

25 STOUT: Well, I expect our fuel costs to be looking good

1 compared to our peers in the industry.

2 GARRICK: Yeah, there's a subsidy involved here.

3 STOUT: Well, it's open to other utilities. You know,
4 where is Adam, he can jump in and get interested in MOX. I'm
5 sure DOE would welcome it.

6 GARRICK: Yes. Sue?

7 CLARK: Sue. Just out of curiosity, how much public
8 engagement was there before the decision to irradiate targets
9 to produce tritium?

10 STOUT: That was back in the Nineties? I'm not sure. I
11 know that there was a DOE led EIS that did include scoping
12 and public confidence, but I don't know the details.

13 CLARK: So, there's no experience you would be able to
14 draw from that, because you look forward to your public
15 engagement you will have--

16 STOUT: Well, sure, I've got a flack jacket--

17 CLARK: I mean, getting back to what John was asking, so
18 the Savannah River MOX plant, I presume just goes forward,
19 that is independent of whatever you decide about irradiating
20 whatever comes out of that?

21 STOUT: It's important to DOE to find customers for the
22 MOX fuel.

23 CLARK: But, you're the only customer right now?

24 STOUT: Well, thank you for telling me that.

25 CLARK: Well, I don't know. I'm just asking. You're

1 the only one I've heard of, let's say it that way.

2 STOUT: I don't know.

3 GARRICK: Okay, well, I've got three here, Nigel and
4 then Dan and then Andy.

5 MOTE: Mote, Staff.

6 Dan, you mentioned the four big test assemblies at
7 Catawba, and you mentioned two cycles. What you didn't
8 mention was it was intended that they would go three cycles,
9 they were discharged early, rod growth was outside spec, and
10 they will not go back in, not because it wasn't intended, but
11 because they didn't perform correctly. Would you comment on
12 what that did to TVA's acceptance and what spec changes you
13 may have fed back to DOE in order to be able to accept MOX
14 fuel?

15 STOUT: Good question. We did look into that. You
16 know, it's our understanding that the rod growth that was
17 experienced was the assembly structure itself, not unique to
18 MOX. We have experienced it, and other utilities have
19 experienced rod growth, assembly growth issues. And, you
20 know, to put it in perspective, the growth was out of spec by
21 the thickness of a dime, you know. So, I think we understand
22 what was going on there. The PIE results are going to
23 provide a little of that information that will help people
24 understand what was observed. But, from our perspective, it
25 wasn't a MOX pellet issue, it was an assembly growth issue.

1 GARRICK: Dan?

2 METLAY: Dan Metlay, Board Staff.

3 This gray hair suggests that I've been old enough
4 to remember the Gezmo hearings, and I'm inferring, and maybe
5 this is an incorrect inference, I'm inferring that there had
6 been a NEPA process that had created a Record of Decision
7 that allows TVA to burn excess weapons plutonium. Is that a
8 correct inference?

9 STOUT: The NEPA process that DOE is the lead agency on,
10 we're a cooperating agency on, we did have scoping meetings
11 about a year ago. I expect the draft Supplemental EIS to
12 come out probably in early 2010.

13 METLAY: And, it's supplement to what?

14 STOUT: It's a supplement to DOE's EIS to make MOX fuel,
15 to use it in commercial light water reactors.

16 METLAY: Okay. The question then is does what you're
17 doing have any NEPA implications for using MOX fuel
18 commercially outside of the weapons disposition process?

19 STOUT: I don't know. You know, clearly, we have no
20 intent to use reactor MOX under the NEPA process that's
21 underway. It's addressing weapons MOX.

22 METLAY: Okay.

23 GARRICK: Okay, Andy?

24 KADAK: I guess I'd like to connect the two of you, Mr.
25 Faber with Mr. Stout. I'm trying to understand from the

1 utility perspective, given the experience that E.ON has with
2 MOX, given the list, why--I mean, I understand why you're
3 doing it, because you're sort of a federal agency trying to
4 help out, and doing the right thing, and if you can get a
5 good price for it, fine. But, given the arguments and the
6 difficulty of using MOX, why would a utility do it? I mean,
7 you had to do it, and you're sort of--

8 FABER: Wolfgang Faber, E.ON.

9 I guess you would have to ask the French people
10 about that, because it's expensive because we are doing it on
11 a low scale. A small scale, yes. It could be more
12 interesting on a high scale.

13 KADAK: But, from a reactor operator's perspective, it
14 seems like it's just another, more difficult challenge to
15 operator reactor, O&M costs being what they are, you know,
16 this is something I don't know whether you factored into your
17 economics as a fuel cycle cost, in other words, your fuel
18 assembly will be less than the UO2 fuel assembly, but have
19 you factored in all these other costs that are needed, extra
20 security, extra--all this other extra stuff in terms of
21 operability, storing for a longer period of time, spent fuels
22 being clogged, have you done it to that level?

23 STOUT: Yes, and perhaps we can have a cost
24 reimbursement contract with DOE for those items.

25 GARRICK: Howard?

1 STOUT: Let me, if I put my US utility hat on, MOX will
2 never be cost competitive with fresh uranium fuel. We all
3 know that. The only way that MOX makes any sense is in the
4 whole system, if recycling enables you to do more cost
5 effective waste management, disposal, et cetera, and if that
6 whole systems economics makes sense, then it makes sense, but
7 not until then.

8 ARNOLD: Just a comment on that point. I think it won't
9 work out that way. But, my question has to do with this.
10 You highlighted the differences between a new MOX assembly
11 that's made with recycled plutonium versus weapons plutonium.
12 That's for the new assembly as it goes in the reactor. At
13 the end of the cycle, when you discharge those two MOX
14 assemblies, I think they are less far apart in their
15 properties and heat loads and all the rest of it. That's
16 just a conclusion I drew qualitatively looking at some of the
17 numbers.

18 GARRICK: That's what I was trying to get at.

19 ARNOLD: Yeah.

20 GARRICK: Yeah.

21 STOUT: Sorry, I stopped too short. That's when I put
22 them in the cast with Adam and we'd just send it. You can
23 figure it out. I think some of that information is in that
24 R&L report. I mean, it's not that hard to do it, and I agree
25 with you, I think that you--they come closer together.

1 GARRICK: Okay. Any other questions? Yes, Gene and
2 then Carl?

3 ROWE: Just one quick one. Rowe, Board Staff.

4 Have you done a fuel pool reracking at any of the
5 sites? And, even with the reracking, the higher density
6 racks, you still don't have any thermal problems in the pool?

7 STOUT: I don't know. I mean, let me put it this way.
8 I believe that used MOX going into our pools has less of an
9 effect than post-Fukushima regulatory response will be. Fair
10 enough?

11 GARRICK: I don't think he answered the question.

12 STOUT: You did notice that?

13 GARRICK: I don't know. Okay, Carl?

14 DI BELLA: Carl DiBella. I have a little bit of a
15 follow-up question on Nigel's question. I take it from your
16 2018 earliest possible date to use MOX, that you don't feel
17 that you need your own lead test assemblies, you can piggy
18 back on the Catawba data and you would start slowly. But,
19 did you--are you thinking of changing the specifications as a
20 result of the Catawba test?

21 STOUT: To the former question, that's correct. We
22 aren't anticipating doing lead test assemblies. We will
23 start slow and ramp up. And, I don't know, I mean, again,
24 the PIE results aren't final. We haven't gotten to that
25 point yet.

1 GARRICK: Any other questions? Yes, Nigel?

2 MOTE: One more, if you will, Mote, Board Staff.

3 You said that Bellefonte Unit 1 is expected to come
4 on line by 2020. My understanding is that you will be
5 receiving MOX from the Savannah River plant until 10, 15, 20
6 years after that. And, you did say that you're going to make
7 some modifications to whichever unit you're using for MOX.
8 Have you thought about modifying Bellefonte 1 before it goes
9 critical so you can take 100 percent MOX? Because it's not
10 as expensive to modify--

11 STOUT: Thinking about it and planning to do it are two
12 different things. The MOX SEIS does not assess the
13 environmental impacts of MOX at Bellefonte.

14 MOTE: Can I follow up?

15 GARRICK: Yes.

16 MOTE: Why not? It seems that to be able to load more
17 than 40 percent is going to mean less units have to be
18 modified, and then you've got to plan which can go, if it's
19 commissioned in 2020, it's going to be operating through
20 2080. There may well be more MOX coming down the line,
21 including Commercial MOX.

22 STOUT: I don't mean this disrespectfully, but building
23 a nuke plant in this country is hard enough. We don't want
24 to complicate initial start-up. It's not on the table.

25 MOTE: Okay.

1 GARRICK: Okay, any other questions, comments from
2 anybody?

3 All right, well, we want to thank you very much for
4 your presentations. We especially want to thank the
5 gentleman from The Broad, and taking the time to come here
6 for an hour's presentation. We appreciate that very much.
7 It was very nice to see some real results and some real
8 experience with this long discussed issue and how we're going
9 to deal with it. And, you provided a good deal of insight on
10 many of the issues that are involved, including the
11 transportation and handling. So, we appreciate it.

12 All right, now at this point, we're supposed to
13 have public comments. I have no sign-ups for any public
14 comments, but I'm certainly willing to open the floor for any
15 comment that anybody would like to make.

16 Monica, would you like to make a closing comment or
17 observation?

18 REGALBUTO: Just a general comment. You know, budget
19 has been lean, as you probably are well aware, and we are in
20 the process of going right now through the exercise of, you
21 know, benchmarking the program to the House mark, and
22 benchmarking the program to the Senate mark, which has a
23 significant amount of money that is different. But, it also
24 has a significant guidance of what we're doing.

25 In the meantime, we're also in the process of

1 integrating the feedback that we have been receiving from
2 EPRI and from the different industry consortiums that we have
3 been polling regarding a test facility for the dry cask
4 storage. So, you will be seeing some results hopefully the
5 next time the Board gets together, at least of our path
6 forward. What we're trying to do is get the best bang for
7 our money.

8 Then, the other thing that we truly welcome is the
9 opportunity to get feedback more in real time. And, as our
10 budgets have been decreasing, and everybody's budget is down,
11 sometimes we welcome the feedback, but it comes in too late,
12 because our appropriation is gone. So, even though we want
13 to do something about it, the money is gone to even integrate
14 the comments at that point.

15 So, I have been discussing with Nigel, and we came
16 out with a proposal to the Board so that we can get your
17 feedback. What I call real time, it's like, you know, six to
18 eight weeks, or something, basically before the money is
19 gone. And, that's really the most effective way for us to
20 utilize the taxpayers' resources.

21 So, that is a proposal that we have come out to the
22 Board, and we can't do it for everything because there's some
23 rules of engagement. They cannot affect the course of work
24 either; right? Because otherwise, you will be doing the
25 work, too, so that would not be the right mechanism. So, we

1 have a little delay process built in, and we will welcome all
2 the feedback in a timely manner so that at least we can
3 influence the process and use the money a little bit more
4 wisely.

5 So, the other third thing that we're doing this
6 year is we are making sure that the university program is
7 much more integrated than it has been in the past. And, some
8 of you are more familiar to the programs, to the other parts
9 of the fuel cycle R&D program, not necessarily use this
10 position because this is--but, that is the goal this year, is
11 to integrate that, and we're also going to continue reviews.
12 Used fuel disposition will not be--we will not do a relevance
13 review on this campaign because it already has the Board, and
14 it already has the advisor. So, we will start this year with
15 Fuels, and I don't know if Kemal is still here. There he is,
16 and he is just sitting today. He is the campaign manager for
17 Fuels, and then we will follow with separations.

18 And, the reason we're doing relevance reviews is
19 because many things have changed in the last 12 months, and
20 we also have fixed budgets and we like to make sure that
21 we're doing things that are integrated with all the fuel
22 cycle, but it's also in response to what we need to do as we
23 move forward, post-Fukushima, and post-seismic analysis, and
24 so on and so forth.

25 So, that's all I have. And, you know, we welcome

1 your feedback, like I said, and, not only in the area of Used
2 Fuel Disposition. You may comment on anything else that is
3 relevant to this that intersects, you know, with, for
4 example, fuels intersects very closely to this area,
5 separations intersects very closely, waste form development
6 intersects very closely. So, we welcome feedback at any
7 point or any time from you or your staff.

8 GARRICK: Thank you. The Board is very appreciative of
9 your eagerness to get our feedback.

10 Any other comments, closing observations,
11 discussion, questions?

12 (No response.)

13 All right, I want to again thank everybody that has
14 participated, including the presenters. The questions that
15 have been asked by all, the Board, the Staff, and with that,
16 and unless there's further issues that somebody wants to take
17 up, I adjourn the meeting.

18 (Whereupon, a 5:06 p.m., the meeting was
19 adjourned.)

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I certify that the foregoing is a correct transcript of the Nuclear Waste Technical Review Board's Fall Board Meeting held on September 14, 2011 in Salt Lake City, Utah taken from the electronic recording of proceedings in the above-entitled matter.

October 6, 2011

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